

## Recommendations on GRPs

Purpose: Consideration Submitted by: Chile

Sub-Committee of Standards and Conformance
June 2021

## APEC Project: SCSC 05 2019A 12<sup>th</sup> Conference on Good Regulatory Practices

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## **TABLE OF CONTENTS**

Open Discussion Results	Error! Bookmark not defined.
SURVEY RESULTS	8
Section 1: Overall Satisfaction of the Co	nference 8
Section 2: Content Overall Satisfaction	10
Section 3: Questions for open comment What can you recommend that will in	
future?	11
What other topic on follow-up activiti future? Would you attend to other conference	. 11
ANNEX 1: Survey structure	13

#### SET OF RECOMMENDATIONS SCSC 05 2019 A

# 12th CONFERENCE ON GOOD REGULATORY PRACTICES (GRP12) 19th & 20th August 2019

Puerto Varas, Chile

#### **OPEN DISCUSSION RESULTS**

During the session 9 of the 12<sup>th</sup> Conference on Good Regulatory Practices delegates gathered in four groups, in which was discussed key aspects and process that economies face regarding to GRPs, that affect the correct application and efficiency among economies.

The main objective of the discussion was to recommend SCSC delegates, create synergy between conferences each year. Currently there is a low similarity on the work undertaken by the SCSC and the EC regarding GRPs, every year the organizing committee changes, and the dates chosen for the Conference does not always aloud both SCSC and EC delegates to assist to all sessions, which has affected the impact on the continuity of the work undertaken. Therefore, the SCSC Chair is proposing to align both works to expand the scope and efficiency of the work developed, which in a near future can lead to reduce expenses in the coordination and arrangement process of the Conference.

Delegates agreed on many subjects specially on issues related on flexibility, transparency, international cooperation and on regulatory cycle. In the summary report of the conference all the recommendations were described regarding transparency, action plans, and regulatory cycle.

Some of those concerns were similar raised among delegates, in order to obtain efficient results, 5 main pillars were selected to focus the discussion,

- 1. Transparency
- 2. Public consultation
- 3. Regulatory Cycle
- 4. International Cooperation
- 5. Voluntary / mandatory,

#### Recommendations

1. Transparency: is one of the key areas to expand the use of GRPs among economies, delegates agreed that information regarding GRPs should be wider disseminated in order that regulators could have more available information, showing results from different economies and take an international perspective on the correct use of them.

Recommendations regarding to transparency are described as follows:

#### TRANSPARENCY

- Create tools and webcast conferences.

- Promote communication between regulators and standards experts.
- Synergies between SCSC and EC.
- Concrete ways to manfully and engage standards in trade, evaluation of regulations, RMs enforcement.
- Public summary of conferences to be shared with APEC member economies.
- 2. **Public consultation:** delegates agreed that it is urgently needed more practical cases and guidance to expand the awareness of the large use of Public Consultation under GRPs as one of the key areas of the regulatory cycle. Its deeply believed that include civil society on the rule making process is key to improve it.

Recommendations regarding to Public Consultation are described as follows:

#### **PUBLIC CONSULTATION**

- Capacity building exercises on how to undertake consultation efficiently and effectively.
- Transparency and regulatory cooperation are essential between sub-domestic states and departments.
- Address the issue of APEC member economies related to the capacity to hold or not statutory authority to notify.
- Receive inputs from civil society.
- More examples to improve public consultation and information on existing tools.
- 3. International Regulatory Cooperation (IRC): it is urgently needed to boost international cooperation, not only among economies also between International Organizations and Regional bodies. IRC is the next level of political commitment and setting new bilateral or plurilateral goals to eliminate duplication or recognized certification, as practical tools.

Recommendations regarding to International Regulatory Cooperation are described as follows:

#### INTERNATIONAL REGULATORY COOPERATION

- Training on the better use of the standards resources available to regulators.
- Explore differences mechanisms to incorporate standards (by reference, MRAs).
- Involve more International Organizations and Regional bodies in the IRC arena.
- Assess and balance the appropriate participation from public and private sector.
- Share information on regulating and new digital technologies.
- Corporation mechanism.
- Practical case studies.
- 4. **Regarding to Strategic Alliance:** delegates agreed that cooperation and coordination is essential to obtain robust results on the work undertaken. It seems quite logical but at the rulemaking process some "silo effect" might overcome all the benefits of building trust and enhance transparency.

Recommendations regarding to Strategic Alliance are described as follows:

#### STRATEGIC ALLIANCE

- Improve participation of the secretariat to identify common areas between committees.

- Invite speakers and representatives from both groups (SCSC-EC) to share experiences.
- Involved the Trade and Regulatory experts within one large GRP group and share the same terminology and language.

#### **SET OF RECOMMENDATIONS:**

Set of Recommendations delivered by delegates during conference sessions are deeply aligned with 7 pillars described at the Action Plan, it is strongly believed that delegates should consider include these activities on future work regarding to GRPs. All 7 pillars should be at the forefront discussion recognizing these recommendations as essential to obtain more robust results regarding GRPs. All the activities described in each 7 pillars are key to continue boosting the use of GRPs among APEC economies.

- 1. Synergy between conferences and develop more interaction between standards bodies, regulators, and international organizations IOs:
- a) Create synergy between conference each year.
- b) Align work undertaken between SCSC and EC.
- c) Improve participation of the secretariat to identify common areas between committees.
- d) Invite experts of international organizations to obtain a wider scope of how GRPs can be implemented.
- e) Invite speakers and representatives from both groups (SCSC-EC) to share experiences.
- 2. Continue to expand the use of GRP throughout all policy recommendation towards economic sectors for new regulatory schemes.
- a) Concrete ways to manfully and engage standards in trade, evaluation of regulations, RMs enforcement.
- b) Share information on regulations and new digital technologies
- c) Apply specific GRPs to economic sectors, create a list of GRPs available for all APEC economies with concrete experiences and case studies.
- d) Implementation of APEC-OEDC checklist, use of artificial intelligence in rulemaking regulations, consistent year to year approach to bring together regulatory oversight bodies, regulators, etc.
- 3. Implement more activities, conference, workshops, trainings, regarding GRPs.
- a) Create tools and webcast conferences.
- b) Capacity building exercises on how to undertake consultation efficiently and effectively.
- c) Dedicate more time allocated for Q&A, discussions, and concrete experiences on GRPs during conferences and workshops.

- d) Train regulators on standardization process, include speakers from different economies, breakout sessions to gather more ideas from participants, seek advice from developing members.
- e) Develop guidelines on GRPs and Regulatory Impact Assessment (RIA).
- 4. In future workshops address key issues.
- a) Most important issue is how to create synergy between 10<sup>th</sup>, 11<sup>th</sup>, 12<sup>th</sup> and future conferences, to achieved better coordination and streamlining GRP Conferences between SCSC and EC.
- b) Train delegates and regulators on the policy making process, conferences should include sessions or workshops only devoted for training regulators.
- c) Common chapters on GRPs should be available for economies as an APEC publication, which can be updated every year by delegates enhancing transparency on GRPs.
- d) Find solutions for main resistance areas that economies face on the GRP implementation.
- 5. Building Capacity and training for delegates on the subject as a technical setting.
- a) Create discussions groups focused on TBT challenges and enquiry point in each economy to share experiences.
- b) Examples on GRPs TBT application, IRC oversight polices, MRA in APEC and the use of GRPs in FTAs.
- 6. Continue to include subject matter experts on this subject and commitment delegates to increase the GRP approach for the rulemaking process.
- a) Mutual recognition agreements, links to WTO/TBT committee work, standards bodies, regulators and internal coordination between trade and regulatory agencies.
- b) More results on the implementation of GRP in different economies, more regulatory topics, and conformity assessment practices.
- c) Involved the Trade and Regulatory experts within one large GRP group and share the same terminology and language.
- 7. Train the trainers, for regulators, and standards representative in events/conference/workshops related to notification procedures.
- a) Include sessions on conferences devoted for trainers.
- b) Training on the better use of the standards resources available for regulators.
- c) Explore different mechanisms to incorporate standards (for example, MRAs).

#### **SURVEY RESULTS**

After the conference a survey was conducted to evaluate the overall satisfaction of participants during the two days conference, which measured satisfaction on sessions, content, time management, among others. The survey was structured to identify common insights on the implementation of GRPs, new perspectives and information on other APEC member economies.

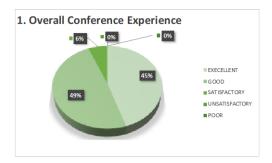
From 100 participants including experts, delegates and invited guests, considering two days, 50 answered the survey obtaining a representative and meaningful results from half of the group, highlighting the fact that represent almost 70% of the ones who participated on the session 9 which those results were the key output to develop the following set of recommendations.

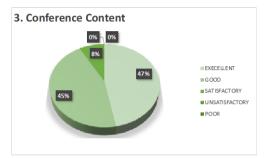
On annex Nº1 contain the structure of the survey, in order that delegates can understand the rationale of it.

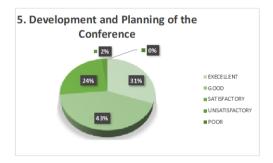
The results will be exposed through charts showing the different result for each section. The level of satisfaction will consider the sample of 50 participants which represent almost the 70% of the participant that attended to the second day and session 9, when the survey was conducted.

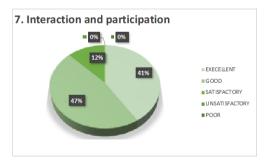
#### Section 1: Overall Satisfaction of the Conference

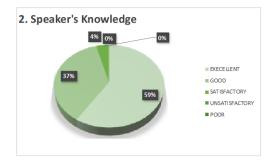
The overall satisfaction of the conference experience, was classified in 5 levels of satisfaction, excellent, good, satisfactory, unsatisfactory, and poor, to obtain the overall approval which included the following aspects and results:

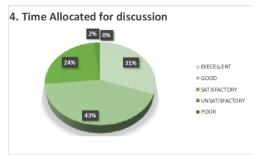


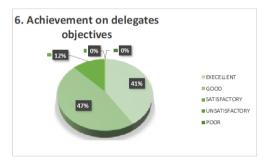






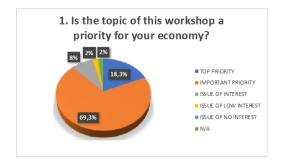






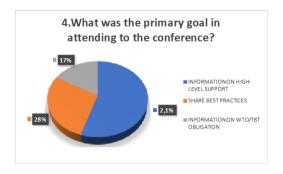
According to the results of the survey and from the recommendations delivered on the discussion of session 9 is possible to conclude that delegates agreed that time allocated for discussion still needs more arrangement to obtain more fruitful results and to accomplish their objectives expected for the conference. Regarding to speaker's knowledge, contents, interaction, and participation the results are very positive.

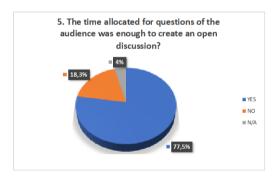
To obtain information on how important the application of GRPs on APEC member economies is, the outcome of the survey indicates, 18,3 % answered as top priority and 69,3% important priority.

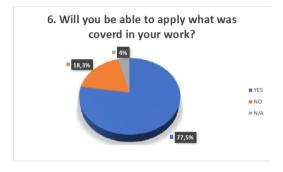


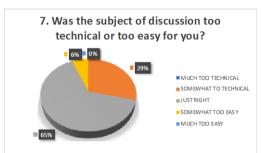




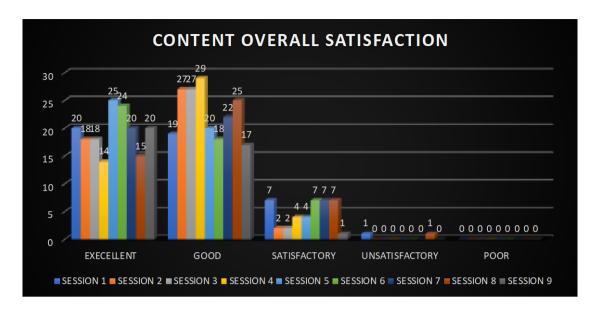








Another import outcome of the survey was regarding to the importance of GRPs implementation; and most APEC delegates consider it as an essential tool for their economies.



On Section 2 of the survey consulted the overall content satisfaction on the different sessions to discover if the topics were fruitful for delegates. According to the results most sessions were successful but session 4, 5, and 9 scored the most, which included topics on subject of inquiry point operations, processing public comments, tools to facilitate RC and open discussions to develop an action plan.

#### **Section 3: Questions for open comments**

What can you recommend that will improve similar activities in the future?

- Most common advice were related to the ongoing work of GRPs, where the most important issue is how to create synergy between 10<sup>th</sup>, 11<sup>th</sup> and 12<sup>th</sup> conference, to achieved better coordination and streamlining GRP Conferences between SCSC and EC.
- Apply specific GRPs to economic sectors, create a list of GRPs available for all APEC economies with concrete experiences and case studies.
- Private sector delegates consider as essential to create more stakeholder's engagement, more private sector inputs, challenges on regulatory process and publicprivate partnership engagement.
- Regarding technical aspects on the conference the advice was dedicate more time allocated for Q&A, discussions, and concrete experiences on GRPs.

#### What other topic on follow-up activities would you find useful in the future?

The idea on this question was to know if delegates consider that is important to include more topics regarding to GRPs or other subjects. Most common advice were:

- Examples on GRPs TBT application, IRC oversight polices, MRA in APEC and the use of GRPs in FTAs.
- Mutual recognition agreements, links to WTO/TBT committee work, standards bodies, regulators and internal coordination between trade and regulatory agencies.
- More results on the implementation of GRP in different economies, more regulatory topics, and conformity assessment practices.
- Regulation on digital age, links to WTO/TBT committee work and the use of international standards.
- Regulations during a crisis, religious aspects, RIA for developing economies, internal coordination.
- Mechanisms on how to improve industrial development in the APEC region, develop platforms for publics consultation and expose case studies with real results.
- Mechanisms to accelerate the implementation of GRP through tools facilitating RC and regulatory impact analysis.

#### Would you attend to other conference related to GRPs?

This question was devoted to determining if delegates will keep assisting to activities regarding to GRPs considering the importance of developing those activities, and opinions and recommendations delivered by delegates that faces constantly the challenges on the correct implementation of GRPs. It is well known that GRPs had been an important and essential tool to reduce the barriers to trade.

Have you identified synergies between past conferences and the 12th Conference on GRPs, regarding to the scope of the subjects?

Delegates consider that synergies between conferences exists although the efforts on increasing the awareness and expand its use, must be better addressed to obtain more robust results on this groundwork.





#### APEC 12<sup>th</sup> Conference on Good Regulatory Practices Puerto Varas, Chile 9am – 6pm, 19-20 August 2019 Venue: Calbuco – Hotel Enjoy

#### Conference and discussion Feedback Form

This survey is devoted to obtaining feedback form all SCSC delegates and participants, in order to measure the outcomes of the conference and improving the efficiency for future work under these subjects. Please be aware that all the information provided is confidential and strictly voluntary, feel free to give any opinion or comments regarding to the planning, development and results of this conference.

	Personal Info	rmation			
APEC Economy or Organization					
Name					
Gender: Male		Female			
Please indicate your overall satis     with a cross your answer)	faction with	the follow	ing aspects o	f the conference	(mark
	Excellent	Good	Satisfactory	Unsatisfactory	Poor
Overall conference experience					
Knowledge of the speakers					
Conference content					
Time allocated for discussions					
Development and planning of the conference					
Conference objectives were achieved					
Encouragement of interaction and participation					
Is the topic of this workshop a priority    Important Priority		_	•	est 🔲 Issue of	no interes
2. How many conferences related to	Good Regu	latory Pra	ctices have yo	ou attend?	
First Time 2-	4 [	<b>1</b> 4-6		More	
3. Did you achieve your primary go	al attending t	o this con	nference?		





4	What was the primary goal in attending to this conference?						
а	a. Obtain information on GRPs and how high-level support for regulatory reform might be created in APEC member Economies						
С	b. Be able to share best practices and how can be used to improve the rule making policy.   C. Obtain information on WTO/TBT obligations related to internal coordination and notification process   Other:						
5	5. The time allocated for questions of the audience was enough to create and open discussion?  No						
6	Will you be able to apply what was	covered in	your wor	k?			
	☐ Often ☐ Occ	☐ Often ☐ Occasionally ☐ Never					
7. Was the subject of discussion too technical or too easy for you?  Much too technical Somewhat too technical Just Right Somewhat too easy Much too easy  II. Please indicate your overall satisfaction with the contents of the sessions							
		Excellent	Good	Satisfactory	Unsatisfactory	Poor	
	ion 1: Results of 2017-2018 APEC GRP rt Next Steps						
proce	ion 2: Transparency and Public comment dures, under the implementation of the /TBT obligations related to notification						
Sess	ion 3: WTO TBT Committee work on GRP						
	ion 4: Inquiry point operations, processing comments						
	ion 5: Tools to facilitate regulatory eration						
	ion 6: GRP chapters in the Free Trade ements						
	ion 7: Public-Private partnership gement towards GRPs						
	ion 8: Develop Recommendation aligning C and EC work moving forward						
Sess	ion 9: Develop Action Plan						





III.	Questions for open comments
1.	What can you recommend that would improve similar activities in the future?
2.	What other topic on follow-up activities would you find useful in the future?
3.	Would you attend to other conference related to Good Regulatory Practices? If no, please provide comments.  No
4.	Have you identified synergies between past conferences and the 12 <sup>th</sup> Conference on GRP, regarding to the scope of the subjects?, If yes please provide examples or comments.