



**Asia-Pacific  
Economic Cooperation**

**1<sup>st</sup> APEC Training Course on  
Common Principles to Shipping Policy**

**December 6-8, 2011  
Tokyo, Japan**

**Final Report**

**APEC Transportation Working Group, APEC Maritime Experts Group**

**December 2011**

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## Executive Summary:

On December 6-8, 2011, fifteen (15) delegates from nine (9) APEC economies gathered in Tokyo, Japan, in order to build leaders' capacity of APEC economies in the area of policy development and contribute to the implementation of "APEC Common Principles to Shipping Policy" by sharing information, regarding two (2) themes such as "Activities of Shipping" and "Common Principles on Shipping Policy" of each economy. Additionally, the delegates received lecture on three theme, "Attempts to Establish a Multilateral Framework for the Common Principles to Shipping Policy", "Limitation of Shipowner's Liability and International Oil Pollution Funds" and "Market- Based Measures to Reduce Green House Gas Emissions from International Shipping" from experts from Japan.

Through the presentation of each economy on the theme of "Activities of Shipping", on the first day, participants from each economy could further deepen their understandings on the outlook, issues and responsible organization to the policy development in the maritime field. After the presentation, time for Q&A was provided and the participants were positively raised questions for their further understandings.

On the second day for the discussion on the theme of "Common principles to Shipping Policy," based on the basic information on the guidelines on the shipping, each economy explained their regulatory framework i.e. tariff setting, seafarer employment, etc. Participants had intensive discussions on the issue for the liberalization in maritime sector.

On the third day, participants actively exchanged their feedbacks regarding the two themes that have been shared through this training. After exchanging feedbacks a certificate was awarded for the participants who have attended the three day training.

At the end of the training course, feedback information was collected by giving questionnaire to each participant. Although, eighty (80) percent of the delegates responded the work shop matches "Mostly" or "Very Much" with their expectation and, seventy three (73) percent of delegates replied that the period is "Fair", some requires more discussions on the specific topics on each economy and policy on the common principles.

In the afternoon of the third day, technical tour (optional) was organized to give practical knowledge to the participants and all the participants except the participant from the United States had joined this tour.

## Section One: Overview

The 1<sup>st</sup> APEC Training Course on Common Principles to Shipping Policy was held December 6-8, 2011, in Tokyo, Japan, and drew fifteen (15) delegates from nine (9) economies.

The delegates were from nine (9) APEC economies namely, Canada, China, Japan, Papua New Guinea, The Philippines, Chinese Taipei; Thailand, The United States and Viet Nam.

During the initial registration process, each economy was required to prepare a brief presentation regarding the two (2) themes of this training course. The subjects of the presentation are as follows;

### Theme 1: Activities of Shipping

Reviewing the major components of international shipping including:

- i) Tramp Business, ii) Liner Business, iii) Technical Issues, iv) Marine Labor,
- v) Port Activities and vi) Quality Shipping.

### Theme 2: Common Principles on Shipping Policy

Comparing the existing shipping legislation of major economies including their provisions to monitor operations, treatment of conferences, the responsibilities of carriers and incentives for the maritime industries. And also reviewing and analyzing current International Frameworks on the economic and trade aspects including WTO and OECD.

The participants were not required to implement preliminary survey upon attending this training course.

Fifteen (15) participants from nine (9) APEC economies include one (1) Security Officer from the United States and other fourteen (14) participants were from government agencies in charge of shipping.

Five (5) out of fourteen (14) participants is in charge of international shipping, six (6) are in charge of maritime industry, and three (3) are in charge of policy.

There were no participants from a private corporation.

There were one (1) or two (2) participants from each economy; however, there were three (3) participants from Viet Nam. One of the three participants from Viet Nam participated the training course paid by private expenses.

The list of participants is attached as an Annex I attached hereto this final report.

### Workshop Budget and Sponsorship

The 1<sup>st</sup> APEC Training Course on Common Principles to Shipping Policy was sponsored by Japan and co-sponsored by Canada, Thailand, The Philippines and Viet Nam. Japan provided the project overseer and assistance to implement the training course. The Ministry of Land, Infrastructure, Transport and Tourism of Japan hosted the workshop providing strategy,

funding, physical space, all local logistics such as transportation, keynote speakers, moderators, signage of all presentation, and staffing resources.

APEC provided funding for travel and per diem and transportation fee for the participants from APEC travel eligible economies, including China, Papua New Guinea, the Philippines, Thailand and Viet Nam, as well as for two moderators from Canada and the Philippines. However, there were three (3) participants from Viet Nam attending this training course. Since APEC provides funding for maximum to two (2) participants per travel-eligible economy, the funding of one participant from Viet Nam was paid by private expenses.

APEC also funded a consultant team, Japan Marine Science Inc. to handle event and organized the arrange venue and accommodation, pay the hosting and equipment/materials cost temporarily on behalf of APEC and seek reimbursement for the Secretariat after the training course, prepare General Information which is distributed to the participants for informative guide, support speakers, moderators and participants to travel to and stay in Japan. Deliver a three-day Training Course on Common Principles to Shipping Policy to participants consisting primarily on government officials from APEC member economies that are responsible for maritime policy and related intermodal and physical distribution and supply chain policy. The project was completed on time and within the original APEC budget outline with no overruns.

## Section Two: Results of the Trainings

During this training course the delegates had opportunities obtain keynote speech from the experts from Japan as well as to present and discuss the situation in their economy of the topic of “Activities of Shipping” and “Common Principles on Shipping Policy

### Keynote speech

#### 1) Attempts to Establish a Multilateral Framework for the Common Principles to Shipping Policy

Professor Mariko Kawano, a professor of International Law at Waseda University, School of Law made a Keynote speech on proportion of APEC on the world seaborne trade and world nominal GDP, significant development of regional cooperation, historical overview of the development of universal framework for cooperation and its importance, regionalism in the enhancement of universalism, bilateralism and regionalism, binding agreement or non-binding arrangements and importance of the mutual understandings and of the accumulation of the practice by the members pursuant to the “Common Policies”.

#### 2) Limitation of Shipowner’s Liability and International Oil Pollution Funds

Mr. Hideki Nakamura, a researcher of the Japan Maritime Center made a Keynote speech on trends in the ocean shipping industry, limitation of shipowners’ liability, international oil pollution funds and consider how to address to the global economy as a conclusion.

#### 3) Market-Based Measures to Reduce Green House Gas Emissions from International Shipping

Mr. Seijiro Morimoto, a researcher of the Japan Maritime Center made a Keynote speech on mandate of IMO and CO<sub>2</sub> emissions from international shipping, technical and operational measures, definition and debate on market-based measures, proposals of market-based measures and issues that need to be addressed.

NOTE: Mr. Tomas Timlen, Asia Liaison Officer of BIMCO Asia Representative Office, Singapore, was scheduled to make a Keynote speech of “Activities of Shipping” for this training course. However, due to his health problem, he was not able to assist in this training course. Since his health problem occurred just before traveling to Japan, there was no substitute for this Keynote speech.

### Workshop1: Activities of Shipping

The delegates from each economy made a twenty (20) minute presentation on the theme of “Activities of Shipping” and shared information in there economy moderated by Mr. Samuel C. Custodio a President of CPI Energy, Phils.,Inc, the Philippines. Participants from each economy could further deepen their understandings on the outlook, issues and responsible organization to the policy development in the maritime field. After the presentation, time for

Q&A was provided and the participants were positively raising questions for their further understandings.

### Workshop2: Common Principles on Shipping

The delegates from each economy except the United States made a twenty (20) minute presentation on the theme of “Common principles to Shipping Policy” moderated by Mr. Douglas O’Keefe a Chief of International Maritime Policy and Liability, Transport Canada. Based on the basic information on the guidelines on the shipping, each economy explained their regulatory framework i.e. tariff setting, seafarer employment, etc. Participants had intensive discussions on the issue for the liberalization in maritime sector.

### Technical Tour

Technical tour (optional) was organized and all the participants except the participant from the United States had joined this tour.

The participants visited the training ship T/S Kaiwomaru at Port Tokyo and the cruise ship M/S Asuka II at Osanbashi Yokohama International Passenger Terminal.

The technical tour gave the delegates not only a practical knowledge on ship but also refreshment and the opportunity to learn the culture of the hosting economy.

## Section Three: Evaluation of the Trainings

### Event Logistics

#### 1) Period of the workshop

Sixty seven (67) percent of delegates responded that the period of the workshop was “Adequate”. However, thirty three (33) percent of them responded that the period of the workshop was “Short”.

#### 2) Time allocation of the workshop in one day

Seventy three (73) percent of delegates responded that the allocated time for the workshops was “Fair”. However, total of twenty seven (27) percent of the delegates responded that the allocated time was “Short” or “Too Short”.

#### 3) Training environment

All the delegates responded that the training environment was “Very Good” or “Good”.

#### 4) Material of the Training (handout etc.)

Thirty three (33) percent of the delegates responded that the materials handed out though the training was “Very Good”, and sixty seven (67) percent of the delegates responded that it was “Good”.

#### 5) Total Management of Japan

All the delegates responded that the management of Japan was “Very Good” or “Good”.

### Presentation and Discussion

Total of eighty (80) percent of the delegates responded that the topic of the workshop matched their request “Very Much” or “Mostly”. And thirteen (13) percent of the delegates responded that the topic was “Fair”.



## Section Four: Feedbacks from the participants

### Topic of the workshops

- The common principles to Shipping Policy have been adapted on a non-binding basis with provision for flexibility in adoption. It was very useful to hear the challenges each participating economy faces in adopting the principles.
- I could have explanation or legislation of statistics of other APEC member economies.
- Since this is the initial stage of the training, basic information on shipping policies and practices are necessary. However, there is need to improve the presentations of the various economies to focus on uniform topics next time so that we can have comparative analysis on benefits or best practices.
- Most of the topics discussed were relevant to the shipping industry back in my economy and it was worth discussing without APEC member economies about the shipping activities.
- Because being a government official/representing a developing economy, I found the topics presented very relevant for knowledge and adaption in our policy frameworks.
- I can learn from the keynote speech and the treasure presentation from active participants.
- The benefit of is course will be high valued since all participants can share their ideas in their field. However, although a few of them didn't match to each person but I think it is good floor to share knowledge and experiences between difference fields.
- The update knowledge of shipping policy of APEC member economy and status of some international conventions.
- First day was fairly basic; Second day was what I was expecting.
- This is the training course in "Common Principles on Shipping Policy", so. This course should give information detail about it.

### General

- It was an excellent effort for a first time giving the course.  
The format of having each participating economy give a presentation was very informative and the Q&A added to my learning.  
To engage more developed economies, it might useful to hold a course at same location and time of TPTWG meeting perhaps as an additional workshop.
- More discussions among the participants on same specific topics.
- It's may be better that participant can obtain the materials one week before the course begin. The participant can think about more.

- The workshop is OK. especially the question and answer portion, however, I think, issue mostly presented their existing shipping policy, we will think of other topic that will be of interest provided it will be in accordance with the APEC approved template.
- To improve more on the course outline, though shipping policies is very complex but I suggest that a comparative presentation of different policies and shipping practices will be presented analyze for possible study by the APEC economies.
- Ninety minutes lunch is too long, may be one hour would be sufficient enough. Generally it was well organized, credit goes to the organizer for a job well done. May be more topic should be included in the next meeting so that it would be a week long course. Thank you very much.
- Biscuits, etc should be served as well during coffee break.
- Thank you very much. I have a treasure training course.
- It will be more benefit if all participant had more ideas about the scope of each topic (the highlight or outline of each topic that you provide us at this course are very good) but I think all participants can have a chance to enhance their efforts to share their information as much as they can if they have more information to prepare themselves can much as they do. It will be more benefit.
- Very informative for me and increased my understanding of maritime transportation. This will help me make better risk based regulatory decision and better understand port environment and economies.
- We should encourage economies send more participants to attend the workshop. The training venue will be perfect with WIFI system in order to help participants get access to the documents or materials discussed during the workshop.

## Section Five: Workshop Conclusion

The 1st APEC Training Course on Common Principles to Shipping Policy is the program firstly implemented for the two (2) themes, namely the Activity of Shipping, and the APEC Common Principles to Shipping Policy aimed at to improve and assist to build their capability in policy making. Delegates representing maritime organizations and maritime security organization from nine (9) economies and lecturers from Japan and moderators from Canada and the Philippines created friendly atmosphere to freely discussion the current issues on the maritime sector.

The workshop's presentations and subsequent discussions on the activity of shipping in each economy clearly raised the keen concern among APEC's developing economies to promote the free and open trade and requirements on their legislative frameworks including incentives for the international shipping.

Presentations and discussions on the Common Principles to Shipping Policy also attract delegates' interest on the required policies to be focused by each economy to attain the goal for free trade within the Asia Pacific regions.

On top, the technical tour gave the delegates not only a practical knowledge on ship but also refreshment and the opportunity to learn the culture of the hosting economy.

It is however, due to the limited time frame available and the program, some delegates suggested that more concentrated and focused discussion on the target issue is preferable. Although the suggestions on the further improvement of the program is said, delegates are expected to continue the training on the same themes since they felt the program is much with their need in the policy development.

## Annex I: List of Speakers/ Moderators and Participants

### Speakers / Moderators

No	Economy	Title	First name	Last name	Position	Division	Organization
1	Canada	Mr.	Douglas	O'Keefe	Chief	International Maritime Policy and Liability	Transport Canada
2	Japan	Ms.	Mariko	Kawano	Professor of International Law	School of Law	Waseda University
3	Japan	Mr.	Hideyuki	Nakamura	Researcher	Planning and Research Department	Japan Maritime Center
4	Japan	Mr.	Sejiro	Morimoto	Researcher	Planning and Research Department	Japan Maritime Center
5	Philippines	Engr.	Samuel	Custodio	President		CPI Energy, Phils., Inc.
	3 economies		5 Speakers / Moderators				

### Participants

No	Economy	Title	First name	Last name	Position	Division	Organization
1	Canada	Mr.	Douglas	O'Keefe	Chief	International Maritime Policy and Liability	Transport Canada
2	China	Mr.	Yuhai	Ye	Deputy Director	Division of International Shipping, Department of Water Transport	Ministry of Transport
3	China	Mr.	Tao	Ning	Deputy Director		China Waterborne Transport Research Institute
4	Japan	Mr.	Akitsugu	Ookuma	Chief	International Shipping Division, Maritime Bureau	Ministry of Land, Infrastructure Transport and Tourism
5	Papua New Guinea	Mrs.	Nellie Kunjip	Kup	Senior Policy Research Officer (Maritime)	Policy & Research Division	Department of Transport
6	Papua New Guinea	Mr.	Philemon	Meapa	Assistant Secretary	Strategic Policy Development Branch (Policy Division)	Department of Transport
7	Philippines	Ms.	Dolores	Pua	Head	International Cooperation Desk	Department of Transportation and Communications (DOTC)
8	Philippines	Ms.	Nannette	Dinopol	Regional Director	Maritime Industry Authority	Department of Transportation and Communications (DOTC)
9	Chinese Taipei	Mr.	Te-Chuan	Wu	Associate Researcher	Institute of Transportation	Ministry of Transportation and Communications
10	Thailand	Mrs.	Kanittha	Boonkua	Director	Research and Organization Development Division	Port Authority of Thailand, Ministry of Transport
11	Thailand	Mr.	Veera	Puripanyawong	Chief	Maritime Transport Promotion Division	Maritime Promotion Bureau, Marine Department
12	United States	CDR	Tom	Griffitts	Executive Officer	Activities Far East	U.S. Coast Guard
13	Viet Nam	Mr.	Cuong	Do Hong	Staff	Shipping and Maritime Services Department	Viet Nam Maritime Administration (VINAMARINE)
14	Viet Nam	Mr.	Phan Nguyen Hai	HA	Deputy Director	International Cooperation Department	Viet Nam Maritime Administration (VINAMARINE)
15	Viet Nam	Mr.	Luong	Le Van	Chief	Legal Affair Division	Maritime Administration of Quang Ngai
	9 economies		15 participants				

\*\* Mr. Douglas O'Keefe from Canada participated the training course as a moderator and a participant

## Annex II: List of Secretariats

Title	First name	Last name	Position	Division	Organization
Mr.	Toru	Shigetomi	Director	International Negotiations Office, International Shipping Division, Maritime Bureau	Ministry of Land, Infrastructure, Transport and Tourism
Mr.	Tatsuya	Takahashi	Project Overseer	International Negotiations Office, International Shipping Division, Maritime Bureau	Ministry of Land, Infrastructure, Transport and Tourism
Mr.	Akira	Fukaishi	Special Assistant to the Director	International Negotiations Office, International Shipping Division, Maritime Bureau	Ministry of Land, Infrastructure, Transport and Tourism

Mr.	Yasuo	Nakajo	Executive General Manager	Overseas Business Group	Japan Marine Science Inc.
Ms.	Rei	Nomura	Researcher	Overseas Business Group	Japan Marine Science Inc.
Ms.	Mayuko	Nwanko		Overseas Business Group	Japan Marine Science Inc.

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