

APPENDIX 15

CTI SUB-FORA'S COLLECTIVE ACTION PLAN

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Tariffs and Non-Tariff Measures 2011 Collective Action Plan

Objectives	Action	2011 Work Plan Item
A. Support for the multilateral trading system		
(a) Ensure the expeditious supply of updates to the WTO Integrated database and any APEC databases.	<p><i>Individual:</i> support the WTO by providing tariff and trade data annually in accordance with WTO obligations. Non-WTO members may provide this information as a voluntary measure.</p> <p><i>Collective:</i> seek ways to improve the accessibility of tariff and ROO information including through the APEC WebTR portal.</p>	<ul style="list-style-type: none"> The APEC Website on Tariff and ROOs (“WebTR”) is now linked to all individual economy’s web portals on tariff and ROO information. All ROO information is now available in English, with only one economy’s information on tariffs remaining to be translated from the domestic language into English. Members have kept track of visitor numbers for the WebTR over 2011, which encouragingly have been higher than in 2010. A number of ideas have been put forward on improving the scope and functionality of the WebTR. Members recognized that these potentially useful ideas would in some cases require significant resources. This issue will continue to be discussed at future MAG meetings.
(b) Undertake practical work in support of the multilateral trading system	<p><i>Collective:</i> Seek concrete actions to support the multilateral trading system</p>	<ul style="list-style-type: none"> Discussion of potential actions/initiatives and opportunities for MAG to contribute to supporting the multilateral trading system, including the WTO and ITA. On the WTO, members concluded that it was not easy to see opportunities for MAG to add value at this stage of the DDA market access negotiations. ITA developments, including the possibility of strengthening and expanding the Agreement, were discussed. Members agreed to continue sharing information on the ITA.
B. Making Rules of Origin (ROO) More Business Friendly		
(a) Examine measures for making ROOs more business-friendly	<p><i>Collective:</i> Conduct analysis, survey and information sharing in the areas of:</p> <p>a) Harmonization;</p> <p>b) Simplification of documents and procedures</p>	<p>Conducted analyses of ROOs (a) in nine sectors (2009-2011)- refrigerator (Australia), musical instruments (Australia), steel (Japan), bicycles (Chinese Taipei), consumer electronics (United States), sporting goods (Chinese Taipei), motor vehicles/parts and hand tools (Chinese Taipei), machine tools and parts (Chinese Taipei), and toys and games (Chinese Taipei).</p> <p><i>Follow-up on “APEC Elements for Simplifying Documents and Procedures Relating to Rules of Origin” adopted in 2009</i></p> <ul style="list-style-type: none"> Collected information via surveys on (a) harnessing IT to ease documentation and procedures on ROO and (b) minimum data requirements in FTAs. Agreement to share this information with ECSG Paperless Trading Sub-group.

Objectives	Action	2011 Work Plan Item
	c) Self-Certification	<p><i>CTI1 tasked MAG to oversee the implementation of the Self-Certification Pathfinder initiative</i></p> <ul style="list-style-type: none"> Completion of the APEC Self-Certification of Origin Capacity Building Programme, which comprised a general workshop and four in-economy workshops. The first workshop was held in Kuala Lumpur, Malaysia on 11-12 October 2010; the second in Manila, the Philippines on 10-11 February 2011; the third in Bandar Seri Begawan, Brunei Darussalam on 4-5 April 2011; and the fourth in Hanoi, Viet Nam on 28-29 July 2011. Presentations given at all of the workshops are available on the APEC website. Members discussed possible future work and projects to implement the APEC Self Certification Pathfinder initiative, including through an annual report-back from members on progress made towards self-certification and targeted case studies.
C. Develop Environmental Goods and Services Sectors		
(a) Share information and experiences of EGS	<p><i>Collective:</i> Develop information sharing system via website (EGSIE), and promote EGS projects by joint efforts with other relevant fora.</p> <p><i>Collective:</i> Oversee the EGS case studies.</p>	<ul style="list-style-type: none"> Members were encouraged to update the Environmental Goods and Services Information Exchange (EGSIE), including the information on domestic EGS regulations, in order to make it a more useful resource for the private sector. EGS case studies were completed for Malaysia and Mexico. Case studies for Chile and Viet Nam are ongoing.
C. Trade Facilitation		
(a) Pursue a series of seminars/policy discussions on trade facilitation measures	<i>Collective:</i> Share information and experiences for facilitating trade in remanufactured products.	<ul style="list-style-type: none"> Continued information sharing as well as awareness building on remanufactured products, including through a MAG workshop on Remanufacturing at the Border. Intensive discussions on a proposal on “Facilitating Trade in Remanufactured Products”, planned for approval by CSOM 2011.
D. Activities with ABAC and/or Other External Stakeholders		
(a) Collaborate and work with ABAC and/or other stakeholders on the MAG agenda	<i>Collective:</i> Enhance collaboration with other relevant fora and business	<ul style="list-style-type: none"> Invited business representatives including ABAC to MAG meetings and the MAG-sponsored workshop on remanufacturing. Enhance cross-fora cooperation with SCSC on market access related issues, with SCCP and ECSG PTS on ROO, and GOS on the inter-relationship between trade in goods and trade in services, including through a joint session at SOM1 in 2012. Continue to discuss possible areas for collaboration with the World Customs Organization (WCO). WCO attended MAG1 in 2011 and has been invited to attend MAG1 in 2012.

Services 2011 Collective Action Plan

All Sectors other than Energy, Telecommunications, Tourism, and Transportation			
Collective Action	Steps to Implement	Time Frame	Last implemented actions
a. Review and exchange information on all trade and investment in services arrangements within APEC and study common elements.	Services Action Plan (SAP)	Ongoing	The SAP Matrix of Actions was developed. The matrix will assist in the identification of priorities and capacity building needs in relation to individual and collective efforts to boost services trade and development.
	Foster closer cooperation and synergy with other APEC fora/groups	Ongoing	<p>The APEC Strategy on Movement of Business People, was endorsed by the GOS and BMG in 2010, and included principles relating to, and actions that could contribute to, the facilitation of entry and temporary stay and movement of business people.</p> <p>The Sharing Key Success Factors and Experiences in Trade in Services for SMEs workshop held in San Francisco in September 2011 was a joint GOS-SME Working Group project. The workshop looked at the factors leading to successful export performance by services SMEs, including successful policies to promote or facilitate SMEs' services exports.</p>
b. Identification of measures affecting trade and investment in all service sectors.	Member economies to volunteer papers and studies in this area and consider cases of "best practices"	Ongoing	
	Implement the Policy Framework	Ongoing	
	Information Exchange of Environmental Services	Completed	A workshop was held in Beijing, China, in November 2010 with the aim of facilitating the exchange of information on both liberalization and technology aspects of environmental services within APEC economies.
	Trade Policy Dialogue (TPD) on Environmental Services	Completed	A TPD on Environmental Services was held in Big Sky, Montana, in May 2011. The TPD aimed to identify: the scope of environmental services; the state of the environmental services market in APEC; the state of trade in environmental services; and, the relationship between trade in environmental services and trade in environmental goods/technologies.

All Sectors other than Energy, Telecommunications, Tourism, and Transportation			
Collective Action	Steps to Implement	Time Frame	Last implemented actions
c. Compile information on services trade statistics	To enhance capacity on trade statistics and respond to the challenges of services trade data collection	Ongoing	
d. To improve understanding of the impact of liberalization of services.	Member economies to present case studies of their experiences on a voluntary basis aiming to generate momentum for services liberalization in light of perceived benefits of such process. Addressing SME Business Constraints through Services	Ongoing Completed	A workshop was held in Washington, D.C., in March 2011 and examined the role that “backbone” services (i.e. ICT, logistics, and financial services) play in enabling SMEs to better achieve their business and export potential.
e. Study and carry out work concerning the development and adoption of common professional standards, in conjunction with professional accreditation bodies and needed legislative measures.	Discussion of possible actions to facilitate the provision of professional services in all member economies.	Ongoing	

All Sectors other than Energy, Telecommunications, Tourism, and Transportation			
Collective Action	Steps to Implement	Time Frame	Last implemented actions
	APEC Legal Services Initiative	Completed	The APEC Legal Services Initiative (APEC LSI) website (www.legalservices.apec.org) was launched in 2011. The website includes a compilation of information on regulatory regimes for foreign lawyers in APEC member economies and contacts for regulating and peak bodies in APEC member economies.
	APEC Legal Services Project	Completed	A databank of attorneys who work on trade and investment in the APEC region was created. A Friendship Arrangement with the Inter-Pacific Bar Association (IPBA) was signed in April 2011.
	APEC Accounting Services Initiative	Ongoing	A capacity building workshop to identify impediments to the provision of accounting services across APEC economies was held in San Francisco in September 2011. An inventory of current regulations affecting the provision of accounting and auditing services by foreign professionals in the APEC region has been developed; and consultations are currently being undertaken on the development of Non-Binding Guidelines for the Regulation of Foreign Accountancy Professionals.
f. Enhance transparency in service sectors	APEC Services Trade Access Requirements (STAR) Database	Ongoing	A database which enables services businesses to identify market access and behind-the-border requirements affecting market entry for trade in services in APEC member economies is being developed.
g. Continue discussion of issues related to possible APEC principles or guidelines that may be necessary to achieve free and open trade and investment in this area	APEC Principles for Cross-Border Trade in Services Services chapter for model measures for RTAs/FTAs	Completed Under consideration	
h. Monitor and, where appropriate and possible, contribute to the WTO's work on services.	Monitor WTO work on services	Ongoing	Member economies provided briefings on recent developments in the WTO negotiations at the GOS meetings in 2011.

Investment 2011 Collective Action Plan

Action	Steps to Implement	Time Frame
<p>Transparency</p> <p style="text-align: right;"><i>Short-Term</i></p> <p>A. Increase the transparency of APEC Investment regimes, especially via enhanced e-transparency</p>	<ol style="list-style-type: none"> 1. Promote accessibility and transparency in the formulation and administration of investment-related policies: <ol style="list-style-type: none"> a) Establish an e-portal to replace the hard copy publication the <i>APEC Investment Guidebook</i> b) Have “The APEC Public-Private Dialogue on Investment” to enhance Transparency and involvement of Private Sector. 2. Establishing software networks on investment regulation and investment opportunities <ol style="list-style-type: none"> a) Explore ways to improve the state of statistical reporting and data collection b) Establish a single window or special enquiry point for all enquiries concerning investment policies and applications to invest c) Adopt a centralised registry of laws and regulations and make this available electronically. d) Apply new technology to improve information, application and approvals processes 	<p>2011-2011</p> <p>2011-</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p>
<p>Policy Dialogue</p> <p style="text-align: right;"><i>Short-Term</i></p> <p>B. Promote dialogue with the APEC business community on ways to improve the APEC investment environment</p>	<ol style="list-style-type: none"> 1. Have “The APEC Public-Private Dialogue on Investment” with Business sector including ABAC to enhance Transparency and involvement of Private Sector. 2. Maintain regular contact with ABAC and other relevant business organizations including: <ol style="list-style-type: none"> a) discuss at IEG meeting issues of relevance to IEG raised at ABAC’s meetings and ABAC’s recommendations to Leaders in the previous year b) Consider possible joint projects suggested by IEG or business organizations. 3. Request input from ABAC on major APEC investment initiatives including through participation at IEG meetings, ABAC letters to IEG Convenor and other IEG events such as workshops, seminars, or investment symposia 	<p>2011-</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p>
<p>C. Continue dialogue with appropriate international organizations dealing with global and regional investment issues</p>	<ol style="list-style-type: none"> 1. Establish a policy dialogue process with other international organisations, where appropriate 	

Action	Steps to Implement	Time Frame
	<ul style="list-style-type: none"> a) Continue collaboration with World Bank on Investing Across Borders (lead economy: Australia and Japan) b) Continue collaboration with UNCTAD on current and emerging international investment issues with a view to undertaking joint studies and capacity building projects (i) Core Elements Project- Moving beyond phase III- Activity 1 Study on Core Elements of IIAs in domestic investment frameworks (lead economy: United States and Japan), (ii) Core Elements Project- Moving beyond phase III- A Handbook and Seminar for Negotiators (Steps 2&3) (lead economy: Mexico and Chile), (iii) Core Elements Project- Moving beyond phase III- Activity 4 Study on Transparency in IIAs (lead economy: Japan and United States), (iv) Core Elements Project- Moving beyond phase III- Intensive Training Course (lead economy: Peru), (v) APEC-UNCTAD Workshop on Investor-State Dispute Settlement: Core Elements Project- Moving beyond phase III- Activity 6 (lead economy: Philippines), (vi) Workshop on Best Practices in Investment Policy Formulation in the APEC Region (lead economy: Russia), 	<ul style="list-style-type: none"> Ongoing Ongoing Ongoing Ongoing Ongoing 2010-2011 2010-2011 2011-2012
<p>Study and Evaluation</p> <p style="text-align: right;"><i>Short-Term</i></p> <p>D. Undertake an evaluation of the role of investment liberalization and facilitation in strengthening regional economic integration in the Asia-Pacific region for economic growth and development.</p>	<p>Consider extent to which existing peer review mechanisms assist in meeting APEC's strengthening regional economic integration objectives and options for improvement.</p>	<p>Ongoing</p>
<p>E. Study possible core elements between existing sub-regional arrangements relevant to investment</p>	<p>Review the investment provisions of current sub-regional arrangements including RTAs/FTAs, and identify possible core elements, including the relationships between these provisions.</p> <p>Core Elements Project- Moving beyond phase III- Activity 1 Study on Core Elements of IIAs in domestic investment frameworks (lead economy: United States and Japan),</p>	<p>Ongoing</p> <p>2010-2011</p>
<p>F. Refine APEC's understanding of free and open investment</p>	<p>In the context of the 2005 mid-term stocktake of the Bogor Goals, assess the recommendations of Leaders as far as investment liberalization and facilitation and as necessary developing a new work program. Take into account Leaders' Declarations since then.</p>	<p>Ongoing</p>

Action	Steps to Implement	Time Frame
<p style="text-align: center;">Medium & Long-Term</p> <p>G. Assess the merits of developing an APEC-wide discipline on investment in the light of APEC's own progress through the medium-term, as well as developments in other international fora</p> <p>H. Study the advantages and disadvantages of creating investment rules – bilateral, regional, or multilateral – with a view to fostering a more favorable investment environment in the Asia-Pacific region</p>	<p>To be determined in the light of progress with policy dialogue in the medium-term.</p> <p>Where feasible, contribute to SOM studies on ways and means to promote regional economic integration, particularly investment issues as they relate to :</p> <p style="padding-left: 40px;">Identifying Convergences and Divergences in APEC's RTAs/FTAs; and</p> <p style="padding-left: 40px;">Docking or Merging FTAs</p>	<p>Ongoing</p> <p>Ongoing</p>
<p>Facilitation</p> <p style="text-align: center;">Short-Term and Continuing</p> <p>I. Undertake practical facilitation initiatives by:</p> <p>(i) Implement the Investment Facilitation Action Plan</p> <p>(ii) Progressively working towards reducing impediments to investments</p> <p>(iii) Initiating investment promotion and facilitation activities to enhance investment flows within APEC economies</p> <p>(iv) Implement the Non-Binding Investment Principles</p>	<p>Implement its underlying eight principles with broad menu of actions.</p> <p>Implement the principles identified through the IFAP process to promote further investment facilitation according to APEC STRATEGY FOR INVESTMENT.</p> <p>(Project proposals to address actions under the heading of these themes will necessarily relate to other areas of the CAP and will be noted there.)</p> <p>Implement activities under APEC STRATEGY FOR INVESTMENT (See "K. Undertake new activities that contribute to capacity building.")</p> <p>Discuss on the necessity of updates on NBIPs and work for further implementation.</p>	<p>Ongoing</p> <p>2011-</p> <p>2011-</p> <p>Updates on NBIP completed in 2011</p>
<p>Economic and Technical Cooperation</p> <p style="text-align: center;">Short-Term</p> <p>J. Identify ongoing technical cooperation and capacity building needs in the Asia-Pacific region and organize training programs which will assist APEC economies in fulfilling APEC investment objectives.</p>	<p>1. Share successful experiences of APEC economies in investment liberalization, facilitation and promotion in the APEC region through identifying key success factors including improved policies</p> <p>2. Enhance planning, development and implementation of policies concerning international investment rules</p> <p>3. Implement activities under APEC STRATEGY FOR INVESTMENT (See "K. Undertake new activities that contribute to capacity building.")</p>	<p>Ongoing</p> <p>Ongoing</p> <p>2011-</p>

Action	Steps to Implement	Time Frame
<p>Capacity Building Initiatives</p> <p>K. Undertake new activities that contribute to capacity building.</p>	<p>Identify the elements of capacity building to strengthen the economies of APEC member economies and undertake the following activities categorizing 3 pillars under APEC STRATEGY FOR INVESTMENT:</p> <p>1. Advanced Principles and Practices [Collaborating with UNCTAD]</p> <p>a) Core Elements Project- Moving beyond phase III- Activity 1 Study on Core Elements of IIAs in domestic investment frameworks (lead economy: United States and Japan),</p> <p>b) Core Elements Project- Moving beyond phase III- A Handbook and Seminar for Negotiators (Steps 2&3) (lead economy: Mexico and Chile),</p> <p>c) Core Elements Project- Moving beyond phase III- Activity 4 Study on Transparency in IIAs (lead economy: Japan and United States),</p> <p>d) Core Elements Project- Moving beyond phase III- Intensive Training Course (lead economy: Peru),</p> <p>e) APEC-UNCTAD Workshop on Investor-State Dispute Settlement: Core Elements Project- Moving beyond phase III- Activity 6 (lead economy: Philippines),</p> <p>f) Workshop on Best Practices in Investment Policy Formulation in the APEC Region (lead economy: Russia),</p> <p>2. Facilitation Economic Committee and Small and Medium Enterprises Working Group are also undertaking projects related to investment facilitation under EoDB action plan and Private Sector Development. IEG is cooperating with them in below projects.</p> <p>g) Best Practice Guide: Improving business regulation in APEC member economies, based on knowledge shared from the Ease of Doing Business/Private Sector Development Workshops series. (lead economy: New Zealand)</p> <p>3. Promotion</p> <p>h) Organizing an APEC Seminar on Infrastructure Investment. (lead economy: Japan)</p> <p>i) Filling the Infrastructure gaps in APEC developing economies. (lead economy: Viet Nam)</p> <p>FMP Group are also undertaking projects related to investment facilitation. IEG will seek for collaboration with them in below projects.</p> <p>j) Workshop on Infrastructure Financing: Public Investment Management to Public-Private Partnership (PPP). (lead economy: United States)</p>	<p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>2010-2011</p> <p>2010-2011</p> <p>2011-2012</p> <p>2007-2011</p> <p>2011</p> <p>2010-2011</p> <p>2011</p>

Action	Steps to Implement	Time Frame
Menu of Options L. Ongoing improvements to the Menu of Options.	Cross-reference between the IAPs and Menu of Options.	Ongoing

Standards and Conformance 2011 Collective Action Plan on Trade Facilitation

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
1	2	3	4	5	6	7	8
1. Alignment (02 actions)							
Align APEC economies' domestic standards with international standards	1) Member economies to align their standards with international standards in the area of IEC standards for electrical equipment, particularly those used in the IECEE CB Scheme	2006/Japan	2006	2010	All the participated economies achieve 100% alignment in the agreed area by 2010.	completed	- As of 1 st Jan. 2006, 09 economies among 13 participated economies reported having completed their alignment work. - Japan conducted the survey on VAP alignment work on 168 targeted IEC standards that covered under IECEE CB Scheme in May 2010. - VAP Report has been reviewed by member economies. - Japan reported the results of VAP 2010 at SCSC 2 in 2010. <i>This activity is suggested to be taken out from the CAP 2011.</i>
	2) The SCSC to continue identifying additional priority areas for alignment with international standards.	1997/Japan	From 1997	On-going	To identify additional priority areas for the VAP alignment work.	In process	- Japan has conducted a survey to identify economies' /industries' needs. <i>Japan is requested to update the VAP 2011 progress at SCSC 2 in 2011.</i>
2. Good Regulatory Practice (01 actions)							
	1) The SCSC to continue to investigate means of enhancing regulatory practices in the APEC region through a program of case studies, seminars and organization of SCSC	2000/ <i>United States</i>	From 2000	On-going	Means of enhancing regulatory practices recommended for implementation	In process	- SCSC member economies and host economy (Japan) continued to investigate means of enhancing regulatory practices in preparation for the 8 th SCSC Conference on Standards and Conformance held in Sendai, Japan in 2010. - Project on the 6 th SCSC Conference on GRP was held in Washington, D.C. on 1-2 March 2011. - Conference on Solar (PV) Technologies: Standards and

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	Conference on GRP and SCSC Conference on Solar (PV) Standards and Conformance in conjunction with SCSC Meeting						Conformance was held on September 15-16, 2011 in San Francisco. <i>The United States is requested to inform about the results of the September's Conference at SCSC 2 in 2011.</i>
3. Recognition of Conformity Assessment (3 actions)							
	In regulated sector:						
	1) Member economies to consider participation in Food safety cooperation forum and Sectoral food MRA	1997/Australia, China, Thailand	From 1997	On-going	- Review yearly participation and its effectiveness in Food , food recall and Sectoral food MRA - Implementation of the measures to improve the effectiveness participation	In process	- <u>The United States</u> reported on the self-funded project for an "Expert Working Group" under the FSCF PTIN which was held at the World Bank, Washington, DC on 19-20 May 2010. The World Bank reaffirmed priority of food safety. - <u>Indonesia</u> reported: Part one of the CTI 08/2010T "MLA Readiness Project in Food Safety Management Systems" was held in Bogor, Indonesia on 27–30 September. Part Two of the Project, aimed at accreditation bodies, was held in Beijing, PR China, on 9-12 November 2010. - <u>The United States</u> and <u>the World Bank</u> reported on the progress of CTI 01/2010T on Capacity Building in Food Safety: Managing Food Safety Incidents and Developing Food Safety Plans for the Supply Chain, which was held on 5-7 November 2010 in Beijing, China. The planned PTIN Launch will occur during SOM-II in May 2011 on the margins of the MRT meetings. - Food Safety Cooperation Forum Workshop and Food Safety Incident Management Seminar held in the margins of SOM2, 2011. <u>China</u> and <u>Australia</u> presented the FSCF "Briefing Note on Food Safety: Ensuring Reliable Sources of Safe Food Is a Critical Element of Food Security Initiatives" and accompanying FSCF Co-Chair letter to ABAC Chair. - SCSC members made comments for Draft APEC-WB MoU. - APEC-WB MoU approved.

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
							<i>The United States is requested to inform about the approved APEC-WB MoU and results of the Forum Workshop held in May 2011 at SCSC2 in 2011</i>
	2) Member economies to consider participation, review and improve its effectiveness in the MRA of conformity assessment of Electrical and Electronic Equipment, and encourage the involvement of their regulators.	2000/JAC Chair	From 2000	On-going	- Review yearly participation and its effectiveness in EE MRA - Regulators participation of all member economies in EE MRA	In process	- The progress of the APEC EE MRA work plan has been reported by JRAC Chair (Australia). The JRAC held a successful meeting where members provided an update on their electrical and electronic regulatory regime. JRAC developed a detailed work plan for 2010 and 2011, with key initiatives in risk assessment models, engagement with the IEC, good regulatory practice case studies and emerging technologies. The 16th JRAC meeting is to be held in the U.S. in 2011. Brunei Darussalam will be the JRAC Chair for 2011, while Canada will be the JRAC Secretary for 2011. - <u>Peru</u> is preparing participate in Part I and Chile is preparing to participate in Part II of EE MRA. <i>Peru and Chile are requested to inform SCSC about their participation in relevant part of EE MRA.</i>
	Voluntary sector:						
	3) Member economies to encourage on going participation and expansion in APLAC-MRA, PAC MLAs and CIPM Global MRA.	1998/ Indonesia	From 1998	On-going	- Increased number of member economies participating in MRAs and technical activities underpinning their participation	In process	- <u>Indonesia</u> made a short introduction about SRB activities and requested that Indonesia ends its service as SRB Coordination Chair and asked for a successor to fill this role. See Progress report of Lead economy (Indonesia). <i>Indonesia is requested to inform about /introduce the successor at SCSC 2 in 2011.</i>
4. Technical Infrastructure Development (5 actions)							
Promote cooperation	1) Member economies to strengthen participation in Specialist Regional Bodies	1996/Member economies	From 1996	On-going	- Increased number of member economies participating in SRBs - Active participation in SRBs activities	In process	- Report of SRBs to SCSC has been submitted at SCSC 1 and SCSC2 meetings in 2010 From APLAC Report: 5 APLAC events planned to be

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
for technical infrastructure development to facilitate	activities.				strengthened		organized in 2010 from SCSC1/2010 - From APLMF Report: 4 APLMF workshop and training courses planned to be conducted in 2010 from SCSC1/2010 were reported. From APMP Report: 2 new members from VNIIM, Russia and RCChem-LIPI, Indonesia; information about the support for member participation in the CIPM MRA and events to be organized in 2010. From PASC Report: Future PASC meeting was reported. From PAC Report: Meetings and activities were reported. - SRBs submitted their reports at SCSC 1 in 2011. <i>SRBs are requested to submit their reports at SCSC 2 in 2011.</i>
Broad participation in promote cooperation for technical infrastructure development	2) The SCSC to support and actively participate in APEC/SCSC Conferences on Standards and Conformance.	2006/Host economy	2006	On-going	- SCSC to organize the conference in every 02 years - SCSC support host economies in encouraging all member economies actively to take part in conference and to provide speakers for conference.	In process	- Final report on the 8 th Standards & Conformance Conference held in Sendai in September 2010 finalized by host economy (Japan). - <u>Japan</u> reported the results of the 8 th S&C Conference at SCSC 2 in 2010.
To facilitate broad participation in mutual recognition arrangements in both regulated and voluntary sectors	3) Member economies to support and actively participate in APEC Conferences on Good Regulatory Practice.	2006/Host economy	2006	On-going	- SCSC to organize the conference in every 02 years - All member economies actively to take part in conference - Member economies to provide speakers for conference	In process	- The 6 th GRP Conference was held in Washington, D.C. in conjunction with SOM I on 1 - 2 March 2011. - <u>The United States</u> reported the results of the 6 th GRP Conference at SCSC 1 in 2011.

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	4) The SCSC to undertake the following technical infrastructure projects						
	a) The 6 th Conference on GRP (CTI 20/2010T)	2010/United States	2010	2011	Progress was reported at SCSC 1/2011	Completed	- Conference was held in conjunction with SOM I in 2011 in Washington, D.C. on 1 - 2 March 2011. - <u>The United States</u> reported on the results the Conference at SCSC 1 in 2011.
	b) APEC Food Safety Cooperation Forum Seminar and Workshop (CTI 21/2010T)	2010/China, Australia	2010	2011	Progress to be reported at SCSC 2/2011	In process	- Forum seminar held on Feb. 8-11, 2011 in Newport Beach, California, USA. - A MoU between APEC and the WB on food safety capacity building signed. <i><u>China and Australia</u> are requested to report the results of March's Forum seminar and the progress of CTI 21/2010T at the APEC Food Safety Cooperation Forum at SCSC 2 in 2011.</i>
	c) Solar (PV) Standards and Conformance Measures Survey and Workshop (CTI 23/2010T)	2010/United States	2010	2011	Progress to be reported at SCSC 2/2011	In process	- The Project's Survey was conducted. - The Project's Workshop to be held on September 15-16, 2011 in San Francisco. And on October 12-13, 2011 in Taipei, Chinese Taipei. <i><u>The United States</u> is requested to report the results of the September's workshop and the progress of the project.</i>
	d) Sustainability in Building Construction (Commercial Buildings) — Efficiency and Conservation (CTI 33/2010T)	2010/United States	2010	2011	- A summary of the survey, distribution of workshop presentations and press releases. - Notifications to general or specialist media and standards and conformity assessment communities.	In process	- Survey was conducted. Survey results summarized in Feb/Mar 2011; - Efficiency and Conservation which was held on 3-4 March 2011 (2011/SOM1/SCSC/045 and 046). USA noted that a survey examining the "greening" of commercial buildings in the APEC economies was completed (2011/SOM1/SCSC/046). USA noted a number of deliverables will be completed in 2011: a second workshop was held on September 12-13, 2011 in Singapore - <u>The United States</u> reported the progress of the Project at

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
							SCSC 1 in 2011. <i>The United States is requested to report the results of the Workshop held on 12-13 September, 2011 in Singapore at SCSC 2 in 2011.</i>
	e) School Feeding Systems in APEC Economies (CTI17/2011T)	2010/Russia	2011	2011	Development of sustainable school and social feeding programmes in APEC economies	In process	- A network of experts in school feeding will be established in APEC economies. - A two-day seminar/workshop will be held on October 2011 in Moscow, Russia. <i>Russia is requested to inform about progress of the project at SCSC 2 in 2011.</i>
	f) Conference on Implementation of Energy Management Standardization (CTI 34/2010T)	2010/United States	2011	2011	- The nature of the target audience The nature of the target audience comprises people from government, non-government, private sector and business community in general, member economies' delegates and students. - The number, form and content of any publication The dissemination material will consist of the conference Proceedings, including the contents of expert presentations, short papers highlighting the key points of their presentations and documents put forward by the participants during panel discussions. One hard copy and one electronic copy (CD-Rom) will be distributed to each participant. Approximately 300 hard copies will be produced. - Any intention to sell outputs arising from this project. The conference Proceedings will be	In process	- In February 2011; Preparation for the SRB-PASC conference on Energy Management Standardization; seek members' comments on the possible contents and speakers of the conference; - On 13-14 September 2011, SRB-PASC Conference on Energy Management Standardization held in San Francisco.; - In Dec. 2011; Preparation of report containing conference conclusions and results. Close coordination with SCSC representatives and/or preparation of report only with submitted questionnaires. <i>The United States is requested to report progress of the Conference held on 13-14 September, 2011 at SCSC 2 in 2011.</i>

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
					made available to non-participants free of charge through the internet.		
	g) APEC Wine Regulatory Forum - Seminar on Key Issues in Wine Regulation (CTI 40/2010T)	2010/United States	2010	2011	Reduction of administrative costs and increasing economic activity	In process	<p>- Key aspects of the project's implementation include: Liaise with Member Economies, SCSC, the Small and Medium Enterprises Working Group (SMEWG), FSCF, Intellectual Property Rights Experts' Group (IPEG) and Economic Committee (EC) to develop the Seminar invitation list, speaker list and Agenda (December 2010 - February 2011); survey Economies on current regulatory practices and challenges, select and invite speakers (April - June 2011); conduct Seminar based on information and input gathered (September 2011); distribute Seminar outcomes and Report and survey attendees on recommended future collaborations (September - November 2011). September - November 2011; Ensure that output consultants retained agree to completion timelines.</p> <p>- <u>The United States</u> informed about progress of the Project at SCSC 1 in 2011.</p> <p><i><u>The United States</u> is requested to inform about the results of the Seminar held on 18-19 September, 2011 at SCSC 2 in 2011.</i></p>
	h) APEC SCSC Strategic Education Program for Trade Facilitation – Phase III. Pilot Implementation for Higher education (CTI 37/2010T)	2010/Korea	2010	2011	Promotion of education programs about standardization at university level in the region.	In process	<p>- Joint Meeting of the SCSC Project Advisory Group held on 28 February, 2011 in Washington, USA.</p> <p>- Trial education program will be conducted in selected universities of co-sponsoring economies. It was noted that at this time fifteen universities from seven economies are offering formal standards education programs. In September 2011 the Guide 4: Lesson Report will be published</p> <p>- Final report will be submitted in November 2011.</p> <p>- <u>Korea</u> informed on progress of the project at SCSC 1 in 2011.</p>

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
							<i>Korea is requested to report progress of the Project's Phase III at SCSC 2 in 2011.</i>
	i) Aligning Energy Efficiency Regulations for ICT Products – Conference on Key Issues (CTI12./2011T)	2011/United States	2011	2011	Promotion of a more streamlined approach to energy efficiency standards, testing, and certification and reducing the barriers posed by fragmented regulations across the region.	In process	- The one-day workshop was organized on the margins of the SCSC meeting in San Francisco in September 12, 2011. - Post-workshop collaboration. <u>The United States</u> informed on progress of the Project at SCSC 1 in 2011. <i>The United States is requested to report the results of the Workshop held on 12 September, 2011 at SCSC 2 in 2011.</i>
	j) Harmonized Liquid Custody Transfer Procedures in APEC Economies (CTI14/2011T)	2011/China	2011	2012	Increasing knowledge and implementing harmonized international best practices to significantly improve the accuracy, transparency and fairness of liquid fuel custody transfer in the Asia-Pacific region.	In process	- A case study will be conducted and a workshop will be held in the second half year of 2011 in Singapore; - A “train-the-trainer” course on verification of in-line (pipe line) turbine or positive displacement metering systems used for hydrocarbon products in the bulk fuel supply chain will be organized for early 2012 in Thailand. <i>China is requested to inform about progress of the Project at SCSC 2 in 2011.</i>
	k) Supply Chain Visibility Feasibility Study [Phase I (APEC-funding) and II (self-funding)]	2011/Japan	2011	2011	A committed consultant of this proposal, in cooperation with APEC relevant sub-fora (e.g., the SCCP, the TPTWG), will conduct a questionnaire survey to forwarders, land and sea transporters, port operators, shipper, consignee, customs and other relevant authorities, in order to collect information about the currently adopted information types, coding systems, and internal systems at some major logistics sites in the APEC region and consider how to realize the supply chain visibility based on the results	In process	- APEC members are required to improve their supply chain connectivity in 10 percent and the SCV Initiative, which is prescribed in the APEC Leaders'/ Ministers' Taskings for APEC 2011 as Defined in the Yokohama Outcome Documents (2010/ISOM/002) and CTI's Sub-fora Collective Action Plans (2010/AMM/007app11) and shows a direct link to “Trade Facilitation” and “Next generation trade and investment agenda”, the Rank 1 sectors in the Funding Criteria for All APEC-funded projects in 2011(2010/ISOM/005), will shed the light on how APEC members can streamline the supply chain and enhance the supply chain connectivity in the region, by the issuance of the recommendation.

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
					of this survey. (Phase I, APEC-Funding, applying through this concept note		- Japan updated information of the progress of the Project at SCSC 1 in 2011. <i>Japan is requested to update information about progress of the Project at SCSC 1 and SCSC 2 in 2011,</i>
	l) APEC Scientific Workshop on Food Safety Risk-Benefit Analysis (CTI16/2011T)	2011/ Philippines	2011	2011	To have an open debate on scientific approaches and methods available and tools and data needed for conducting a risk-benefit analysis of foods and food components and assess the experience of each member economy in policy approaches to this novel approach to food safety assessment.	In process	<i>The Philippines is requested to report the results of the workshop at SCSC 2 in 2011.</i>
	m) Laboratory Capacity Building for the Determination of Toxic Contaminants in Seafood	Peru	2011	2012	To develop laboratory capacity within APEC economies to measure heavy metals in seafood for domestic consumption and for export purposes	In process	- The project comprises a Training Workshop (August 2011) followed by an interlaboratory proficiency test (PT) to evaluate measurement capability (Sep 2011-Feb 2012). The concluding Workshop (May-June 2012) will then allow participants to present results and discuss next steps to develop laboratory capability based on these results. <i>Peru is requested to inform about the results of the August's Training workshop and the September's workshop at SCSC 2 in 2011.</i>
	5) The SCSC to support capacity building oriented to training business, especially SMEs, improving their knowledge, expertise and skills on standards and conformance matters, including public consultation	2003/Chile and Brunei Darussalam	From 2003	On-going	- Capacity building program approved for implementation - Encouraging member economies to provide inputs for training program	In process	- <i>Progress report of Lead economies (Chile, Brunei Darussalam) to be updated at SCSC2 in 2011.</i>

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
5. Transparency (02 actions)							
Ensure the transparency of the standards and conformity assessment of APEC economies	1) Member economies to update the APEC Contact Points for Standards and Conformance Information, including member economies' participants in the Specialist Regional Bodies and member economies SCSC Contact Points. Member economies agreed that this information should be maintained on the APEC Secretariat's homepage.	1997/ APEC Secretariat	From 1997	On-going	- Contact point for Standards and conformance of economies is updated - The information on the member economies contact point for Standards and conformance is maintained on the APEC Secretariat's homepage	In process	<i>Member economies are updating contact points annually.</i>
	2) Member economies to endeavor to establish Internet websites to disseminate standards and conformance information.	1997/ <i>Lead economy need to be identified</i>	From 1997	On-going	- The internet website to disseminate standards and conformance information is established by member economies	In process	<i>Member economies continue to establish and maintain internet website to disseminate Standards & Conformance information</i>
6. Cooperation with Specialist Regional Bodies (01 actions)							
	1) SCSC to pursue closer cooperation with the Specialist Regional Bodies (SRBs) e.g. in development of technical activities relevant to SRBs	2000/ Indonesia	From 2000	On-going	1. APEC TILF and other APEC-funded funding proposals involving standards and conformance issues have relevant SRB input, and resulting projects have active involvement by relevant SRBs. 2. Regulators in APEC economies develop appropriate reference standards and conformance infrastructures that build on and are effectively linked with SRB activities to achieve good regulatory practice and to minimize technical	In-process	- SCSC has endorsed the SRB strategic Plan (5 years) for Technical Infrastructure Development in Support of Trade Facilitation in APEC Economies at SCSC Meeting, in February 2008, including a summary of the activities undertaken by the SRBs in recent years and outlines some projects and activities planned for the next five years - <i>Indonesia is requested to inform about/introduce the successor at SCSC 2 in 2011.</i>

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
					barriers to trade. 3. Industry (especially SMEs) makes use of the SRB standards and conformance infrastructure to help achieve its aims. 4. Closer ongoing dialogue between ABAC and SRBs.		
7. Cooperation with International Bodies (02 actions)							
	1) The SCSC to monitor the developments within the WTO Committees on the TBT and SPS and discuss implementation issues.	2001/Japan and China	From 2001	On-going	SCSC be kept informed on and contribute to TBT/SPS activities.	In process	<p>- <u>Japan</u> and <u>China</u> report at SCSC meeting, the relevant TBT/SPS discussions as well as SCSC's contribution and implementation for these activities.</p> <p>- For development within WTO TBT Committee: <u>Japan</u> reported on the developments within the WTO TBT Committee (2010/SOM3/SCSC/015).</p> <p><i><u>Japan</u> is requested to report the development within the WTO TBT Committee at SCSC 2 in 2011.</i></p> <p>- For development within WTO SPS Committee: <u>China</u> presented a summary report on the 48th meeting of the WTO/SPS Committee on 29-30 June 2010 in Geneva (2010/SOM3/SCSC/021). <u>China</u> reported on the results of the 49th SPS Committee Meeting held in October 2010 at SCSC 1 in 2011.</p> <p><i><u>China</u> is requested to update information about SPS activities at SCSC 2 in 2011.</i></p>

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	2) The SCSC to encourage member economies to actively joint in ISO, IEC, ITU, IAF, ILAC, OILM, CGMP activities.	2003/Japan	From 2003	On-going	Increased number of participating member economies.	In process	- Japan has been conducting a survey to identify the status of each economy's membership and its business' participation in ISO, IEC and JTC1. - Updated information was reported by Japan at SCSC2 in 2010. <i>Japan is requested to provide updated information at SCSC2 in 2011</i>
8. Cooperation with other APEC Fora (03 actions)							
	1) The SCSC to pursue further coordination with other APEC fora.	2001/ APEC Secretariat	From 2001	On-going	-The coordination activities with other APEC fora to be considered in SCSC program	In process	The coordination activities with other APEC fora such as MAG, Chemical Dialogue, etc that are carried out by SCSC in its work program.
	2) The SCSC to conduct work plan for promoting the business interaction with SCSC activities including:						
	a) Involvement of the business in the SCSC's Conferences on GRP and SCSC's conference on Standards and Conformance	2008/Japan	2008	On-going	- Business' participation increased; - Information circulated and shared.	In process	- Business involvement in 8 th Standards & Conformance conferences in 2010 and other SCSC projects/workshops held in SOM 3. - A SCSC concept note from US and Australia: seminar on key issues in wine regulation has been in principle approved by BMC. This seminar has the objective of promoting regulatory coherence in the APEC region, improved dialogue and cooperation. Regulators in developing economies will have a forum to develop regulations that are trade facilitating, prevent consumer deception, guarantee food safety and are WTO consistent. <i>Japan is requested to provide updated information at SCSC2 in 2011 or SCSC1 in 2012</i>

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	b) Participation of business in the national and international technical committees (TCs) and Sub-committees (SCs)	2008/Japan and Indonesia	2008	On going	- Update information on the involvement of APEC business in the national & international standardization process - Reporting the update information of the involvement of APEC business in national and international standardization.	In process	Japan has conducted and reported on the survey to obtain information regarding the participation of business in International Technical Committees and Sub- Committees. Japan presented the update of results of the survey questionnaire. The survey showed that the number of economies achieving the KPI has increased step by step over the past three years, achieving more than “90 % in industry participation” in most of the member economies by this time. It was confirmed that this successfully completes the three year project. The SCSC endorsed the results of the survey. <i>Japan/Indonesia is requested to provide updated information at SCSC2 in 2011 or SCSC1 in 2012</i>
	c) ABAC/Industry-SCSC dialogue on specific SCSC’s activities interested by business at SCSC conferences and meetings	2008/Host economy	2008	On going	- The Dialogue organized with participation of representatives from NSBs, businesses and ABAC.	In process	ABAC/Industry-SCSC dialogue has been conducted in 7 th SCSC Standards and Conformance Conference held in Cusco in August 2008. 2009 APEC Response to ABAC 2008; Recommendations and Consolidated 2010 ABAC Response to 2009 APEC Response to be reported by APEC Secretariat.
	3) The SCSC to hold Policy Dialogue sessions on standards and conformance and follow-up the policy implications arising from them	2002/Host economy	From 2002	On-going	- Reflecting policy dialogue on S & C and follow-up actions in work plan of SCSC	In process	Policy Dialogue on Standards & Conformance is considered at SCSC meeting annually
9. Reform of SCSC							
							<i>Open for suggesting initiatives from member economies.</i>

Customs Procedures 2011 Collective Action Plan

Since the first meeting in 1995, the APEC Sub-Committee on Customs Procedures (SCCP) has been making efforts to promote trade facilitation, which is one of APEC's main agenda items toward the achievement of the Bogor Goals. To achieve concrete results for trade facilitation, the SCCP has developed Collective Action Plans (CAP) and the Customs administrations of APEC 21 economies have been working collectively to implement the CAP items. The SCCP developed 9 CAP items such as "Harmonization of Tariff Structure with the HS Convention" in 1995. Since then, CAP items have been added upon request of members and the business community; the SCCP currently has 17 CAP items. The year 2010 was the target year for the industrialized economies to achieve the Bogor Goals. In 2010, a comprehensive evaluation and analysis was conducted of the progress made during the past 15 years of the SCCP. In 2011, two CAP items were added, on Single Windows System and on Authorized Economic Operator programs and Mutual Recognition Agreements.

Status of CAP Items

Project	Coordinator	Status Update/Comments
Harmonization of Tariff Structure with the HS Convention	Japan	All the 21 economies adopted tariff schedules based on the HS Convention. 20 economies among them used tariff schedules based on the HS Nomenclature 2007 Edition (latest edition) and 1 economy has used that of 2002 Edition. This means tariff schedules in the APEC region were almost harmonized by the HS.
Public Availability of Information on customs Laws, Regulations, Administrative Guidelines and Rulings provided to the business sector on ongoing basis	Singapore Hong Kong, China	All the 21 economies developed customs websites to provide information on customs. In addition to the local language version of the websites, all the 21 economies have developed English version web-sites for considering stakeholders in abroad. Almost all the economies provide information such as customs laws, customs procedures, their Q&A, amendments of customs laws and procedures and contact points through their websites. 20 economies have publicized their tariff rate on their websites.
Simplification and Harmonization on the Basis of the Revised Kyoto Convention	New Zealand Japan	Only 10 economies have acceded to the Revised Kyoto Convention. On the other hand, those economies which have not acceded yet have adopted major principles for trade facilitation stipulated in the convention.
Development of Single Window Systems and promotion of International Interoperability	Japan	14 economies introduced Single Window system and 5 economies have Single Window system currently under development. 10 economies have experience in the trade-related data exchange.
Adoption of the Principles of the WTO Valuation Agreement	Canada United States	All the 21 economies adopted customs valuation scheme based on the WTO Valuation Agreement. In addition, all the 21 economies introduced post clearance audit systems.
Adoption of the Principles of the WTO Intellectual Property (TRIPS) Agreement	United States	20 economies adopted the WTO TRIPS and 19 Customs administrations are responsible for border enforcement on goods infringing copyright and trademark. However, only 11 economies are responsible for goods infringing other rights such as patent and design right which are not obliged to enforce at the border by the TRIPS.
Introduction of Clear Appeals Provisions	Canada Philippines	All the 21 economies introduced appeal systems.
Introduction of an Advance Classification Ruling System	New Zealand Korea	19 economies introduced advance ruling system for tariff classification. All the 21 economies established special units for uniform application of tariff classification at headquarters and/or regional customs offices. All the 21 economies established special units for uniform application of tariff classification at headquarters and/or regional customs offices.

Project	Coordinator	Status Update/Comments
Provisions for Temporary Importation, e.g. acceding to the A.T.A. Carnet Convention or the Istanbul Convention	Chinese Taipei United States	Only 14 economies acceded to the ATA Convention and/or Istanbul Convention. However, those economies, which have not acceded, adopted procedures in line with these conventions.
Harmonized APEC Data Elements	Canada	14 economies applied the WCO Data Model into their customs clearance systems.
Risk Management Techniques	Australia United States	20 economies introduced risk management approach and developed databases to achieve effective risk management. 20 economies introduced X-ray inspection equipment for containers.
Guidelines on Express Consignments Clearance (Current: WCO Immediate Release Guideline)	United States China	Only 17 economies adopted the principles of the WCO Immediate Release Guidelines. Only 14 economies have introduced the threshold value for waiver of duties and taxes (de minimis rule).
Integrity	Australia Hong Kong, China	20 economies developed codes of conduct for customs officers. 18 economies introduced internal audit systems.
Customs-Business Partnership	Hong Kong, China Mexico Chinese Taipei	Various measures have been taken in each economy to enhance cooperation with business. The number of economies which provide regular consultation sessions to stakeholders reached 20
Implementation of APEC Framework Based on the WCO Framework of Standards to Secure and Facilitate Global Trade	United States Japan, Korea	9 economies introduced AEO program and 3 economies commenced AEO pilot project in line with the WCO AEO Guidelines and 9 agreements on mutual recognition of the AEO systems have been signed in the region.
Time Release Surveys (TRS)	Indonesia, Korea	Only 14 economies conducted Time Release Surveys.
Development of Authorized Economic Operator programs and Mutual Recognition Arrangements	United States Japan	Capacity building plan was provisionally endorsed at SCCP2.

Intellectual Property Rights 2011 Collective Action Plan

Objectives/ Goals	Actions with lead economy, if any	Status/ Target Date
<p>(a) Deepening the Dialogue on Intellectual Property Policy</p> <ul style="list-style-type: none"> • FTA/ RTA on IP Chapter • Exchange of development of IP-related policies • Strengthening the IPR system • Appropriate protection of IPR in emerging fields, including but not limited to the following: <ul style="list-style-type: none"> - Protection for Biotechnology and Computer-related Inventions - Protection for Geographical Indications - Electronic commerce - Genetic resources and traditional knowledge - Plant variety protection systems 	<ol style="list-style-type: none"> 1. <u>Australia</u> informed that the RTA/FTA matrix supports the goal of economic integration in the region. IPEG agreed to recommend to the CTI that the RTA/FTA matrix initiative be concluded, with the matrix to be updated with any further entries submitted by economies in a short period of time following the 33rd IPEG Meeting in San Francisco, U.S.A., on September 16-17, 2011. 2. <u>The U.S.</u> has been conducting an APEC IPEG Survey on Opposition Proceedings. 3. <u>The U.S.</u> has been conducting an APEC IPEG Survey on Certification and Marks. 4. <u>Mexico</u> made an oral update and circulated the final "Report on the Geographical Indications Regimes in APEC Economies" based on GI Questionnaire elaborated by IPEG 5. <u>The U.S.</u> presented a proposal on GIs identifying issues which should be addressed regardless of the type of system chosen by economies for protecting GIs. <i>[Proposal under consideration in IPEG]</i> 6. <u>Peru</u> presented a proposal on "Seminar on Successful Experiences Implementing Tools for Traditional Knowledge Protection" which is the second phase of the project "Raising Awareness and Providing Insights on promoting appropriate Access and protection of genetic resources and traditional knowledge in APEC Economies". Co-sponsors: Australia; China; Thailand and Viet Nam. 	<p>On-going. Members are encouraged to provide updates before January 2012.</p> <p>Concluded. A summary report and compilation chart were submitted as resource documents to the IPEG.</p> <p>Concluded. A summary report and compilation chart were submitted as resource documents to the IPEG.</p> <p>Concluded.</p> <p>The U.S. will consult with economies, inter-sessionally, and the proposal will be taken up again at the next IPEG Meeting.</p> <p>Concluded. The Seminar took place in Lima, Peru, on September 1-2, 2011.</p>
<p>(b) Support for Easy and Prompt Acquisition of Rights:</p> <ul style="list-style-type: none"> • Participation in International IP-related Systems • Establishing Internationally Harmonized IPR Systems • Cooperation on Searches and Examinations • APEC Cooperation Initiative on Patent Acquisition Procedures (The 	<ol style="list-style-type: none"> 1. <u>Japan</u> made an update on the self-funded initiative for a more coherent approach under the APEC Cooperation Initiative on Patent Acquisition Procedures, which is basically outlined setting up a "one-stop" website, allowing patent system users to download forms to be used when they request an IP Office to conduct examination by referring to the results of search/examination already carried out by 	<p>On-going. Japan launched the website and requested forms to IPEG members.</p> <p>The "Patent Cooperation Initiative on Patent</p>

Objectives/ Goals	Actions with lead economy, if any	Status/ Target Date
<p>launching of the initiative was included in REI Action Plan 2008)</p>	<p>another office.</p> <ol style="list-style-type: none"> 2. <u>The U.S.</u> made an oral update on the development of the “Patent Cooperation Initiative on Patent Acquisition Procedures - Roadmap for Further Cooperation” 3. <u>Japan</u> presented a survey on Patent Quality Management. <i>[Proposal under consideration in IPEG]</i> 4. <u>The U.S.</u> sought support for an “APEC Work-Sharing Statement” which related the idea of an IP office re-using the work of another IP office for duplicate applications. <i>[Proposal under consideration in IPEG]</i> 	<p>Acquisition Procedures – Roadmap for Further Cooperation” is completed. The U.S. is finalizing this initiative by seeking support for the “APEC Work-Sharing Statement” referenced below.</p> <p>The survey sheet will be finalized inter-sessionally.</p> <p>The survey will be finalized inter-sessionally.</p> <p>Some economies supported the concept; others indicated that they need to discuss with their other colleagues at Capital before endorsing. The U.S. will work with economies intersessionally to achieve endorsement by the spring 2012 IPEC meeting.</p>
<p>(c) Electronic Processing of IPR-related Procedures:</p> <ul style="list-style-type: none"> • Electronic Filing Systems • Dissemination of Information by Electronic Means 	<ol style="list-style-type: none"> 1. <u>Members</u> are encouraged to better use of the APEC online resources, particularly APEC Information Management Portal (AIMP). 	<p>The Secretariat informed that the platform was modernized and encouraged the use of the platform.</p>
<p>(d) Cooperation for Improvements to the IP Systems and Its Operation, including capacity buildings, co-operation with other fora /authorities/ stakeholders</p>	<ol style="list-style-type: none"> 1. <u>Australia and China</u> made an oral update on the “Survey of Strategic Consideration of IPR Capacity Building in APEC Economies”. 2. <u>China</u> made an update of the “Survey on the Legal System of Preventing Improper Use of IPR in APEC Economies”. <i>[Proposal under consideration in IPEG]</i> 3. <u>China and the U.S.</u> proposed an APEC IPEG Seminar on Practices of IPR Protection in Standardization aiming to reach a target of exchanging information through a seminar or other project in an information sharing basis. Co-sponsors: Chile; Chinese Taipei; Thailand; Russia and Viet Nam. 4. <u>Japan</u>. The self-funded Intellectual Property Academy Collaborative Initiative (iPAC initiative) is basically outlined as setting up a web-based platform to facilitate effective information sharing and dissemination on IP Academies (training, educational, or research 	<p>Completed. Australia and China encouraged Members to refer to the results of the survey when considering future capacity building activities.</p> <p>On-going. China will present a revised proposal on its self-funded initiative in the next IPEG Meeting to be held in Russia, in February, 2012.</p> <p>On-going. The proposal will be submitted to BMC Session 3.</p> <p>On-going. Japan encouraged members to provide/update information.</p>

Objectives/ Goals	Actions with lead economy, if any	Status/ Target Date
	<p>organizations/institutions in the IP field).</p> <ol style="list-style-type: none"> 5. <u>Russia</u> organized a project titled “Enhancing APEC Capacity Building for Intellectual Property Protection and Use: Training for Trainers”. 6. <u>Russia</u> proposed a project named “Training for Trainers on Intellectual Property Issues: Management and Commercialization” which aims to provide participants on key elements for utilization of IP after rights are granted. 7. <u>Japan</u> presented an initiative “Bail-out Measures” on providing information on bail-out measures. IPEG members agreed on such proposal and the survey sheet will be finalized inter-sessionally. 8. <u>IPEG</u> members held the IPEG-ABAC Dialogue on the margins of the 31st IPEG Meeting, held on March 3, 2011, in Washington, D.C., U.S.A. with topics: i) Leveraging cutting edge technologies for Economies Growth; and, ii) Recent development in on-line counterfeiting and piracy. 9. <u>IPEG</u> participated in the Anti-Corruption and Terrorism (ACT) workshops on Investigating and Prosecuting Corruption and Illicit Trade which took place on March 2, in Washington, DC and on September 14, 2011 in San Francisco, California. 	<p>Completed. The training took place on November 29-December 1, 2010 in China.</p> <p>On-going. The training will take place in Thailand, in 2012.</p> <p>On-going. IPEG members to provide information to the survey.</p> <p>On-going. It was suggested to have the dialogue annually in order to continue with the collaboration.</p> <p>IPEG Chair delivered opening and closing remarks; some IPEG members attended.</p>
<p>(e) Establishing Effective Systems for IPR Enforcement:</p> <ul style="list-style-type: none"> - Exchange of Information Concerning IPR Infringement - Other enforcement related matters <p>(f) APEC Anti-Counterfeiting and Piracy Initiative, with the following four key objectives:</p> <ul style="list-style-type: none"> - Reduce Trade in Counterfeit and Pirated Goods - Reduce Online Piracy - Increase Cooperation to Stop Piracy and Counterfeiting - Increase Capacity Building to Strengthen Anti-Counterfeiting and Piracy Enforcement 	<ol style="list-style-type: none"> 1. <u>The U.S.</u> made an oral update of the proposal for Effective Practices Addressing Unauthorized Camcording. The U.S. mentioned the study carried out by IIPi concerning cam-cording matters in different economies. Co-sponsors: Australia; Canada; Hong Kong, China; Japan; Mexico; and the Philippines. <i>[Proposal to be considered in IPEG]</i> 2. <u>Mexico</u> proposed an initiative aiming to identify the relation between counterfeiting/piracy and criminal organizations networks. <i>[Proposal to be considered in IPEG]</i> 3. <u>The Internet Society (ISOC)</u>, invited guest, during the 33rd IPEG Meeting, gave a presentation to IPEG on copyright infringement on the internet with ISOC’s study on “Perspectives on Policy Responses to On-line Copyright Infringement” which prompted some interesting discussion on ways in which copyright infringement may be addressed. 	<p>On-going. The U.S. will work with members inter-sessionally to achieve IPEG endorsement with the hope that this is presented as a key deliverable for 2011.</p> <p>Some members expressed support and will jointly work on a proposal to be presented in the next IPEG Meeting.</p> <p>Concluded.</p>

Objectives/ Goals	Actions with lead economy, if any	Status/ Target Date
(g) Promoting IP Asset Management and Utilisation in APEC Economies	1. <u>Korea</u> updated IPEG members on the “APEC One Village One Brand Project: Use of IP for SMEs in Developing Countries” aiming to support local producers or SMEs of APEC economies to establish and implement IP strategies for product branding. Co-sponsors: Chinese Taipei; Japan; Mexico; Peru; the U.S. and Viet Nam.	On-going. The project is in phase 2: i) selection of products branding and development of effective brands for the products; ii) provision of follow-up consultation and capacity building program; and, iii) sharing of the project results among stakeholders via CD.
(h) Facilitation of Technology Transfer through Ensuring IP Protection	1. <u>Mexico</u> proposed a survey on “Innovation and Technology Transfer in SMEs”.	On-going. Members to provide information.
(i) Raising Public Awareness	<p>1. <u>Korea</u> made an update on proposal “Advanced APEC Project for Training Intellectual Property Right Information Facilitators using e-learning contents, IP Xpedite”. This is a follow-up of a 2009-project but with a more advanced approach and covering a broader scope of subjects based on the feedback received from last year’s event. This course comprises 3 stages: i) on-line course; ii) off-line training course; and, iii) publication of e-learning education contents. Co-sponsors: Mexico; Thailand and the U.S.</p> <p>2. <u>Chile</u> informed that the APEC Funded Seminar on Copyright Limitations and Exceptions seeks to discuss within APEC the issue of limitations and exceptions to copyright and related rights, building on key finding of the “Report on Copyright and Limitations and Exceptions in APEC Economies”. Co-sponsors: China; Peru and Thailand.</p>	<p>On-going. The second phase has been finalized. Thus, the project will enter into the third phase.</p> <p>On-going. The seminar will take place in Chile, in April, 2012.</p>

Mobility of Business People 2011 Collective Action Plan

OAA Objectives	Action	Time Frame
Collective Actions APEC economies will:		
EXCHANGE INFORMATION Exchange information on regulatory regimes in regard to the mobility of business people in the region, including through regularly updating the information in the online <i>APEC Business Travel Handbook</i> .	Members continue to regularly update the online <i>APEC Business Travel Handbook</i> informing business of economies' short-term and temporary residence entry requirements and processing arrangements (standing item at BMG meetings), in accordance with agreed BMG Transparency Standards.	Ongoing
	Members continue to improve the Business Mobility website to act as a conduit for meeting papers and exchange of information on trade agreements and other key BMG activities.	Ongoing
	Members continue to implement enhancements to the online <i>APEC Business Travel Handbook</i> and other official immigration/consular affairs websites to improve the transparency and accessibility of public immigration information, in line with agreed actions to address the findings of the 2005 TILF funded Temporary Entry Review.	Ongoing
SHORT-TERM BUSINESS ENTRY Streamline short term entry requirements for business people. APEC economies will strive on best endeavors basis, and according to own immigration procedures, to implement one or more of the following options: <ul style="list-style-type: none"> • visa free or visa waiver arrangements; • participate in the APEC Business Travel Card scheme; • multiple short-term entry and stay visas which are valid for 3 years. 	Members continue to review and improve their arrangements for short term business travellers, including the progressive implementation of e-commerce arrangements consistent with agreed standards.	Ongoing
	Members continue to explore ABAC's aim of expanding the APEC Business Travel Card (ABTC) scheme to remaining non-participating economy. At SOM III 2011, the BMG agreed to extend Canada's transitional membership status for a further three year period.	Ongoing
	Members report to BMG meetings on progress in implementing e-commerce facilities (standing item). As at SOM III 2011, 5 economies (Australia; Hong Kong, China; Malaysia; Singapore; and the United States) advised that they had set up e-lodgement facilities to receive online visa applications.	Ongoing

OAA Objectives	Action	Time Frame
<p>BUSINESS TEMPORARY RESIDENCY Implement streamlined temporary residence processing arrangements for the intra company transfer of executives, senior managers and specialists, as defined by individual economies.</p>	<p>Members continue to implement, on a best endeavors basis, the agreed 30 day service standard for the temporary residency processing of executives, senior managers on intra-company transfers, and specialists (as defined by individual economies), where all necessary documentation is completed. Members continue to report on processing times (standing item).</p> <p>As at SOM III 2011, 14 of 17 responding economies advised that were meeting the 30 day service standard on processing timeframes for Intra-company transfers.</p>	Ongoing
	<p>Members report to BMG meetings on implementing e-commerce facilities (standing item).</p> <p>As at SOM III 2011, 5 economies (Australia; Hong Kong, China; Malaysia; Singapore and the Untied States) advised that they had set up e-lodgement facilities to receive online visa applications.</p>	Ongoing
<p>CAPACITY BUILDING (TECHNICAL COOPERATION AND TRAINING) Develop and implement the mutually agreed standards and benchmarks essential to capacity building and engage in the capacity building initiatives necessary to provide streamlined visa application and immigration entry, stay and departure processing.</p>	<p>At SOM III 2001, the BMG endorsed a standards paper for Document Examination and Fraud detection. These standards are being used by economies to develop their current capacity.</p>	Implementation of the standards is ongoing on an individual, best endeavors basis.
	<p>Document security and related issuance systems. The standards and guidelines take into account all relevant international standards. The first phase of the project to develop draft standards was completed with a workshop conducted in July 2003.</p>	Phase One completed July 2003
	<p>A workshop was conducted in March 2004 in Sydney by Australia (phase 2) to raise economies' awareness of the standards and international best practice and developments in respect of biometrics.</p>	Phase 2 completed by March 2004
<p>At SOM III 2004 the BMG agreed that all APEC economies will begin issuing Machine Readable Travel Documents (MRTDs), if possible with biometrics by 2008, and on a best endeavors basis, endeavor to accelerate replacement of non-MRTDs by MRTDs as well as implement ICAO travel document security standards.</p>	Ongoing with implementation, on a best endeavours basis.	

OAA Objectives	Action	Time Frame
	<p>As at 2011, at least 16 economies have introduced MRTDs and at least 10 have introduced a biometric MRTD.</p> <p>Biometrics</p> <p>The BMG received funding from the BMC (March 2006) to undertake a TILF funded project to build the capacity on biometric technology in travel documents and border systems (CTI 30/2006T) via the conduct of two capacity building events: a seminar in Ho Chi Minh on 22-23 May attended by 54 experts from 19 economies and a workshop in July 2006 in Hong Kong (China) attended by 45 experts from 18 economies.</p> <p>In February 2009 the BMG's "Enhancing the ABTC" working group held a two-day TILF-funded workshop to explore the feasibility and desirability of introducing biometrics to the ABTC and to consider possible governance arrangements for such an initiative. The working group developed a discussion paper to identify a model for a possible pilot of an e-ABTC but the BMG agreed that there was not a sufficient business case to pursue a pilot of an e-ABTC, given the resources required.</p> <p>At the SOM III 2010, Enhancing the ABTC Working Group meeting, the Working Group tabled a discussion paper entitled 'An idea to Facilitate ABTC Holders through the Use of Existing Automatic Border Control Systems (ABCS)'. The paper outlined the proposed pilot of the use of the ABTC to enable ABTC holders to enroll in the ABCS of participating economies. As a result the Working Group agreed to circulate a survey on the ABCS of participation APEC economies and the progress of the surveys was discussed at SOMs I and II in 2011.</p> <p>At SOM III 2011, the BMG's "Enhancing the ABTC" Working Group presented a summary of Automated Border Control Systems currently being used by participation economies. Member of the</p>	<p>Project completed July 2006.</p> <p>Workshop in February 2009 with ongoing follow-up work</p> <p>Project completed May 2009</p>

OAA Objectives	Action	Time Frame
	<p>Enhancing the ABTC Working Group have now been invited to review the survey results and give consideration to the proposed way forward to trial the use of biometrics through ABCS.</p> <p>Identity Assurance Framework Document.</p> <p>At SOM I, 2008, the BMG endorsed work to commence on a ‘framework for Assuring Identity in the Issuance of Biometric Machine Readable Travel Documents’. The purpose of this document is to provide good practice guidance for APEC economies on the process required for assurance of the integrity of identity enrolment processes for biometric machine readable travel documents, in order to prevent the issuance of genuine travel documents to persons who are not entitled to them.</p>	
	<p>API Pathfinder Initiative</p> <p>The BMC approved TILF funding (1 August 2002) for a project to conduct feasibility studies in three APEC economies to assess the requirements for the implementation of Advance Passenger Information systems, to be conducted during 2002 and 2003. A second project to conduct feasibility studies was approved in July 2003 by the BMC.</p> <p>Some 11 economies have had an API feasibility study: Thailand (Aug 02); The Philippines (Nov 02); Indonesia (Feb 03); Korea (July 03); Chile (Sept 03); Chinese Taipei (Oct 03); China (Jul 04); Viet Nam (Oct 05); Hong Kong, China (Nov 05); Brunei (Feb 06) and Peru (July 2006)</p> <p>As at SOM III 2011, 16 economies have either implemented or have announced their commitment to implementing an API system, including: Australia; Canada; China; Indonesia (planning); Korea; Japan; Malaysia; Mexico; New Zealand; Philippines (planning); Chinese Taipei; Thailand; the United States; Viet Nam (planning) and two economies trialling (one without commitment).</p>	Ongoing
	<p>Immigration Legal Infrastructure Standards</p>	

OAA Objectives	Action	Time Frame
	<p>The BMC approved TILF funding (1 August 2002) for a project to develop standards and benchmarks for Immigration legislation. A workshop of Immigration law experts was convened in July in 2003 and Legal Infrastructure standards, covering all aspects of Immigration processes and issues, were ratified by the BMG at its 2004 SOMI meeting in Santiago.</p> <p>As at end 2011, almost half of all economies have implemented legal immigration standards (unchanged from 2008).</p>	<p>Standards ratified February 2004. Implementation ongoing.</p>
	<p>Regional Movement Alert System (RMAS)</p> <p>The BMG conducted a pilot Regional Movement Alert System (RMAS) and a report presented to Leaders in 2006. The RMAS pilot has enhanced border security and facilitated the travel of genuine business travelers.</p> <p>RMAS Multi-Lateral Framework</p> <p>At SOM III 2006, the BMG endorsed the final Multi-lateral Framework (MLF), Model Economy MOU and Management Board Governance Charter for RMAS. The MLF states the principles, standards and operational arrangements which will enable RMAS to operate consistently and effectively in the region for economies that join the initiative.</p> <p>At SOM III 2006, positive validation of the travel document as part of RMAS was announced. Work continues on implementing this function.</p> <p>Work is progressing on an internet-based RMAS which would provide significant cost-savings to other economies who may wish to join RMAS.</p>	<p>Completed and endorsed September 2006.</p> <p>RMAS can determine whether a passport is recognised by its issuing authority as having been validly issued.</p> <p>Due to commence by end 2011</p>
	<p>Contribution to Interpol ICPO Database</p> <p>The BMG agreed at SOM I 2005 to a recommendation that members cooperate to ensure that members provide lost and stolen travel documents to the International Criminal and Police Organization (ICPO) database by end 2006, on a</p>	<p>Ongoing</p>

OAA Objectives	Action	Time Frame
	<p>best endeavors basis.</p> <p>As at end 2011, 16 economies now contribute data to the ICPO database.</p>	
	<p>Dialogue with ABAC and Business Community</p> <p>ABAC actively participates in the work of the BMG. At SOM III 2011, the BMG further considered the ABAC recommendation that the ABTC's coverage be expanded beyond APEC and a paper was tabled to propose the BMG conduct a research study into this idea.</p> <p>A paper was also tabled at the BMG meeting to recommend measures for improving the processing of ABTC applications in response to ABAC's call to shorten and simplify the renewal process for ABTC holders.</p>	Ongoing
	<p>BMG to continue to work with ABAC to engage the APEC business community in improving business mobility (strategy agreed at SOM II, 2000).</p>	Ongoing
	<p>Members continue to consult with their business community in their home economies on mobility issues and report on any identified issues of concern to the BMG</p>	Ongoing
	<p>Members provide information (including on the ABTC Scheme) and encourage feedback from the business community about the BMG's initiatives via the Business Mobility website.</p>	Ongoing