# **CTI Sub-fora's Collective Action Plan**

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## **TARIFFS AND NON-TARIFF MEASURES: COLLECTIVE ACTION PLAN FOR 2010**

Objectives	Action	2010 Work Plan Item			
A. Support for the Mul	A. Support for the Multilateral Trading System				
(a) Ensure the expeditious supply of updates to the WTO Integrated database and any APEC databases.	Individual: support the WTO by providing tariff and trade data annually in accordance with WTO obligations. Non-WTO members may provide this information as a voluntary measure.  Collective: seek ways to improve the accessibility of tariff and ROO information including through the APEC WebTR portal.	<ul> <li>Assisted CTI's work on developing the APEC Website on Tariff and ROOs ("WebTR").</li> <li>Launched APEC WebTR portal on the APEC Secretariat's website in June 2010 during the SOM 2 meeting in Sapporo, Japan.</li> <li>Members continue to work on completion of the individual economy's web portals and update regularly the preferential ROO and MFN/preferential tariff information in English on their portal website</li> <li>Discussed on expanding WebTR scope to other areas such as Services and NTMs (WebTR Plus).</li> </ul>			
(b) Undertake practical work in support of the multilateral trading system	Collective: Seek concrete actions to support the multilateral trading system	<ul> <li>Discussed potential actions/initiatives to support the WTO/DDA negotiations as well as enhance opportunities for collaboration between MAG and WTO.</li> <li>Discussed and shared developments and concerns, if any, relating to products covered or to be covered by the ITA.</li> </ul>			
B. Making Rules of Origin	(ROO) More Business Friendly				
(a) Examine measures for making ROOs more business-friendly	Collective: Conduct analysis, survey and information sharing in the areas of:  a) Harmonization;  b) Simplification of documents and procedures	Sectoral analyses of ROOs in seven sectors (2009-2010)- refrigerator (Australia), musical instruments (Australia), steel (Japan), bicycles (Chinese Taipei), consumer electronics (United States), sporting goods (Chinese Taipei) and motor vehicles/parts and hand tools (Chinese Taipei).  Follow-up on "APEC Elements for Simplifying Documents and Procedures Relating to Rules of Origin" adopted in 2009  Collected information with regard to (a) validity period and (b) waiver of certificate of origin or declaration.  Collaborated with SCCP which conducted surveys on (d) clarity on treatment of errors made in certificate of origin or declarations  Shared information on electronic			

Objectives	Action	2010 Work Plan Item		
		certification of origin (Chile) and discussed possible works with regard to harnessing IT to ease documentation and procedures.  • Discussed possibility of information		
		collection for other elements, ie (c) minimum data requirements; and (e) harnessing IT to ease documentation and procedures; and whether to add other potential elements.		
C. Develop Environmenta	l Goods and Services Sectors			
(a) Share information and experiences of EGS	Collective: Develop information sharing system via website (EGSIE), and promote EGS projects by joint efforts with other	Developed and maintained     Environmental Goods and Services     Information Exchange (EGSIE).		
	relevant fora.	Endorsed EGSIE Content Guidelines     which provide guidance on the nature of     EGSIE as an information exchange tool,     as well as both acceptable content and     user access protocols.		
		Updated related initiatives undertaken by APEC fora in the EGS Work Program, including EWG/EGEE&C (Expert Group on Energy Efficiency and Conservation) Mapping Exercise on Energy Efficiency Products to seek greater collaboration among relevant fora with regard to EGS.		
(b) Assist in the organization for the CTI TPD on EGS	Collective: Participate in CTI-TPD on EGS	Provided MAG's inputs to CTI TPD on EGS held on 17 September 2010 in the margins of SOM3 meetings.		
C. Trade Facilitation				
(a) Pursue a series of seminars/policy discussions on trade facilitation measures	Collective: Share information and experiences for facilitating trade in remanufactured products.	Conducted surveys on each economy's existing policies on remanufactured products, and 12 members responded to the surveys.		
		Discussed analytical paper with regard to factors necessary to expand remanufacturing operation, and benefits of labeling remanufactured goods.		
D. Non Tariff Measures (I	NTMs)			
(a) Pursue a series of seminars/policy discussions on trade facilitation measures	Collective: Arrange workshops on food trade	Held a Workshop on Non-tariff     Measures and Non-tariff Barriers     Affecting Trade in Food and Agriculture     Products in the APEC Region on 19     September 2010 in Sendai, Japan.		
E. Activities with ABAC a	E. Activities with ABAC and/or Other External Stakeholders			
(a) Collaborate and work with ABAC and/or other stakeholders on the MAG agenda	Collective: Enhance collaboration with other relevant fora and business	Invited business communities including ABAC to MAG meetings and MAG- sponsored workshop to reflect business interests on MAG agenda.		
		Enhance cross-fora cooperation with SCSC, EGEE&C on EGS, and with SCCP		

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Objectives	Action	2010 Work Plan Item
		on ROO work for simplification of documents and procedures.  • Seek possible collaboration with the World Customs Organization (WCO) on preferential ROOs and the International Centre for Trade and Sustainable Development (ICTSD) on EGS.

## **SERVICES: COLLECTIVE ACTION PLAN FOR 2010**

	All Sectors other than Energy, Telecommunications, Tourism, and Transportation			
	Collective Action	Steps to Implement	Time Frame	Last implemented actions
a.	Review and exchange information on all trade and investment in services arrangements within APEC and study common elements.	Services Action Plan (SAP)	Ongoing	The SAP Matrix of Actions was developed. The matrix will assist in the identification of priorities and capacity building needs in relation to individual and collective efforts to boost services trade and development.
		Foster closer cooperation and synergy with other APEC fora/groups	Ongoing	The APEC Ecotourism Conference held in Sendai, Japan in September 2010 was a joint GOS-Tourism Working Group (TWG) project. The conference reached the general consensus that ecotourism would serve as an effective contribution to the growth attributes, in particular, inclusive growth, sustainable growth and innovative growth, laid out in the APEC Growth Strategy. Also, ecotourism constitutes a powerful tool for environmental sustainability, the prosperity of local communities and an innovative tourism industry.  The APEC Strategy on Movement of Business People, was endorsed by the GOS and BMG, and included principles relating to, and actions that could contribute to, the facilitation of entry and temporary stay and movement of business people.

All	All Sectors other than Energy, Telecommunications, Tourism, and Transportation			
Collective Action	Steps to Implement	lective Action	Time Frame	Last implemented actions
b. Identification of measures affecting and investment in service sectors.		ures affecting trade nvestment in all	Ongoing	
	Implement the Policy Framework		Ongoing	
	APEC Seminar on Trade in Health Services		Completed	The APEC Seminar on Trade in Health Services was held in Cebu, Philippines in February 2010. The seminar aimed to facilitate trade and investment in health services by conducting a review of trade in health services; factors that facilitate or inhibit health services trade; and a discussion of the actions and policies necessary for the development of competitive health sectors.
	Survey of Trade Liberalization in Environmental Services		Completed	The Report from the Survey on APEC Trade Liberalisation in Environmental Services was published in 2010. The report shares information on and improves understanding of trade in environmental services.
	Information Exchange of Environmental Services		Ongoing	A workshop will be held in Beijing, China in late November 2010 and will facilitate the exchange of information on both liberalization and technology aspects of environmental services within APEC economies.
	The Report on Significant Factors for Promoting ICT-related Service Trade		Ongoing	The report shares some of significant factors on ICT-related services being discussed in the other APEC for a/groups and improves understanding of trade in ICT-related services. The report is currently being drafted.

	All Sectors other than Energy, Telecommunications, Tourism, and Transportation			
	Collective Action	Steps to Implement	Time Frame	Last implemented actions
C.	Compile information on services trade statistics	To enhance capacity on trade statistics and respond to the challenges of services trade data collection	Ongoing	
d.	To improve understanding of the impact of liberalization of services.	Member economies to present case studies of their experiences on a voluntary basis aiming to generate momentum for services liberalization in light of perceived benefits of such process.	Ongoing	
		Workshop for Capacity Building on the Role of Cross-Border Services Trade in New Growth Strategies	Completed	The workshop was held in Sendai, Japan in September 2010. The workshop reaffirmed the positive role the services sector and trade could play for growth and how the services sector and trade could contribute to inclusive growth and green growth. A set of policy implications was drawn from the workshop which could be referred for further work in the GOS.
		Addressing SME Business Constraints through Services	Ongoing	A workshop is planned to be held in March 2011 and will examine the role that services play in enabling SMEs to better achieve their business and export potential and could contribute to the inclusive growth agenda.

	All Sectors other than Energy, Telecommunications, Tourism, and Transportation			
	Collective Action	Steps to Implement	Time Frame	Last implemented actions
e.	Study and carry out work concerning the development and adoption of common professional standards, in conjunction with	Discussion of possible actions to facilitate the provision of professional services in all member economies.	Ongoing	
	professional accreditation bodies and needed legislative measures.	APEC Legal Services Initiative	Ongoing	Development of an electronic repository of information on the regulation of the legal profession in relation to foreign lawyers to supply services in foreign and international law.
		APEC Legal Services Project	Ongoing	Creation of a databank of attorneys who work on trade and investment in the APEC region and capacity building seminars for international business lawyers are planned for 2011.
		APEC Accounting Initiative	Ongoing	A capacity building workshop to identify impediments to the provision of accounting services across APEC economies is planned for 2011. An inventory of current regulations affecting the provision of accounting services will be compiled into a database.
f.	Enhance transparency in service sectors	APEC Services Database	Proposed (pending BMC approval)	A database enabling services businesses to identify the services market access and behind-the-border requirements affecting market entry for trade in services in APEC member economies will be developed in 2011.
g.	Continue discussion of issues related to possible APEC principles or guidelines that may	APEC Principles for Cross-Border Trade in Services	Completed	
	be necessary to achieve free and open trade and investment in this area	Services chapter for model measures for RTAs/FTAs	Under consideration	

All Sectors other than Energy, Telecommunications, Tourism, and Transportation			
Collective Action	Steps to Implement	Time Frame	Last implemented actions
h. Monitor and, where appropriate and possible, contribute to the WTO's work on services.	Monitor WTO work on services	Ongoing	Member economies provided briefings on recent developments in the WTO negotiations at the GOS meetings in 2010.
	Hold APEC caucus meeting in the margins of services meetings in Geneva.		The GOS has and will continue to, where possible, convene APEC caucus meetings in the margins of services meetings in Geneva in an effort to contribute to the successful outcome of the WTO services negotiations.

## **INVESTMENT: COLLECTIVE ACTION PLAN FOR 2010**

Action	Steps to Implement	Time Frame
Transparency Short-Term		
A. Increase the transparency of APEC Investment regimes, especially via enhanced e-transparency	Promote accessibility and transparency in the formulation and administration of investment-related policies:	
	a) Establish an APEC-wide website or e-portal to replace the hard copy publication the APEC Investment Guidebook	On going
	b) Have "The APEC Public-Private Dialogue on Investment" to enhance Transparency and involvement of Private Sector.(Upon CTI decision)	2011-
	Establishing software networks on investment regulation and investment opportunities	
	a) Explore ways to improve the state of statistical reporting and data collection	Ongoing
	b) Establish a single window or special enquiry point for all enquiries concerning investment policies and applications to invest	Ongoing
	c) Adopt a centralised registry of laws and regulations and make this available electronically.	Ongoing
	d) Apply new technology to improve information, application and approvals processes	Ongoing
Policy Dialogue Short-Term		
B. Promote dialogue with the APEC business community on ways to improve the APEC investment environment	Have "The APEC Public-Private Dialogue on Investment" with Business sector including ABAC to enhance Transparency and involvement of Private Sector. (Upon CTI decision)	2011-
	Maintain regular contact with ABAC and other relevant business organizations including:	
	a) discuss at IEG meeting issues of relevance to IEG raised at ABAC's meetings and ABAC's recommendations to Leaders in the previous year	Ongoing
	b) Consider possible joint projects suggested by IEG or business organizations.	Ongoing
	3. Request input from ABAC on major APEC investment initiatives including through participation at IEG meetings, ABAC letters to IEG Convenor and other IEG events such as workshops, seminars, or investment symposia	Ongoing
C. Continue dialogue with appropriate international organizations dealing with global and regional investment	Establish a policy dialogue process with other international organisations, where appropriate	

Action	Steps to Implement	Time Frame
issues	a) Continue dialogue with World Bank on Investing Across Borders (lead economy: Australia and Japan)	Ongoing
	b) Continue dialogue with UNCTAD on current and emerging international investment issues with a view to undertaking joint studies and capacity building projects	Ongoing
	(i) Core Elements Project- Moving beyond phase III- Activity 1 Study on Core Elements of IIAs in domestic investment frameworks (lead economy: United States and Japan),	2010-2011
	(ii) Core Elements Project- Moving beyond phase III- A Handbook and Seminar for Negotiators (Steps 2&3) (lead economy: Mexico and Chile),	2010-2011
	(iii) Core Elements Project- Moving beyond phase III- Activity 4 Study on Transparency in IIAs (lead economy: Japan and United States),	2010-2011
	(iv) Core Elements Project- Moving beyond phase III- Intensive Training Course (lead economy: Peru),	2010-2011
	(v) APEC-UNCTAD Workshops on Investor-State Dispute Settlement: Core Elements Project- Moving beyond phase III- Activity 6 (lead economy: Philippines).	2010-2011
Study and Evaluation Short-Term		
D. Undertake an evaluation of the role of investment liberalization and facilitation in strengthening regional economic integration in the Asia-Pacific region for economic growth and development.	Consider extent to which existing peer review mechanisms assist in meeting APEC's strengthening regional economic integration objectives and options for improvement.	Ongoing
E. Study possible core elements between existing sub-regional arrangements relevant to investment	Review the investment provisions of current sub- regional arrangements including RTAs/FTAs, and identify possible core elements, including the relationships between these provisions.	Ongoing
	Core Elements Project- Moving beyond phase III- Activity 1 Study on Core Elements of IIAs in domestic investment frameworks (lead economy: United States and Japan),	2010-2011
F. Refine APEC's understanding of free and open investment	In the context of the 2005 mid-term stocktake of the Bogor Goals, assess the recommendations of Leaders as far as investment liberalization and facilitation and as necessary developing a new work program. Take into account Leaders' Declarations since then.	Ongoing
Medium & Long-Term		
G. Assess the merits of developing an APEC-wide discipline on investment in the light of APEC's own progress through the medium-term, as well as developments in other international fora	To be determined in the light of progress with policy dialogue in the medium-term.	Ongoing

Action	Steps to Implement	Time Frame
H. Study the advantages and disadvantages of creating investment rules – bilateral, regional, or multilateral – with a view to fostering a more favorable investment environment in the Asia-Pacific region	Where feasible, contribute to SOM studies on ways and means to promote regional economic integration, particularly investment issues as they relate to:  Identifying Convergences and Divergences in APEC's RTAs/FTAs; and  Docking or Merging FTAs	Ongoing
Facilitation Short-Term and Continuing I. Undertake practical facilitation initiatives by:  (i) Implement the Investment	Implement 15 actions (5 actions under 3 themes) of IFAP  (a) E-transparency:	Ongoing
Facilitation Action Plan endorsed by Ministers Responsible for Trade in June 2008	<ul><li>(b) Reducing investor risk through more certainty:</li><li>(c) Simplifying business regulation including reducing business costs:</li></ul>	
(ii) Progressively working towards reducing impediments to investments	Implement the principles identified through the IFAP process to promote further investment facilitation according to APEC STRATEGY FOR INVESTMENT upon CTI decision.  (Project proposals to address actions under the heading of these themes will necessarily relate to other areas of the CAP and will be noted there.)	2011-
(iii) Initiating investment promotion and facilitation activities to enhance investment flows within APEC economies	Implement capacity building program designed to benchmark and improve performance of member economy IPAs in specific areas under the IFAP      Implement activities under APEC STRATEGY FOR	2008-2010
	INVESTMENT upon CTI decision(See "K. Undertake new activities that contribute to capacity building.")	
Economic and Technical Cooperation Short-Term		
J. Identify ongoing technical cooperation and capacity building needs in the Asia-Pacific region and organize training programs which will assist APEC economies in fulfilling APEC investment objectives.	Share successful experiences of APEC economies in investment liberalization, facilitation and promotion in the APEC region through identifying key success factors including improved policies      Implement project — Capacity Building for Sharing Success Factors of Improvement of Investment Environment (Japan)	2008-10
	Enhance planning, development and implementation of policies concerning international investment rules	Ongoing
	3. Implement activities under APEC STRATEGY FOR INVESTMENT upon CTI decision (See "K. Undertake new activities that contribute to capacity building.")	2011-
Capacity Building Initiatives  K. Undertake new activities that	Identify the elements of capacity building to strengthen the economies of APEC member economies and undertake the following activities	

Action	Steps to Implement	Time Frame
contribute to capacity building.	categorizing 3 pillars under APEC STRATEGY FOR INVESTMENT upon CTI decision:	
	1. Advanced Principles and Practices a) APEC-UNCTAD Workshop on Investor-State Dispute Settlement (Core Elements Phase III activity 2) (lead economy: Philippines), held successfully in Manila in December 2009	2009
	b) Capacity Building for Dispute Prevention and Preparedness (lead economy: US), held successfully in Washington D.C. in July 2010. [Collaborating with UNCTAD]	2010
	c) Core Elements Project- Moving beyond phase III- A Handbook and Seminar for Negotiators (Steps 2&3) (lead economy: Mexico and Chile),	2010-2011
	d) Core Elements Project- Moving beyond phase III- Intensive Training Course (lead economy: Peru),	2010-2011
	e) APEC-UNCTAD Workshops on Investor-State Dispute Settlement: Core Elements Project- Moving beyond phase III- Activity 6 (lead economy: Philippines),	2010-2011
	2. Facilitation Economic Committee and Small and Medium Enterprises Working Group are also undertaking projects related to investment facilitation under EoDB action plan and Private Sector Development. IEG is cooperating with them in below projects.	
	f) In-depth Seminar on Enforcing Contracts (Phase I of the EoDB action plan (lead economy: Korea), held successfully in Seoul in June 2010.	2010
	g) Ease of Doing Business Capacity Building Workshop for Dealing with Permits – Reforming the Regulatory System for Construction Permits (lead economy: Singapore)	2010
	h) Best Practice Guide: Improving business regulation in APEC member economies, based on knowledge shared from the Ease of Doing Business/Private Sector Development Workshops series. (lead economy: New Zealand)	2010
	3. Promotion	
	i) Capacity Building for Sharing Success Factors of Improvement of Investment Environment –Phase 3 (lead economy: Japan) , held successfully in Sendai in September 2010.	2010
Menu of Options		
L. Ongoing improvements to the Menu of Options.	Cross-reference between the IAPs and Menu of Options.	Ongoing

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### STANDARDS AND CONFORMANCE: COLECTIVE ACTION PLAN FOR 2010

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
1	2	3	4	5	6	7	8
1.Alignment (03 a	ctions)					1	
Align APEC economies' domestic Standards with international standards	1) Member economies to align their standards with international standards in the area of IEC standards for electrical equipment. particularly those used in the IECEE CB Scheme	2006/Japan	2006	2010	All the participated economies achieve 100% alignment in the agreed area by 2010.	In process	- As of 1 <sup>st</sup> Jan. 2006, 09 economies out of 13 participated economies reported having completed their alignment work.  - No additional information reported  - Japan conducted the survey on VAP alignment work on 168 targeted IEC standards that covered under IECEE CB Scheme in May 2010.  - VAP report has been reviewed by member economies.
	2) The SCSC to continue identifying additional priority areas for alignment with international standards.	1997/Japan	From 1997	On-going	To identify additional priority areas for the VAP alignment work.	Completed	- Japan has been conducting a survey to identify economies' /industries' needs; - Japan Updated the VAP progress and 2011 priority sectors
	3) The member economies to align their standards with 28 international standards in the SCSC work program for 2010 VAP alignment work	2009/Japan	2009	2010	All the participated economies achieve 100% alignment in the SCSC work program for 2010 VAP activity.	In process	-The SCSC approved work program and new survey VAP format suggested by Japan to be implemented in 2010; - VAP update has been reported by Japan at SCSC 2 in 2010.  The new VAP forma t will be circulated by the end of February 2011 and reported at SCSC 2 2011

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	1) The SCSC to continue to investigate means of enhancing regulatory practices in the APEC region through a program of case studies, seminars and organization of SCSC Conference on GRP and SCSC Conference on Solar (PV) Standards and Conformance in conjunction with SCSC Meeting	2000/United States	From 2000	On-going	- Means of enhancing regulatory practices recommended for implementation	In process	- SCSC member economies and host economy (Japan) are continuing to investigate means of enhancing regulatory practices in preparation for 8 <sup>th</sup> SCSC Conference on Standards and Conformance was in Sendai, Japan in 2010.  - Project on the 6 <sup>th</sup> SCSC Conference on GRP will be held at SOM I in 2011.  - Project on SCSC Conference on Solar (PV) Standards and Conformance Measures Survey and Workshops to be held during SOM III in 2011.
3. Recognition of (	Conformity Assessment (4 actions)	)		,			
	In regulated sector:						
Achieve recognition of conformity assessment including mutual Recognition arrangements in regulated and voluntary sectors	1) Member economies to consider participation in the Arrangement for the Exchange of Information on Toy Safety and encourage the involvement of their regulators. (16 economies)	1996/United States	From 1996	On-going	- Increased number of interested member economy participating in exchange of information on toy safety - Increased number of regulators involved in the process - Harmonization of technical regulation on toy safety is carried out	Completed	- Member economies are continuing to implement the APEC Arrangement for the Exchange of Information on Toy Safety US TILF project on APEC best practice of Toy Safety conformity assessment systems are successfully implemented -02 seminars have been organized in Singapore (8/2009) and Hong Kong,China (1/2010) for exchange of information on toy safety Increased numbers of regulators involved in exchange process.  The United States updated on activities resulting from recommendations made by the APEC Toy Safety Initiative. including OECD meeting on 10 April 2010, participation of regulators in ISO TC 181 in Nov 2010 and a meeting of

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
							regulators on the margins of ICPHSO to be held in February 2011.  Members are requested to review the APEC Toy Regulator Contact List for accuracy and completion.
	2) Member economies to consider participation in Food safety cooperation forum and Sectoral food MRA	1997/ Australia, China, Thailand	From 1997	On-going	- Review yearly participation and its effectiveness in Food , food recall and Sectoral food MRA - Implementation of the measures to improve the effectiveness participation	In process	- Increasing number of economies-attending in Sectoral Food MRA meeting in Thailand Work plan for FSCF is carried out The United States and the World Bank reported on the progress of CTI 01/2010T on Capacity Building in Food Safety: Managing Food Safety Incidents and Developing Food Safety Plans for the Supply Chain, which is held on 5-7 November 2010 in Beijing, China. The planed PTIN Launch will occur during SOM-II in May 2011 on the margins of the MRT meetings.  Food Safety Cooperation Forum Workshop and Food Safety Incident Management Seminar, is to hold their activities in the margins of SOM2, 2011.China and Australia presented the FSCF "Briefing Note on Food Safety: Ensuring Reliable Sources of Safe Food Is a Critical Element of Food Security Initiatives" and accompanying FSCF Co-Chair letter to ABAC Chair.  The United States reported on the selffunded project for an "Expert Working Group" under the FSCF PTIN which was held at the World Bank, Washington, DC on 19-20 May 2010 The World Bank reaffirmed priority of food safety.

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
							Indonesia reported: Part one of the CTI 08/2010T "MLA Readiness Project in Food Safety Management Systems" was held in Bogor, Indonesia 27–30 September Part Two of the Project, aimed at accreditation bodies, will be held in Beijing, PR China, in 9-12 November 2010.
	3) Member economies to consider participation, review and improve its effectiveness in the MRA of conformity assessment of Electrical and Electronic Equipment, and encourage the involvement of their regulators.	2000/JAC Chair	From 2000	On-going	- Review yearly participation and its effectiveness in EE MRA - Regulators participation of all member economies in EE MRA	In process	- The progress of the APEC EE MRA work plan has been reported by JRAC Chair (Australia) The JRAC Meeting was held in May 2010 in Tokyo  JRAC members agreed to update comparative data on trade, manufacture and enforcement activities.
							JRAC developed a detailed work plan for 2010 and 2011, with key initiatives in risk assessment models, engagement with the IEC, good regulatory practice case studies and emerging technologies.
							- Peru is preparing participate in I part and Chile is preparing to participate in II part of EE MRA Peru and Chile informed SCSC on their preparedness of participation in relevant part of EE MRA.
	Voluntary sector:						
	4) Member economies to encourage on going	1998/ Indonesia	From 1998	On-going	- Increased number of member economies participating in MRAs and	In process	- See Progress report of Lead economy (Indonesia).

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	participation and expansion in APLAC-MRA, PAC MLAs and CIPM Global MRA.				technical activities underpinning their participation		
4. Technical Infras	tructure Development (6 actions)						
Promote cooperation for technical infrastructure development to facilitate	1) Member economies to strengthen participation in Specialist Regional Bodies activities.	1996/Member economies	From 1996	On-going	- Increased number of member economies participating in SRBs - Active participation in SRBs activities strengthened	In process	- report of SRBs to SCSC has been submitted at SCSC 1 and SCSC2 meetings in 2010 - From APLAC Report: 5 APLAC events planned to be organized in 2010 from SCSC1/2010 - From APLMF Report: 4 APLMF workshop and training courses planned to be conducted in 2010 from SCSC1/2010 were reported From APMP Report: 2 new members from VNIIM, Russia and RCChem-LIPI, Indonesia; information about the support for member participation in the CIPM MRA and events to be organized in 2010 From PASC Report: Future PASC meeting was reportedFrom PAC Report: Meetings and activities were reported
Broad participation in promote cooperation for technical infrastructure development	2) The SCSC to support and actively participate in APEC/SCSC Conferences on Standards and Conformance.	2006/Host economy	2006	On-going	- SCSC to organize the conference in every 02 years - SCSC support host economies in encouraging all member economies actively to take part in conference and to provide speakers for conference	In process	- Final report on the 8 <sup>th</sup> Standards & Conformance Conference held in Sendai in September 2010 is in the process of finalization host economy (Japan)
To facilitate broad	3) Member economies to support and actively participate	2006/Host economy	2006	On-going	- SCSC to organize the conference in every 02 years		- The 6 <sup>th</sup> GRP Conference has been decided by SCSC to be held next year

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
participation in mutual recognition arrangements in both regulated and voluntary sectors	in APEC Conferences on Good Regulatory Practice.				- All member economies actively to take part in conference - Member economies to provide speakers for conference		2011.
	4) The SCSC to undertake the following technical infrastructure projects:		From 2008	2010			
	a) APEC Strategic Standards Education Program: Phase II – Textbooks and Teaching manuals development	2006/Korea	2008	6/2009	has been reported by Korea at SCSC 2 in 2010	Completed	- Results of project implementation reported by Lead economy (Korea) at SCSC meeting Draft of 10 textbooks have been prepared and circulated to SCSC members for comment PAGE meeting has been organized back to back to SCSC1 plenary meeting 2010 Textbook has been prepared and printed The Project's Phase III was submitted by Korea for BMC approval.
	b) Seminar and Training Courses in Legal Metrology	2007/China	2008	2009	reported by China in the final report of the project implementation	Completed	See final report of Lead economy (China)
	c) Seminar on National Food Safety System	2007/China	2008	2009	reported by China in the final report of the project implementation	Completed	See final report of Lead economy (China)
	e) Strengthening legal metrology infrastructure for trade facilitation (CTI 46/2009T)	2010/China	2009	2010	reported by China at SCSC2 in 2010	In process	- See progress report of Lead economy (China).
	f) Seminar-Workshop on the Development and strengthening of food recall system for APEC member economies (CTI 55/2009T)	2010/ Philippines	2009	2010	reported by Philippines at SCSC2 in 2010	Completed	- See progress report of Lead economy (Philippines).

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	g) Study on Export Technical Assistance Models (CTI 36/2009T)	2010/ Singapore	2009	2010	reported by Singapore at SCSC2 in 2010	Completed	- See progress report of Lead economy (Singapore).
	h) 8 <sup>th</sup> Conference on Standards and conformance (CTI 44/2009T)	2010/Japan	2009	2010	Progress report ed at SCSC 2 . Final report of the project implementation is being prepared.	In process	- The conference has been conducted final report will be submitted
	i) Supply Chain Visibility Workshop (CTI 05/2010T)	2010/Japan	2010	2010	Progress report ed at SCSC 2 . Final report of the project implementation is being prepared.	In process	- The Workshop has been conducted in 2010. Final report will be submitted - See progress report of Lead economy (Japan).
	MLA Readiness Project in Food Safety Management Systems (FSMS) (CTI 08/2010T)	2010/ Indonesia	2010	2010	Progress reported at SCSC 2	In process	The 1 <sup>st</sup> Workshop has been held in Bogor, Indonesia in 30 September and will held the 2 <sup>nd</sup> Workshop in Beijing in November 2010.
	The 6 <sup>th</sup> Conference on GRP (CTI 20/2010T)	2010/United States	2010	2011	Progress reported at SCSC 2	In process	Conference to be held in conjunction with SOM I in 2011.
	APEC Food Safety Cooperation Forum Seminar and Workshop (CTI 21/2010T)	2010/China, Australia	2010	2011	Progress reported at SCSC 2	In process	These activities are scheduled to be held in SOM II in 2011.
	Solar (PV) Standards and Conformance Measures Survey and Workshop (CTI 23/2010T)	2010/United States	2010	2011	Progress reported at SCSC 2	In process	Workshop to be held in SOM III in 2011
	Sustainability in Building Construction (Commercial Buildings) — Efficiency and Conservation	2010/United States	2010	2011	Full project proposal to be approved by BMC	In process	Workshop scheduled to be held in SOM I in 2011
	School Feeding Systems in APEC Economies	2010/Russia	2011	2011	Full project proposal to be approved by BMC	In process	Seminar/workshop scheduled to be held in April/May 2011 in Moscow
	Conference on Implementation of Energy Management Standardization	2010/United States	2011	2011	Full project proposal to be approved by BMC	In process	Conference scheduled to be held in conjunction withy SOM I in 2011
	APEC Wine Regulatory Forum - Seminar on Key Issues in Wine Regulation	2010/United States	2010	2011	Full project proposal to be approved by BMC	In process	Seminar scheduled to be held in conjunction with SOM III in 2011

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	APEC SCSC Strategic Education Program for Trade Facilitation – Phase III. Pilot Implementation for Higher education	2010/Korea	2010	2011	Full project proposal to be approved by BMC	In process	SCSC PAGE-ANSI CoE Conference on Standards Education scheduled to be held in conjunction with SOM I in 2011
	5) The SCSC to support capacity building oriented to training business, especially SMEs, improving their knowledge, expertise and skills on standards and conformance matters, including public consultation	2003/Chile and Brunei Darussalam	From 2003	On–going	- Capacity building program approved for implementation - Encouraging member economies to provide inputs for training program	In process	- Progress report of Lead economies (Chile, Brunei Darussalam) to be updated at SCSC2 in 2010.
5. Transparency ((	6) Member economies to support the implementation of work plan for improving SMEs access to standards and conformance information.	2006/Chile and Brunei Darussalam	2006	On-going	- Member economies actively participating in work plan implementation	Completed	-Project to develop best practices for agencies/ organizations that assist companies, particularly SMEs, with overcoming TBTs is implementing by Singapore and member economies.  - Seminar on the export technical assistance models is being organized back to back to SCSC1 2010 plenary meeting.  - Chinese Taipei has provided URLs in supporting SMEs to access standards and conformance related information, which has been included in the SCSC webpage.  - See final report of Lead economies and SCSC Webpage.
Ensure the transparency of	1) Member economies to update the APEC Contact Points	1997/ APEC	From 1997	On-going	- Contact point for Standards and conformance of economies is updated	In process	Member economies are updating contact points annually.

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
the standards and conformity assessment of APEC economies	for Standards and Conformance Information, including member economies' participants in the Specialist Regional Bodies and member economies SCSC Contact Points. Member economies agreed that this information should be maintained on the APEC Secretariat's homepage.	Secretariat			- The information on the member economies contact point for Standards and conformance is maintained on the APEC Secretariat's homepage		
	2) Member economies to endeavor to establish Internet websites to disseminate standards and conformance information.	1997/ Lead economy need to be identified	From 1997	On-going	- The internet website to disseminate standards and conformance information is established by member economies	In process	Member economies continues to establish and maintain internet website to disseminate Standards & Conformance information
6. Cooperation wi	th Specialist Regional Bodies (01	actions)					
	1) SCSC to pursue closer cooperation with the Specialist Regional Bodies (SRBs) e.g. in development of technical activities relevant to SRBs	2000/ Indonesia	From 2000	On-going	1. APEC TILF and other APEC-funded funding proposals involving standards and conformance issues have relevant SRB input, and resulting projects have active involvement by relevant SRBs.  2. Regulators in APEC economies develop appropriate reference standards and conformance infrastructures that build on and are effectively linked with SRB activities to achieve good regulatory practice and to minimize technical barriers to trade.  3. Industry (especially SMEs) makes use of the SRB standards and conformance infrastructure to help achieve its aims.  4. Closer ongoing dialogue between ABAC and SRBs.	In-process	- SCSC has endorsed the SRB strategic Plan (5 years) for Technical Infrastructure Development in Support of Trade Facilitation in APEC Economies at SCSC Meeting, in February 2008, including a summary of the activities undertaken by the SRBs in recent years and outlines some projects and activities planned for the next five years - Update report was provided by Indonesia at SCSC2 in 2010.

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OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
7. Cooperation wi	th International Bodies ( 02 action	es)					<u> </u>
	1) The SCSC to monitor the developments within the WTO Committees on the TBT and SPS and discuss implementation issues.	2001/Japan and China	From 2001	On-going	SCSC be kept informed on and contribute to TBT/SPS activities.	In process	Japan and China report at SCSC meeting, the relevant TBT/SPS discussions as well as SCSC's contribution and implementation for these activities.  - For development within WTO TBT Committee: reported by Japan at SCSC 2 in 2010.  - For development within WTO SPS Committee: reported by China and Mexico at SCSC 2 in 2010.
	2) The SCSC to encourage member economies to actively joint in ISO, IEC, ITU, IAF, ILAC, OILM, CGMP activities.	2003/Japan	From 2003	On-going	Increased number of participating member economies.	In process	Japan has been conducting a survey to identify the status of each economy's membership and its business' participation in ISO, IEC and JTC1.  - Updated information was reported by Japan at SCSC2 in 2010.
8. Cooperation wi	th other APEC Fora (03 actions)						,
	1) The SCSC to pursue further coordination with other APEC fora.	2001/ APEC Secretariat	From 2001	On-going	-The coordination activities with other APEC fora to be considered in SCSC program	In process	The coordination activities with other APEC for a such as MAG, Chemical Dialogue, etc that are carried out by SCSC in its work program.
	2) The SCSC to conduct work plan for promoting the business interaction with SCSC activities including:						
	a) Involvement of the business in the SCSC's Conferences on	2008/Japan	2008	On-going	- Business' participation increased; - Information circulated and shared.	In process	- Business involvement in 8 <sup>th</sup> Standards & Conformance conferences in 2010

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	GRP and SCSC's conference on Standards and Conformance						and other SCSC projects/workshops held in SOM 3.  A SCSC concept note from US and Australia: seminar on key issues in wine regulation has been in principle approved by BMC. This seminar has the objective of promoting regulatory coherence in the APEC region, improved dialogue and cooperation. Regulators in developing economies will have a forum to develop regulations that are trade facilitating, prevent consumer deception, guarantee food safety and are WTO consistent.
	b) Participation of business in the national and international technical committees (TCs) and Sub-committees (SCs)	2008/Japan and Indonesia	2008	On going	- Update information on the involvement of APEC business in the national & international standardization process - Reporting the update information of the involvement of APEC business in national and international standardization.	In process	Japan has conducted and reported on the survey to obtain information regarding the participation of business in International Technical Committees and Sub- Committees.  Japan presented the update of results of the survey questionnaire. The survey showed that the number of economies achieving the KPI has increased step by step over the past three years, achieving more than "90 % in industry participation" in most of the member economies by this time. It was confirmed that this successfully completes the three year project. The SCSC endorsed the results of the survey.

OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
	c) ABAC/Industry-SCSC dialogue on specific SCSC's activities interested by business at SCSC conferences and meetings	2008/Host economy	2008	On going	- The Dialogue organized with participation of representatives from NSBs, businesses and ABAC.		ABAC/Industry-SCSC dialogue has been conducted in 7 <sup>th</sup> SCSC Standards and Conformance Conference held in Cusco in August 2008.2009 APEC Response to ABAC 2008;  Recommendations and Consolidated
							2010 ABAC Response to 2009 APEC Response to be reported by APEC Secretariat.
	d) Supporting business in using IT for exploring and updating the information on standards, technical regulation and conformity assessment	2008/ Singapore	2008	2009	- Enhancement of IT use in standards and conformance through experiences sharing	In process	The progress report will be provided by lead economy (Singapore).  - This action is recommended to be taken off from the CAP.
	e) To develop strategy for promoting the interaction between SCSC and business in standards and conformance activities through conducting the APEC/ TILF funding project	2008/Viet Nam and United States	2008	2009	- The strategy is prepared and endorsed by SCSC for implementation in the coming years - Experience-sharing workshop for discussion of the Strategic plan have been organized with Participation of ABAC, Business, SRBs and related stakeholders	In process	- The APEC/TILF project on strategy for promoting the interaction with business in SCSC activities is carried out - Workshop on Business engagement in SCSC activities has been organized successfully in Singapore progress report were presented at SCSC1 2010 meeting - Viet Nam and the United States reported on the progress project finalization of the APEC Conference on Business Engagement in Standards and Conformity Assessment and presented the survey results and draft final strategy for SCSC discussion The United States and Vietnam conducted the Survey on Business Engagement in SCSC activities in July-August 2010.
							- Updated information has been reported by the United States and Vietnam at SCSC2 in 2010

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	OAA Objectives	Actions	Year of CAP/ Lead economy	Starting year	Target year Developed/ developing	Target outcomes (Quantitative/ Qualitative)	Status (Completed/ in process)	Remarks
		<b>3)</b> The SCSC to hold Policy Dialogue sessions on standards and conformance and follow-up the policy implications arising from them	2002/Host economy	From 2002	On-going	- Reflecting policy dialogue on S & C and follow-up actions in work plan of SCSC	In process	Policy Dialogue on Standards & Conformance is considered at SCSC meeting annually
!	9. Reform of SCSC.							

#### **CUSTOMS PROCEDURES: COLLECTIVE ACTION PLAN FOR 2010**

Since the first meeting in 1995, the APEC Sub-Committee on Customs Procedures (SCCP) has been making efforts to promote trade facilitation, which is one of APEC's main agenda items toward the achievement of the Bogor Goals. To achieve concrete results for trade facilitation, the SCCP has developed Collective Action Plans (CAP) and the Customs administrations of APEC 21 economies have been working collectively to implement the CAP items. The SCCP developed 9 CAP items such as "Harmonization of Tariff Structure with the HS Convention" in 1995. Since then, CAP items have been added upon request of members and the business community; the SCCP currently has 16 CAP items. The year 2010 is the target year for the industrialized economies to achieve the Bogor Goals and its assessment has been proceeding mainly at the Senior Official Meetings. At the first meeting of the SCCP in 2010 it was agreed that a comprehensive evaluation and analysis would be conducted of the progress made during the past 15 years of the SCCP and to discuss future activities of the APEC Customs administrations at a higher level.

#### Status of CAP Items

Project	Coordinator	Status
		Update/Comments
Harmonization of Tariff Structure with the HS Convention	Japan	All the 21 economies adopted tariff schedules based on the HS Convention. 20 economies among them used tariff schedules based on the HS Nomenclature 2007 Edition (latest edition) and 1 economy has used that of 2002 Edition. This means tariff schedules in the APEC region were almost harmonized by the HS.
Public Availability of Information on customs Laws, Regulations, Administrative Guidelines and Rulings provided to the business sector on ongoing basis	Singapore Hong Kong, China	All the 21 economies developed customs websites to provide information on customs. In addition to the local language version of the websites, 20 economies have developed English version web-sites for considering stakeholders in abroad. Almost all the economies provide information such as customs laws, customs procedures, their Q&A, amendments of customs laws and procedures and contact points through their websites. 18 economies have publicized their tariff rate on their websites.
Simplification and Harmonization on the Basis of the Revised Kyoto Convention Adoption and Support for the	New Zealand Japan Australia	Only 10 economies have acceded to the Revised Kyoto Convention. On the other hand, those economies which have not acceded yet have adopted major principles for trade facilitation stipulated in the convention.  All the 21 economies introduced computerized customs
UN/EDIFACT/Paperless Trading	Australia	clearance systems. 13 economies among tem introduced Single Window system.
Adoption of the Principles of the WTO Valuation Agreement	Canada United States	All the 21 economies adopted customs valuation scheme based on the WTO Valuation Agreement. In addition, all the 21 economies introduced post clearance audit systems.
Adoption of the Principles of the WTO Intellectual Property (TRIPS) Agreement	United States	20 economies adopted the WTO TRIPS and 19 Customs administrations are responsible for border enforcement on goods infringing copyright and trademark. However, only 11 economies are responsible for goods infringing other rights such as patent and design right which are not obliged to enforce at the border by the TRIPS.
Introduction of Clear Appeals Provisions	Canada Philippines	All the 21 economies introduced appeal systems.
Introduction of an Advance Classification Ruling System	New Zealand Korea	19 economies introduced advance ruling system for tariff classification. All the 21 economies established special units for uniform application of tariff classification at headquarters and/or regional customs offices. All the 21 economies established special units for uniform application of tariff classification at headquarters and/or regional customs offices.
Provisions for Temporary Importation, e.g. acceding to the A.T.A. Carnet Convention or the Istanbul Convention	Chinese Taipei United States	Only 14 economies acceded to the ATA Convention and/or Istanbul Convention. However, those economies, which have not acceded, adopted procedures in line with these conventions.
Harmonized APEC Data Elements	Canada	13 economies applied the WCO Data Model into their customs clearance systems.

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Project	Coordinator	Status Update/Comments
Risk Management Techniques	Australia United States	20 economies introduced risk management approach and developed databases to achieve effective risk management. 20 economies introduced X-ray inspection equipment for containers.
Guidelines on Express Consignments Clearance (Current: WCO Immediate Release Guideline)	United States China	Only 16 economies adopted the principles of the WCO Immediate Release Guidelines. Only 14 economies have introduced the threshold value for waiver of duties and taxes (de minimis rule).
Integrity	Australia Hong Kong, China	20 economies developed codes of conduct for customs officers. 18 economies introduced internal audit systems.
Customs-Business Partnership	Hong Kong, China Mexico Chinese Taipei	Various measures have been taken in each economy to enhance cooperation with business. The number of economies which provide regular consultation sessions to stakeholders reached 20
Implementation of APEC Framework Based on the WCO Framework of Standards to Secure and Facilitate Global Trade	United States Japan, Korea	9 economies introduced AEO program and 2 economies commenced AEO pilot project in line with the WCO AEO Guidelines and 9 agreements on mutual recognition of the AEO systems have been signed in the region.
Time Release Surveys (TRS)	Indonesia, Korea	Only 14 economies conducted Time Release Surveys.

# SCCP: 2010 Work Program

APEC Priority	Subject	Objective	Action	Status
Trade Facilitation	Supply Chain Connectivity	Contribute to the development of the Supply Chain Connectivity Framework (SCF) endorsed by 2009 AMM and AELM, and involve in the implementation of the action plan for SCF.	Discuss and identify specific actions to improve the chokepoints relevant to Customs specified by the SCF. The action plan for SCF will be developed by November 2010.	Ongoing: SCCP members agreed on the Customs related parts in the SCI action plan. SCCP continues to work on the implementation of the action plan.
	TFAP 2	Contribute to the implementation of TFAP 2 in areas related to customs procedures, and evaluate progress through the agreed key performance indicators (KPIs) related to customs procedures.	Progress the implementation of TFAP 2 initiatives by providing capacity building, and evaluate progress in agreed KPIs for areas related to customs procedures.	Ongoing: SCCP members will submit data of the agreed KPIs to the SCCP chair by the end of September. All the data submitted by members will be forwarded to the Policy Support Unit (PSU) in due course.
	Single Window	Work towards the development of "Single Windows" in each APEC economy, and establish links that enable seamless data sharing between SW systems through the identification and use of recognized  Support the development of Single Window and accelerate seamless data sharing between SW systems through information sharing among APEC member through information sharing among a		Ongoing: SCCP endorsed the SCCP SW report submitted by Japan and Chinese Taipei. SCCP continues to work on the development of SW by 2020 and accelerate seamless data sharing between/among SWs.
		international instruments and standards.		SCCP agreed to create a new CAP on Single Window.
	Time Release Study	Continue implementation of time- release study (TRS) and disseminate best practices of TRS.	Member economies conduct TRS to identify bottlenecks of flow of goods and enhance predictability of international trade.	Ongoing: SCCP continues to share experience of members' implementation of TRS.
	IT Application to Customs procedures	Exchange information on IT application to Customs clearance procedures and other Customs related trade facilitation areas.	Collate/Share information on new technologies and equipments applied for Customs procedures to facilitate trade	Ongoing: SCCP continues to exchange experience and information on new technologies applied for Customs procedures to facilitate trade.
	Public-Private partnership	Promote public-private partnership.	Consult with ABAC and the business community to enhance partnership between Customs and business and conduct the APEC Customs-Business Dialogue (ACBD) 2010.	Completed: The ACBD 2010 was successfully concluded.

APEC Priority	Subject	Objective	Action	Status
Trade Facilitation / Secure trade	Operator program by each APEC econom		Implement the AEO action plan including the development of the AEO Best practice	Ongoing: AEO Working Group developed a compendium on AEO. SCCP endorsed the compendium and continues to implement the AEO Action Plan.
				SCCP agreed to create a new CAP on AEO.
Secure Trade	Trade Recovery Program (TRP)	Build the communication network to facilitate the resumption of the legitimate international flow of goods based on the WCO TRP.	Develop a list of contact points among APEC Customs administrations and develop tools for information exchange among APEC Customs administrations to facilitate trade recovery activities.	Ongoing: SCCP endorsed, as a working document, the Action items and Compendium to build the communication network to facilitate the resumption of trade reported by Japan and Singapore.
				The SCCP also agreed to keep the TRP as an item in the agenda of future SCCP meetings.
				The United States will work intercessionally with interested economies to carry the work forward.
	Secure Trade and new Technology	Share information on new technologies to secure global trade.	Collate/Share information on new technologies and equipments applied for Customs activity to secure global trade.	Ongoing: SCCP continues to share information on new technologies and equipments applied for Customs activity to secure trade.

APEC Priority	Subject	Objective	Action	Status
Intellectual Property Rights Enforcement	Proper IPR border enforcement	Strengthen intellectual property rights (IPR) border enforcement in the APEC region and promote greater collaboration between Customs and right holders.	Share experience of C2C cooperation on information exchange about suspension of counterfeited and pirated goods, and enhance cooperation between Customs and right holders.	Ongoing: SCCP endorsed the outcome of the study on IPR border enforcement reported by Hong Kong, China and Japan. SCCP continues to work on possible action to be taken in IPR enforcement
CAP Evaluation	CAP evaluation	Review past 15 years CAP activities by stocktaking assessment on the implementation level for each CAP item.	Conduct stocktaking assessment and discuss future directions on APEC Customs activities.	Ongoing: SCCP endorsed the Evaluation report on CAP reported by Japan and United States. SCCP members will make efforts to achieve remaining 6 CAP items by 2020 and consider appropriate measures including the creation of new CAP items to tackle rapidly changing environment surrounding customs.
Environment	Customs and environment	Share information on how Customs contribute to global environment issues.	Share experience and information on Customs contribution to global environment through particular Customs activities. US will make a proposal on how SCCP can collaborate on the issue of Customs and the environment.	Ongoing: Keep the environment issue as a SCCP agenda and continue to share experience and information on Customs contribution to global environment.
Transparency	Transparency	Improve transparency in Customs procedures and integrity of Customs to facilitate trade in APEC region	Work for the enhanced transparency in Customs procedures	Ongoing: SCCP continues to share best practices on transparency. US will make a proposal on how the SCCP can further enhance transparency in Customs procedures.

TRS

wco

WTO

Time Release Survey

World Customs Organization

World Trade Organization

#### Acronyms

ABAC	APEC Business Advisory Concil	IT	Information Technology
ACBD	APEC Customs-Business Dialogue	KPI	Key Performance Indicator
AELM	APEC Economic Leaders Meeting	MRT	Ministers Responsible for Trade
AEO	Authorized Economic Operator	SCCP	Sub-Committee on Customs Procedures
AMM	APEC Ministers Meeting	SCF	Supply Chain Framework
APEC	Asia-Pacific Economic Cooperation	SOM	Senior Officials' Meeting
CAP	Collective Action Plan	SW	Single Window
C2C	Customs to Customs	TFAP	Trade Facilitation Action Plan
IPR	Intellectual Property Right	TRP	Trade Recovery Program

# INTELLECTUAL PROPERTY RIGHTS: COLLECTIVE ACTION PLAN FOR 2010

Objectives/ Goals		Actions with lead economy, if any	Status/ Target Date
(a) Deepening the Dialogue on Intellectual Property Policy - FTA/ RTA on IP Chapter - Exchange of development of IP-related policies - Strengthening the IPR system - Appropriate protection of IPR in	1.	Australia made an oral update on the RTA/FTA matrix, which helps support the goal of economic integration in this region and is a CTI priority.	On-going. Members are encouraged to provide updates before the next meeting when this initiative will be concluded.
emerging fields, including but not limited to the following:  O Protection for Biotechnology and Computer-related Inventions O Protection for Geographical	2.	<u>Chile</u> informed that the APEC IPEG Survey on Copyright Limitations and Exceptions is finalized.	Completed. Chile distributed hard copies of the survey
Indications  o Electronic commerce o Genetic resources and traditional knowledge o Plant variety protection systems	3.	<u>The U.S.</u> has been conducting an APEC IPEG Survey on Opposition Proceedings.	Ongoing. Members are encouraged to provide comments to a draft final report.
c reactions, processor systems	4.	The U.S. has been conducting an APEC IPEG Survey on Certification and Marks	Ongoing. Members are encouraged to provide comments to a draft final report.
	5.	Mexico made an oral update and express its commitment to circulate, between sessions, the final "Report on the Geographical Indications Regimes in APEC Economies" based on GI Questionnaire elaborated by IPEG	A final version of the Report will be circulated to member economies
	6.	Peru presented a proposal on "Seminar on Successful Experiences Implementing Tools for Traditional Knowledge Protection" which is the second phase of the project "Raising Awareness and Providing Insights on promoting appropriate Access and protection of genetic resources and traditional knowledge in APEC Economies".	The project was endorsed by IPEG. Not approved yet by BMC. Australia; China, Thailand and Viet Nam offered to be co-sponsors.
<ul> <li>(b) Support for Easy and Prompt</li> <li>Acquisition of Rights: <ul> <li>Participation in International IP-related</li> <li>Systems</li> <li>Establishing Internationally Harmonized</li> <li>IPR Systems</li> <li>Cooperation on Searches and</li> <li>Examinations</li> <li>APEC Cooperation Initiative on Patent</li> <li>Acquisition Procedures (The launching of the initiative was included in REI</li> <li>Action Plan 2008)</li> </ul> </li> </ul>	1.	Japan proposed the self-funded initiative for a more coherent approach under the APEC Cooperation Initiative on Patent Acquisition Procedures, which is basically outlined as setting up a "one-stop" website, allowing patent system users to download forms to be used when they request an IP Office to conduct examination by referring to the results of search/examination already carried out by another Office.	The initiative was endorsed by IPEG. Japan is working on launch of the website.
	2.	The U.S. made an oral update on the development of the "Patent Cooperation Initiative on Patent Acquisition Procedures - Roadmap for Further Cooperation: Gap Analysis"	Ongoing. Members are encouraged to participate in the Gap Analysis exercise.
(c) Electronic Processing of IPR-related Procedures: - Electronic Filing Systems	1.	Members are encouraged to better use of the APEC online resources, particularly APEC Information Management Portal	The Secretariat has been encouraging the use of the platform.

Objectives/ Goals		Actions with lead economy, if any	Status/ Target Date
- Dissemination of Information by Electronic Means		(AIMP).	
	2.	Members are encouraged to better utilize the folder (IPEG Surveys) created in the APEC Collaboration System, which stores all surveys including: i) Surveys/information collection activities of IPEG for which responses are sill awaited from some economies; ii) Survey/information collection activities (as part of the approved/endorsed by IPEG) currently in progress; and, iii) completed surveys.	On-going. The list of surveys can be found on the IPEG ACS in the "surveys" folder.
(d) Cooperation for Improvements to the IP Systems and Its Operation, including capacity buildings, co-operation with other fora /authorities/ stakeholders	1.	Australia and China made an oral update on the "Survey of Strategic Consideration of IPR Capacity Building in APEC Economies".	Completed. 13 economies responded to the survey. Australia and China encouraged Members to refer to the results of the survey when considering future capacity building activities.
	2.	China made an update of the ""Survey on the Legal System of Preventing Improper Use of IPR in APEC Economies". [Proposal under consideration in IPEG]	On-going. Russia; Thailand and Peru supported this self-funded project.
	3.	China proposed an APEC IPEG Seminar on Practices of IPR Protection in Standardization. [Proposal under consideration in IPEG ]	On-going. China and the U.S. agreed to jointly develop the proposal, taking into account members' comments and in consultation with the SCSC. Russia; Chinese Taipei, Chile offered to cosponsor.
	4.	Japan proposed the self-funded Intellectual Property Academy Collaborative Initiative (iPAC initiative) which is basically outlined as setting up a web-based platform to facilitate effective information sharing and dissemination on IP Academies (training, educational, or research organizations/institutions in the IP field).	The initiative was endorsed by IPEG. Japan is working on launch of Web-platform.
	5.	<u>Japan</u> organized the High-Level Forum with WIPO back-to-back with the 30 <sup>th</sup> IPEG Meeting.	Completed. The forum was held on March 1-2, 2010, in Tokyo, Japan.
	6.	Russia proposed to organise a project titled "Enhancing APEC Capacity Building for Intellectual Property Protection and Use: Training for Trainers".	The project was endorsed by IPEG and was approved by the BMC. China; Korea, the U.S.; Peru and Viet Nam offered to be co-sponsors. Russia is working to launch the implementation of the project in October 2010 as

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Objectives/ Goals		Actions with lead economy, if any	Status/ Target Date
	7.	IPEG members held the IPEG-ABAC Dialogue on the margins of the 30 <sup>th</sup> IPEG Meeting, held on March 4, 2010, in Hiroshima, Japan, with topics: i) Patent Cooperation; ii) IP at the Border	planned.
	8.	IPEG participated in the SCCP Meeting held on March 4, 2010, in Hiroshima, Japan, briefing members on topics related to IP at the Border.	On-going. It was suggested to have the dialogue annually in order to continue with the collaboration. Members agreed to Chair's suggestion that the next IPEG-ABAC dialogue be held on the margins of the 32 <sup>nd</sup> IPEG.
	9.	IPEG Chair shared the launch of IP Explorer, the web-based resource to assist Small and Medium Enterprises (SMEs) manage their intellectual property rights (IP) and invited SMEWG to help promote IP Explorer with their stakeholders.	On-going. IPEG and SCCP are eager to continue with the collaboration.
	10.	<u>Life Sciences Innovation Forum (LSIF)</u> "APEC LSIF Anti-Counterfeit Medicines Action Plan"	
		Ms. Barbara Norton, Chair of the Life Sciences Innovation Forum (LSIF) Planning Group, asked to share with IPEG the APEC LSIF Anti-Counterfeit Medicines Action Plan which was endorsed by the LSIF this summer.	
<ul> <li>(e) Establishing Effective Systems for IPR Enforcement:         <ul> <li>Exchange of Information Concerning IPR Infringement</li> <li>Other enforcement related matters</li> </ul> </li> <li>(f) APEC Anti-Counterfeiting and Piracy Initiative, with the following four key objectives:         <ul> <li>Reduce Trade in Counterfeit and Pirated Goods</li> <li>Reduce Online Piracy</li> <li>Increase Cooperation to Stop Piracy and Counterfeiting</li> <li>Increase Capacity Building to Strengthen Anti-Counterfeiting and Piracy Enforcement</li> </ul> </li> </ul>	1.	The U.S. made an oral update of the proposal for Effective Practices Addressing Unauthorized Camcording [Proposal to be considered in IPEG]	On-going. The U.S. will provide information on reports or studies to document the connection between camcording and optical disk piracy and internet piracy, in the context of organized criminal activity.
(g) Promoting IP Asset Management and Utilisation in APEC Economies	1.	Korea organized the "One Village One Brand Seminar" (CTI12-/2009A).	Completed. The seminar was held on June 23-25, 2010, in Seoul, Korea.
	2.	<u>Japan</u> proposed a self-funded proposal for "Seminar on the Exploitation of Intellectual Property" to be held in the	Completed. The project was endorsed by IPEG.

Objectives/ Goals	Actions with lead economy, if any	Status/ Target Date
	margins of the 31 <sup>st</sup> IPEG Meeting, on September 9, 2010, in Sendai, Japan.	
(h) Facilitation of Technology Transfer through Ensuring IP Protection	The <u>Chair</u> proposed to include "Green Technology" as a new topic.	On-going.
(i) Raising Public Awareness	Australia, Singapore and Hong Kong, China co-organised a workshop titled "APEC IPR Public Education and Awareness Program for SMEs" (CTI 06/2008T) in Melbourne from 1-3 April 2009.	Completed. 17 APEC economies were represented at the workshop. Feedback from participants on the value of the workshop was extremely positive. The program and presentations from the workshop are available at <a href="http://www.apecipeg-pear.org/">http://www.apecipeg-pear.org/</a>
	Korea updated on the online learning system called "APEC Project for Training Intellectual Property Right Information Facilitators Using e-Learning Content – IP Xpedite" (CTI 21/2009T)	On-going. The Project commenced in July 2009 and the Thai version is in progress.
	3. Australia presented the Intellectual Property Explorer tool at the 31 <sup>st</sup> APEC IPEG meeting. Developed on behalf of APEC IPEG by Australia; Hong Kong, China; and Singapore, it is a free, secure and simple online business tool to help SMEs in the APEC region identify and protect their IP assets. Intellectual Property Explorer contains a series of interactive diagnostic questions designed to review the IP in a business. Tailored reports are then generated which outline where IP protection strategies need attention or improvement	Completed. Intellectual Property Explorer is available from the APEC IPEG web page as well as at www.intellectualpropertye xplorer.org IPEG invited the SMEWG to help promote the online business tool to their stakeholders
	4. Korea presented a project proposal "Advanced APEC Project for Training Intellectual Property Right Information Facilitators using e-learning contents, IP Xpedite	The project was endorsed by IPEG. The U.S.; Mexico and Thailand offered to co-sponsor.
	5. <u>Chile</u> presented a Project Proposal "APEC funded workshop on Exceptions and Limitations"	Comments on the concept note are ongoing.

# **MOBILITY OF BUSINESS PEOPLE: COLLECTIVE ACTION PLAN FOR 2010**

OAA Objectives	Action	Time Frame
Collective Actions		
APEC economies will:		
<b>EXCHANGE INFORMATION</b> Exchange information on regulatory regimes in regard to the mobility of business people in the region, including through regularly updating the information in the online <i>APEC Business Travel Handbook</i> .	Members to continue to regularly update the online APEC Business Travel Handbook informing business of economies' short-term and temporary	Ongoing
	residence entry requirements and processing arrangements (standing item at BMG meetings), in accordance with agreed BMG	
	Transparency Standards.  Continue to improve the Business Mobility website to act as a conduit for meeting papers and exchange of information on trade agreements and other key BMG activities.	Ongoing
	Members to continue to implement enhancements to the online APEC Business Travel Handbook and other official immigration/consular affairs websites to improve the transparency and accessibility of public immigration information, in line with agreed actions to address the findings of the 2005 TILF funded Temporary Entry Review.	Ongoing
SHORT-TERM BUSINESS ENTRY  Streamline short term entry requirements for business people. APEC economies will strive on best endeavors basis, and according to own immigration procedures, to implement one or more of the following options:  • visa free or visa waiver arrangements;  • participate in the APEC Business Travel Card scheme;  • multiple short-term entry and stay visas which are valid for 3 years.	Members to continue to review and improve their arrangements for short term business travellers, including the progressive implementation of ecommerce arrangements consistent with agreed standards.	Ongoing
	Continue to support ABAC's aim of expanding the APEC Business Travel Card (ABTC) scheme to remaining nonparticipating economy.	Ongoing
	Members to report to BMG meetings on progress in implementing e-commerce facilities (standing item).	Ongoing

OAA Objectives	Action	Time Frame
BUSINESS TEMPORARY RESIDENCY Implement streamlined temporary residence processing arrangements for the intra company transfer of executives, senior managers and specialists, as defined by individual economies.	Members to continue to implement, on a best endeavors basis, the agreed 30 day service standard for the temporary residency processing of executives, senior managers on intracompany transfers, and specialists (as defined by individual economies), where all necessary documentation is completed. Members continue to report on processing times (standing item).	Ongoing
	Members to report to BMG meetings on implementing e-commerce facilities (standing item).	Ongoing
CAPACITY BUILDING (TECHNICAL COOPERATION AND TRAINING)  Develop and implement the mutually agreed standards and benchmarks essential to capacity building and engage in the capacity building initiatives necessary to provide streamlined visa application and immigration entry, stay and departure processing.	At SOM III 2001, the BMG endorsed a standards paper for Document Examination and Fraud detection. These standards are being used by economies to develop their current capacity.	Implementation of the standards is ongoing on an individual, best endeavors basis.
	Document security and related issuance systems. The standards and guidelines take into account all relevant international standards. The first phase of the project to develop draft standards was completed with a workshop conducted in July 2003.	Phase One completed July 2003
	A workshop was conducted in March 2004 in Sydney by Australia (phase 2) to raise economies' awareness of the standards and international best practice and developments in respect of biometrics.	Phase 2 completed by March 2004
	At SOM III 2004 the BMG agreed that all APEC economies will begin issuing Machine Readable Travel Documents (MRTDs), if possible with biometrics by 2008, and on a best endeavors basis, endeavor to accelerate replacement of non-MRTDs by MRTDs as well as implement ICAO travel document security standards.	Ongoing with implementation, on a best endeavours basis.

OAA Objectives	Action	Time Frame
	As at end 2009, at least 16 economies have introduced MRTDs and at least 10 have introduced a biometric MRTD.	
	Biometrics	
	The BMG received funding from the BMC (March 2006) to undertake a TILF funded project to build the capacity on biometric technology in travel documents and border systems (CTI 30/2006T) via the conduct of two capacity building events: a seminar in Ho Chi Minh on 22-23 May attended by 54 experts from 19 economies and a workshop in July 2006 in	Project completed July 2006.
	Hong Kong (China) attended by 45 experts from 18 economies.	
		Workshop in February 2009 with ongoing follow-up work
	for a biometric ABTC pilot.  Identity Assurance Framework Document. At SOM I, 2008, the BMG endorsed work to commence on a 'framework for Assuring Identity in the Issuance of Biometric Machine Readable Travel	Project competed May 2009.

OAA Objectives	Action	Time Frame
	Documents'. The purpose of this document is to provide good practice guidance for APEC economies on the process required for assurance of the integrity of identity enrolment processes for biometric machine readable travel documents, in order to prevent the issuance of genuine travel documents to persons who are not entitled to them.	
	API Pathfinder Initiative  The BMC approved TILF funding (1 August 2002) for a project to conduct feasibility studies in three APEC economies to assess the requirements for the implementation of Advance Passenger Information systems, to be conducted during 2002 and 2003. A second project to conduct feasibility studies was approved in July 2003 by the BMC.	Ongoing
	Some 10 economies have had an API feasibility study: Thailand (Aug 02), The Philippines (Nov 02), Indonesia (Feb 03), Korea (July 03), Chile (Sept 03), Chinese Taipei (Oct 03), China (Jul 04), Viet Nam (Oct 05), Brunei (Feb 06) and Peru (July 2006).	
	To date, 17 economies have either implemented or have announced their commitment to implementing an API system, including: Australia, Canada, China, Hong Kong (China), Indonesia (planning), Korea, Japan, Malaysia, Mexico, New Zealand, Philippines (planning), Chinese Taipei, Thailand, the United States, Vietnam (planning) and two economies trialling (one without commitment).	
	Immigration Legal Infrastructure Standards The BMC approved TILF	Standards ratified
	funding (1 August 2002) for a project to develop	February 2004. Implementation

OAA Objectives	Action	Time Frame
	standards and benchmarks for Immigration legislation. A workshop of Immigration law experts was convened in July in 2003 and Legal Infrastructure standards, covering all aspects of Immigration processes and issues, were ratified by the BMG at its 2004 SOMI meeting in Santiago. As at end 2009, almost half of all economies have implemented legal immigration standards (unchanged from 2008).	ongoing.
	Regional Movement Alert System (RMAS)	
	The BMG conducted a pilot Regional Movement Alert System (RMAS) and a report presented to Leaders in 2006. The RMAS pilot has enhanced border security and facilitated the travel of genuine business travelers.	
	RMAS Multi-Lateral Framework	
	At SOM III 2006, the BMG endorsed the final Multilateral Framework (MLF), Model Economy MOU and Management Board Governance Charter for RMAS. The MLF states the principles, standards and operational arrangements which will enable RMAS to operate consistently and effectively in the region for economies that join the initiative.	Completed and endorsed September 2006.
	At SOM III 2006, positive validation of the travel document as part of RMAS was announced. Work continues on implementing this function.	RMAS can determine whether a passport is recognised by its issuing authority as having been validly issued.
	Work is progressing on an internet-based RMAS which will enable other economies to join RMAS – a pilot will commence to test the system in 2009.	Pilot of internet- based RMAS in 2009 and 2010.
	Contribution to Interpol ICPO Database	

OAA Objectives	Action	Time Frame
	The BMG agreed at SOM I 2005 to a recommendation that members cooperate to ensure that members provide lost and stolen travel documents to the International Criminal and Police Organization (ICPO) database by end 2006, on a best endeavors basis. As at end 2009, 16 economies now contribute data to the ICPO database.  Dialogue with ABAC and	Ongoing
	ABAC actively participates in the work of the BMG. At SOM II 2009, ABAC informed the BMG about its call to consider whether the ABTC can be expanded beyond the APEC region and whether a cardholder list could be used by APEC for an "ABTC Information Service Platform" which could provide value adding services to cardholders. ABAC agreed to refer the proposal to expand the ABTC beyond the APEC region to the CTI. The BMG continues to consult closely with ABAC and an ABAC representative attended all BMG meetings in 2010.	Ongoing
	in 2010.  BMG to continue to work with ABAC to engage the APEC business community in improving business mobility (strategy agreed at SOM II, 2000).	Ongoing
	Members continue to consult with their business community in their home economies on mobility issues and report on any identified issues of concern to the BMG	Ongoing
	Members provide information (including on the ABTC Scheme) and encourage feedback from the business community about the BMG's initiatives via the Business Mobility website.	Ongoing