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## **Risk Assessment Fundamentals**

 The Risk Characterisation Ratio (RCR) is the ratio of the predicted (or measured) environmental concentration (PEC) divided by the predicted no effect concentration (PNEC)

RCR

PEC

PNEC

## **Risk Assessment Fundamentals**

- The Predicted Environmental Concentration (PEC) can be a predicted concentration in soil given assumptions on addition rate (for new contamination), or a measured concentration (at historically contaminated sites)
- The Predicted No Effect Concentration (PNEC) is derived from ecotoxicity data, usually from the literature
- An acceptable Risk Characterisation Ratio is dependent on policy of the country in question – generally a value of 1.0 is regarded as the threshold

# Issues for risk assessment of metals/metalloids in soil

- Background concentrations in soil
- Soil bioavailability effects
- Soil organism sensitivity
- Differences between toxicity in the laboratory and in the field

# How should background concentrations be accounted for?

- Metals occur naturally in soils
- For example: Red soils -Ferrosols or Oxisols naturally contain 100–400 mg/kg Cr and 100–300 mg/kg Ni
- Ecosystems on these soils are adapted to these naturally occurring concentrations



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### **Dealing with Ambient Background**

 Dealing with ambient background concentrations is difficult



### **Dealing with Ambient Background**

- We can separate the total concentration of metal/ metalloid in soil into 2 portions
  - 1. Ambient background (geogenic)
  - 2. Added by man (anthropogenic)
- We assume geogenic metals are not harmful to ecosystems as the organisms have adapted to these concentrations
- There are various methods to estimate 'background" levels



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# Soil bioavailability effects for added metals/metalloids

- Metals/metalloids added to soil will interact with clay minerals and organic matter in the soil (remember all soils have charged surfaces, mostly negative charge)
- Some added metals may also form precipitates in soil with common soil elements e.g. lead (Pb) precipitates with soil phosphate (PO<sub>4</sub>)
- These reactions generally reduce metal/metalloid solubility and hence toxicity

# Soil bioavailability effects for added metals/metalloids

- Toxicity therefore depends on this interaction with the soil surfaces
- Contamination levels protective in a alkaline clay soil would be toxic in an acidic sand





#### Accounting for soil bioavailability effects

Normalisation relationships are relationships between toxicity and soil physico-chemical properties (e.g. organic carbon, pH, cation exchange capacity (CEC))



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# Organism sensitivity to metals/metalloids

- Some organisms are sensitive to small increases in concentration of metals/metalloids in soil, others are tolerant
- We need to ensure we protect sensitive species, especially if these are involved in keystone soil processes e.g. soil nitrogen cycling
- Species sensitivity distributions (SSDs) are used to describe this variation in toxicity for each metal/metalloid and a sensitive trigger value chosen
- Soil concentrations used in the SSD are generally corrected for bioavailability so that only species sensitivity is assessed

### Accounting for organism sensitivity



Each species is given equal weight – so one data point per species in SSDs

Critical Zn concentration in soil (mg/kg)

PNEC=Predicted No Effect Concentration PAF = Potentially Affected Fraction (assume = 5%, i.e. 95% protection)

- Data need to be screened for quality and relevance before constructing the SSD
- If insufficient toxicity data in the literature to develop a SSD, assessment factors are used

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### Laboratory Artifacts: Salt Effects (Leaching)

Zinc toxicity series Unleached

#### Leached



#### Laboratory Artifacts: Ageing



## Leaching/Aging Factors

- Both salt (leaching) and time (aging) effects must be considered when using short-term toxicity data derived from spiking soil with soluble metal salts
- Leaching/Aging Factors have been developed for several metals from EU REACH research programs
- These Leaching/Aging Factors are used to convert laboratory toxicity thresholds to more field-relevant thresholds

## **Seems Complex?**

 Simple Excel-based calculators have been developed to include all the above factors for datarich metals

EU - <u>http://www.arche-consulting.be/en/our-</u> tools/soil-pnec-calculator/

Australia - http://www.scew.gov.au/node/941

- These have quality screened ecotoxicity data, incorporate SSDs and soil normalisation relationships to develop Soil Quality Standards
- 2 case studies will be examined using one of these Excel tools

## Case Study 1

- A waste material is proposed to be used in your country as a soil amendment and it contains 500 mg/kg zinc (Zn)
- At recommended rates of application the product is expected to increase Zn concentrations in agricultural soils by +80.0 mg Zn/kg in the next 200 years
- Background Zn concentrations in your soils are ~40 mg Zn/kg
- Will this pose an ecological risk to soil organisms or plants, and which soils are most susceptible?

## Case Study 1

- Need to think about soils in your jurisdiction in terms of
  - a) background Zn concentrationsb) soil pH and organic matter content (or CEC)
- Assume a background Zn concentration of 40 mg Zn/kg in your jurisdiction
- Assume the 5<sup>th</sup> percentile of soil pH in your country is 5.0, clay content of 5% and organic matter is 1.0% C (i.e. a sensitive soil scenario with high bioavailability, therefore protective of most soils)

**Use PNEC calculator** 

Case Study 2

- A large copper smelter has closed and the area downwind of the smelter has elevated soil Cu concentrations (above background), with total concentrations in soil varying from 110 to 230 mg/kg
- Background concentrations of Cu in the soils however are also high, varying from 100 to 180 mg/kg
- The soils have a high clay content (30-45%) and are neutral in pH (6.5-7.5) with an organic matter content of 4%

## Case Study 2

- Total Cu concentrations up to 230 mg/kg
- Background concentrations of Cu in the soils are 100 (lower limit)
- The soils have a high clay content (~45%) and are neutral in pH (7.0)
- Assume an organic matter content of 4.0%

#### **Use PNEC calculator**

## Remember Soil Quality Standards are <u>screening</u> values!



## Adopting an overseas soil quality standard

There is no formal guidance on this

**Do not** shop around for the value that best suits a predetermined outcome



### Adopting an overseas standard

**Do not** use the lowest, median, average or largest value – its still shopping around but hiding behind statistics





British Prime Minister Benjamin Disraeli ( "There are three types of lies -- lies, damn lies, and statistics."

### Adopting an overseas standard

Issues to consider:

- the aim of the overseas standard;
- the purpose of the legislation;
- the level of protection provided % of species and what types of effect;
- the organisms to be protected; and
- the method of calculation



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