

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

SURVEY GUIDELINE-INSTRUCTIONS

1. This survey questionnaire has been divided into two main sections:

Section A: contains questions for obtaining basic information about the respondents' background, including demographic data.

Section B: includes a set of questions focused on APEC Member Economies' readiness for implementation of Section I of the World Trade Organization Agreement on Trade Facilitation (TFA), in particular Articles 1 through 12.

Please mark tick boxes with an (X) where appropriate.

2. This instrument has been designed to collect information in order to assess the progress made by APEC member economies in preparing for implementation of the TFA prior to its entry into force. Survey questions are in most cases addressed to both Member Economy governments as well as to key private sector stakeholders in APEC Member Economies. Particular questions may be addressed only for either public or private sector responses.
3. The question series for each article may focus on one or more specific measures included in such article. The survey includes the following types of questions:
 - a. Closed question format, where a limited set of predefined answers is provided for respondents to choose the most suitable one (e.g. Yes or No, Fully Implemented, Partially Implemented) or provide a pre-formatted input (for example, a date of implementation).
 - b. Likert Scale questions, where the respondent selects from a range, for example levels of impact on trade facilitation related to one or more TFA measures;
 - c. Open question format, where respondents are requested to elaborate on their answers to a specific question by stating supporting information (e.g.: by providing examples, data, sources, references, webpage links to relevant documentation).
4. At the end of each set of questions, respondents will find a field for Additional Comments, intended to clarify or elaborate on their answers by including additional background, explanations, examples or any other relevant information.
5. Main Definitions for survey questions¹:
 - a. Fully Implemented ("FI"): a measure is considered implemented when is in compliance with the referenced provisions of the TFA, is implemented in law and in practice, is available to essentially

¹ The implementation level definitions are based on United Nations Economic and Social Commission for Asia and the Pacific – ESCAP, 2015. Trade Facilitation and Paperless Trade Implementation Survey 2015: Asia and the Pacific Report, which can be found at: <http://unnex.unescap.org/UNTFsurvey2015.asp>. The definition of "best practice" is based on that in BusinessDictionary.com, 2014, (accessed 17 September 2014), <http://www.businessdictionary.com/definition/best-practice.html>.

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

all relevant stakeholders nationwide, is supported by an adequate legal and institutional framework, including adequate infrastructure and financial and human resources.

- b. Partially Implemented (“PI”): a measure is considered to be partially implemented if an economy has relevant measures in place but at least one of the following is true: (1) the trade facilitation measure is not yet in full compliance with the referenced provisions of the WTO Trade Facilitation Agreement; (2) the economy is still in the process of rolling out the implementation of the measure; (3) the measure is practiced on an unsustainable, short-term or ad-hoc basis; (4) the measure is not implemented in all targeted locations (such as key border crossing stations); or (5) not all targeted stakeholders are fully involved.
 - c. Not Implemented (“NI”): a measure is to be considered not implemented when neither of the previous two categories apply.
 - d. Best practice: A set of actions, procedures or techniques that has demonstrated the ability to consistently produce positive results through experience and continuous evaluation, which can be used as a benchmark.
6. We strongly recommend careful review of the TFA article to which each set of questions refers.
7. In addition, we suggest using Chapter 3 of the ITC WTO Trade Facilitation Agreement: A Business Guide for Developing Countries, as supporting material to clarify the objectives of the TFA’s trade facilitation measures². <http://www.intracen.org/wto-trade-facilitation-agreement-business-guide-for-developing-countries/>
8. Please make sure the Survey is delivered to us **[specific link or address?]** no later than July 5th, 2016 at 00.00 hours Singapore Time (SGT).

² International Trade Centre (ITC). “WTO Trade Facilitation Agreement: A Business Guide for Developing Countries”. Geneva: ITC, 2013. xi, 30 pages (Technical paper) Doc. No. BTP-13-239.E, p.p. 9 – 21.

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

Section A Basic Information

Please enter the following information:

1.	(First) Name(s) and (Family) Surname (s)				
2.	Organization :				
3.	Gender:				
4.	Job title:		5.	Email:	
6.	APEC Member Economy:		7.	Telephone	

8. Please select the type of organization/agency/actor:

Public	()	Private	()
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9. Please select the response which most closely approximates your organization's function within your Sector (Public/Private):

Public Sector		
9.1	Customs Authority	()
9.2	Ministry/Secretaries (Trade, Transport, Agriculture, industry) or equivalent	()
9.3	Border Agency: (agriculture, health, environment, culture, other)	()
9.4	If other, please specify:	

Private Sector		
9.5	Traders (importers, exporters, manufacturers, other)	()
9.6	Intermediaries/Logistics Operator or Trade Service Providers (shipping/transport companies), freight forwarders, customs brokers, port/airport operators, other)	()
9.7	Trade/Industry-related Association or Chamber	()
9.8	If other, please specify:	

10. For public sector respondents, please answer the following question: is your organization the lead agency for trade facilitation (i.e., assigned by your economy to implement trade facilitation reform)?

1	Yes		2	No		3	Don't know
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ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

PUBLICATION AND AVAILABILITY OF INFORMATION

Article 1													
PUBLICATION, INFORMATION AVAILABLE THROUGH INTERNET, ENQUIRY POINTS													
1	With regard to your economy's exportation, importation and transit procedures please indicate the current status of your economy's implemented measures to ensure prompt publication of information in a non-discriminatory and easily accessible manner, to make available the information through the internet and to establish or maintain the enquiry points as set forth on Article 1.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>						
If you select FI or PI, answer 2 through 6; if you select NI, answer 7													
2	Indicate problems which have been identified in the course of your economy's implementation in this area												
3	What recommendations would you make for Best Practices in this area?												
4	How has this provision impacted in the trade facilitation environment in your economy?												
A.Strong		<input type="checkbox"/>	B.Moderate		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D. Difficult to quantify		<input type="checkbox"/>		
5	Indicate the web link(s) for the publications through internet												
6	Indicate the agency or agencies which play the role as enquiry points to provide information as to importation, exportation, transit and required forms and documents.												
7	Please select the reason or reasons for not having yet implemented this measure, including any difficulties and constraints preventing implementation												
A.Budget		<input type="checkbox"/>	B. Infrastructure		<input type="checkbox"/>	C. Technology		<input type="checkbox"/>	D. Human Resources Capacity		<input type="checkbox"/>	E. Other (Specify below)	
ADDITIONAL COMMENTS													

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

OPPORTUNITY TO COMMENT, INFORMATION BEFORE ENTRY INTO FORCE AND CONSULTATIONS

Article 2								
OPPORTUNITY TO COMMENT AND INFORMATION BEFORE ENTRY INTO FORCE								
1	Please indicate the current status of your economy's implemented measures to provide opportunities for comments within a suitable time frame before the entry into force of laws and regulations of general application related to the movement, release and clearance of goods, including goods in transit.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI <input type="checkbox"/>		
If you select FI or PI, answer 2 through 5; if you select NI, answer 6								
2	Indicate problems which have been identified in the course of your economy's implementation in this area							
3	What recommendations would you make for Best Practices in this area?							
4	Please indicate the web links or other means by which laws and regulations are presented for comments							
5	How has this provision impacted the trade facilitation environment in your economy?							
	A. Strong	<input type="checkbox"/>	B. Moderate	<input type="checkbox"/>	C. Low	<input type="checkbox"/>	D. Difficult to quantify	<input type="checkbox"/>
6	Please select the reason or reasons for not having yet implemented this measure							
A. Budget	<input type="checkbox"/>	B. Infrastructure	<input type="checkbox"/>	C. Technology	<input type="checkbox"/>	D. Human Resources Capacity	<input type="checkbox"/>	E. Other (Specify below)
ADDITIONAL COMMENTS								

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

CONSULTATION													
7	Please indicate the current status of your economy's implemented measures to provide for consultations between border agencies and traders or other stakeholders located within its territory.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>						
If you select FI or PI, answer 8 through 10; if you select NI, answer 11													
8	Indicate problems which have been identified in the course of your economy's implementation in this area												
9	What recommendations would you make for Best Practices in this area?												
10	How has this provision impacted the trade facilitation environment in your economy?												
A. Strong		<input type="checkbox"/>	B. Moderate		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D. Difficult to quantify		<input type="checkbox"/>		
11	Please select the reason or reasons for not having yet implemented this measure												
A. Budget		<input type="checkbox"/>	B. Infrastructure		<input type="checkbox"/>	C. Technology		<input type="checkbox"/>	D. Human Resources Capacity		<input type="checkbox"/>	E. Other (Specify below)	
ADDITIONAL COMMENTS													

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

ADVANCE RULINGS

Article 3												
1	Indicate the current status of your economy's implemented measures to issue advance rulings.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>					
If you select FI or PI, answer 2 through 8; if you select NI, answer 9												
2	Date of Implementation	Year										
3	Indicate problems which have been identified in the course of your economy's implementation in this area											
4	What recommendations would you make for Best Practices in this area?											
5	How has this provision impacted the trade facilitation environment in your economy?											
A. Strong		<input type="checkbox"/>	B. Moderate		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D. Difficult to quantify		<input type="checkbox"/>	
6	Indicate in which of the categories below Advanced Rulings are issued in your economy											
a)	Tariff Classification										<input type="checkbox"/>	
b)	Valuation										<input type="checkbox"/>	
c)	Origin of goods										<input type="checkbox"/>	
d)	Tariff returns										<input type="checkbox"/>	
e)	Tariff treatment and exceptions										<input type="checkbox"/>	
f)	Quotas										<input type="checkbox"/>	
7	Indicate below which additional ruling category or categories are covered											
8	Indicate the number of advanced rulings issued during the previous year in the categories:											
a)	Tariff Classification										<input type="checkbox"/>	
b)	Valuation										<input type="checkbox"/>	
c)	Origin of goods										<input type="checkbox"/>	
d)	Tariff returns										<input type="checkbox"/>	
e)	Tariff treatment and exceptions										<input type="checkbox"/>	
f)	Quotas										<input type="checkbox"/>	
9	Please select the reason or reasons for not having yet implemented this measure,											
A. Budget		<input type="checkbox"/>	B. Infrastructure		<input type="checkbox"/>	C. Technology		<input type="checkbox"/>	D. Human Resources Capacity		<input type="checkbox"/>	E. Other (Specify below)
ADDITIONAL COMMENTS												

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

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PROCEDURES FOR APPEAL OR REVIEW

Article 4								
1	Please indicate the current status of your economy's implementation of the appeal and review measures, outlined in Article 4, provisions 1 through 6.	FI	()	PI	()	NI	()	
If you select FI or PI, answer 2 through 4; if you select NI, answer 5								
2	Date of Implementation	Year						
3	Indicate problems which have been identified in the course of your economy's implementation in this area							
4	What recommendations would you make for Best Practices in this area?							
5	Please select the reason or reasons for not having yet implemented this measure,							
A. Budget	()	B. Infrastructu re	()	C. Technolo gy	()	D. Human Resources Capacity	()	E. Other (Specify below)
ADDITIONAL COMMENTS								

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

OTHER MEASURES TO ENHANCE IMPARTIALITY, NON-DISCRIMINATION AND TRANSPARENCY

Article 5											
NOTIFICATIONS FOR ENHANCED CONTROLS OR INSPECTIONS											
1	Has your economy instituted a system of issuing notifications or guidance for enhancing the levels of control or inspections in respect of foods, beverages, or feedstuffs for purposes of protecting human, animal or plant life or health, meeting the following standards?							YES	NO		
a)	Risk-based							()	()		
b)	Applicable only in those specific points of entry							()	()		
c)	Promptly terminate or suspend the notification if the circumstances change.							()	()		
d)	Promptly publish the termination or suspension of the restriction in a non-discriminatory manner.							()	()		
For those responded Yes, please answer 2 and 3, for those responded No, answer 4											
2	Indicate problems which have been identified in the course of your economy's implementation in this area										
3	What recommendations would you make for Best Practices in this area?										
4	Please select the reason or reasons for not having yet implemented the above mentioned measure,										
A. Budget	()	B. Infrastructure	()	C. Technology	()	D. Human Resources Capacity	()	E. Other (Specify below)			
DETENTION											
5	Please indicate if your economy promptly informs the carrier or importer in case of detention of goods declared for importation, for inspection, by customs or other authority.						Yes	()	No	()	
6	If Yes, who is (are) the regulatory body (ies) responsible for informing the carrier or importer?										
TEST PROCEDURES											
7	Does your economy grant the importer, upon request, the opportunity for a second test if the first test result taken upon arrival of imported goods shows an adverse finding?					FI	()	PI	()	NI	()
If you select FI or PI, answer 8 through 10 and 12 through 14; if you select NI, answer 11											
8	Date of Implementation				Year						
9	Indicate problems which have been identified in the course of your economy's implementation in this area										

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

10	What recommendations would you make for Best Practices in this area?									
11	Please select the reason or reasons for not having yet implemented this measure, including any difficulties and constraints preventing implementation									
A. Budget	<input type="checkbox"/>	B. Infrastructure	<input type="checkbox"/>	C. Technology	<input type="checkbox"/>	D. Human Resources Capacity	<input type="checkbox"/>	E. Other (Specify below)		
12	Please indicate if your economy publishes, in a non-discriminatory manner, the name and address of the laboratories authorized to make a second test?						Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
If Yes, answer 13; if No, answer 14										
13	Which of the below can conduct a second test?									
A. Only customs laboratory		<input type="checkbox"/>	B. Other public agency laboratory		<input type="checkbox"/>	A private laboratory		<input type="checkbox"/>	C. Other (Specify below)	
14	Please describe the reason or reasons for not having yet implemented the above mentioned measure.									
ADDITIONAL COMMENTS										

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

DISCIPLINES ON FEES AND CHARGES IMPOSED ON OR IN CONNECTION WITH IMPORTATION AND EXPORTATION AND PENALTIES

Article 6													
GENERAL DISCIPLINES ON FEES AND CHARGES IMPOSED ON OR IN CONNECTION WITH IMPORTATION AND EXPORTATION													
1	Please indicate the current status of your economy's implementation of the general disciplines on the publication and entry into force of fees and charges, other than duties and taxes, related to importation and exportation, as outlined in Article 6, provisions 1.1 through 1.4.	FI	()	PI	()	NI	()						
If you select FI or PI, answer 2 through 5; if you select NI, answer 6													
2	Date of Implementation	Year											
3	Indicate problems which have been identified in the course of your economy's implementation in this area												
4	What recommendations would you make for Best Practices in this area?												
5	If your economy periodically reviews fees and charges with a view to reduce them, please indicate below how often they are reviewed (approximately).												
A. At least once a year		()	B. Every 1 to 2 years		()	C. Every 2 to 3 years		()	D. Not defined		()		
6	Please select the reason or reasons for not having yet implemented this measure												
A. Budget		()	B. Infrastructure		()	C. Technology		()	D. Human Resources Capacity		()	E. Other (Specify below)	
SPECIFIC DISCIPLINES ON FEES AND CHARGES FOR CUSTOMS PROCESSING IMPOSED ON OR IN CONNECTION WITH IMPORTATION AND EXPORTATION													
7	As to fees and charges, does your economy limit the amount to the cost of the service provided in connection with the specific import or export operation in question?								Yes	()	No	()	
8	Does your economy require fees and charges to be linked to a specific import or export operation when collected for services connected to the customs processing of goods?								Yes	()	No	()	
9	If No, please describe the reason or reasons for not having yet implemented this measure												
ADDITIONAL COMMENTS													

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

PENALTY DISCIPLINES					
10	Please indicate whether your economy, when imposing a penalty, considers the facts and circumstances of the case to adjust the penalty to accord with the degree and severity of the breach.	Yes	()	No	()
If Yes, answer 11 and 12, if No, answer 13					
11	Indicate problems which have been identified in the course of your economy's implementation in this area				
12	What recommendations would you make for Best Practices in this area?				
13	Please describe the reason or reasons for not having yet implemented this measure				
14	Please indicate whether your economy considers voluntary disclosure of the circumstances of a breach of a customs law, regulation or procedural requirement prior to the authority's discovery of such breach, as a mitigating factor when establishing a penalty.	Yes	()	No	()
If Yes, answer 15 through 17, if No, answer 18					
15	Indicate problems which have been identified in the course of your economy's implementation in this area				
16	Please mention other mitigating factors established in your domestic regulation				
17	What recommendations would you make for Best Practices in this area?				
18	Please describe the reason or reasons for not having yet implemented this measure				
ADDITIONAL COMMENTS					

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

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RELEASE AND CLEARANCE OF GOODS

Article 7												
PRE-ARRIVAL PROCESSING												
1	Has your economy adopted or maintained procedures allowing for the submission of import documentation and other required information in electronic format prior to the arrival of goods?	FI	()	PI	()	NI	()					
If you select FI or PI, answer 2 through 6; if you select NI, answer 7												
2	Date of Implementation	Year										
3	Indicate problems which have been identified in the course of your economy's implementation in this area											
4	What recommendations would you make for Best Practices in this area?											
5	How has implementation of this measure or measures impacted the trade facilitation environment in your economy?											
A. Strongly		()	B. Moderately		()	C. Low		()	D. Difficult to quantify		()	
6	Indicate the time savings for release of goods in a pre-arrival processing environment compared to those handled in your economy under regular processing procedures.											
A. Sea cargo							B. Air cargo					
7	Please select the reason or reasons for not having yet implemented this measure											
A. Budget		()	B. Infrastructure		()	C. Technology		()	D. Human Resources Capacity		()	E. Other (Specify below)
ADDITIONAL COMMENTS												
ELECTRONIC PAYMENT												
8	Please indicate the current status of your economy's implementation of an electronic payment procedure for duties, fees and other customs charges					FI	()	PI	()	NI	()	

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

If you select FI or PI, answer 9 through 11; if you select NI, answer 12			
9	Date of Implementation	Year	
10	Indicate problems which have been identified in the course of your economy's implementation in this area		

11	What recommendations would you make for Best Practices in this area?		

12	Please select the reason or reasons for not having yet implemented this measure							
A. Budget	<input type="checkbox"/>	B. Infrastructure	<input type="checkbox"/>	C. Technology	<input type="checkbox"/>	D. Human Resources Capacity	<input type="checkbox"/>	E. Other (Specify below)

SEPARATION OF RELEASE FROM FINAL DETERMINATION OF CUSTOMS DUTIES, TAXES, FEES AND CHARGES								
13	Please indicate the current status of your economy's implementation of measures that allow the release of goods before the final determination of customs duties, taxes, fees and charges, provided that all other regulatory requirements have been met.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>	

If you select FI or PI, answer 14 through 17 and 19 through 25; if you select NI, answer 18			
14	Date of Implementation	Year	
15	Indicate problems which have been identified in the course of your economy's implementation in this area		

16	What recommendations would you make for Best Practices in this area?		

17	How has implementation of this measure impacted the trade facilitation environment in your economy?						
A. Strongly	<input type="checkbox"/>	B. Moderately		C. Low	<input type="checkbox"/>	D. Difficult to quantify	<input type="checkbox"/>

18	Please select the reason or reasons for not having yet implemented this measure							
A. Budget	<input type="checkbox"/>	B. Infrastructure	<input type="checkbox"/>	C. Technology	<input type="checkbox"/>	D. Human Resources Capacity	<input type="checkbox"/>	E. Other (Specify below)

19	Does your economy require as a condition for the release of goods before the final determination of duties, taxes, fees and charges a guarantee in the form of a surety or other payment instrument, in an amount not exceeding that of the obligation which seeks to ensure and to be discharged promptly when no longer needed?	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
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If Yes, answer 20 through 24, if No, answer 25	
20	What type of guarantees does your economy require for the purpose of the release?

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

21	Indicate problems which have been identified in the course of your economy's implementation in this area												
22	What recommendations would you make for Best Practices in this area?												
23	Does your economy also require a guarantee for monetary penalties and fines that may be imposed where an offence has been detected?						YES	<input type="checkbox"/>	NO	<input type="checkbox"/>			
24	How has implementation of this measure impacted the trade facilitation environment in your economy?												
A. Strongly		<input type="checkbox"/>	B. Moderately		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D. Difficult to quantify	<input type="checkbox"/>			
25	Please select the reason or reasons for not having yet implemented this measure												
A. Budget		<input type="checkbox"/>	B. Infrastructure		<input type="checkbox"/>	C. Technology		<input type="checkbox"/>	D. Human Resources Capacity		<input type="checkbox"/>	E. Other (Specify below)	
RISK MANAGEMENT													
26	Please indicate the current status of your economy's implementation of a risk management system for customs control, designed to avoid arbitrary or unjustifiable discrimination and disguised restrictions on international trade.						FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>	
If you select FI or PI, answer 27 through 30 and 32 through 36; if you select NI, answer 31													
27	Indicate problems which have been identified in the course of your economy's implementation in this area												
28	What recommendations would you make for Best Practices in this area?												
29	How has implementation of this measure impacted the trade facilitation environment in your economy?												
A. Strongly		<input type="checkbox"/>	B. Moderately		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D. Difficult to quantify		<input type="checkbox"/>		
30	Is the implemented risk management system based on appropriate selectivity criteria, such as								YES	NO			
a)	Harmonized System Code,								<input type="checkbox"/>	<input type="checkbox"/>			
b)	Country of Origin,								<input type="checkbox"/>	<input type="checkbox"/>			
c)	Nature and description of goods,								<input type="checkbox"/>	<input type="checkbox"/>			
d)	Compliance record of traders,								<input type="checkbox"/>	<input type="checkbox"/>			
e)	Means of transport								<input type="checkbox"/>	<input type="checkbox"/>			

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

f)	Other (Specify)	()	()
31	Please select the reason or reasons for not having yet implemented this measure		
A. Budget	()	B. Infrastructure	()
C. Technology	()	D. Human Resources Capacity	()
E. Other (Specify below)			

32	Please confirm whether customs and other border control agencies, under the implemented risk management system, are focused on high-risk consignments allowing an expedited release for low-risk consignments.	YES	()	NO	()
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If Yes, answer 33 through 35; if No, answer 36

33	Indicate problems which have been identified in the course of your economy's implementation in this area

34	What recommendations would you make for Best Practices in this area?

35	How has implementation of this measure impacted the trade facilitation environment in your economy?						
A. Strongly	()	B. Moderately	()	C. Low	()	D. Difficult to quantify	()

36	Please describe the reason or reasons for not having yet implemented this measure

ADDITIONAL COMMENTS

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ESTABLISHMENT AND PUBLICATION OF AVERAGE RELEASE TIMES

37	Does your economy measure and publish the average release time of goods periodically and in a consistent manner?	YES	()	NO	()
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If Yes, answer 38 through 40; if No, answer 41

38	Please indicate below how frequently average release times are published.

39	Indicate problems which have been identified in the course of your economy's implementation in this area

40	What recommendations would you make for Best Practices in this area?

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

	Please select the reason or reasons for not having yet implemented this measure								
41	A. Budget	<input type="checkbox"/>	B. Infrastructure	<input type="checkbox"/>	C. Technology	<input type="checkbox"/>	D. Other (Specify below)	<input type="checkbox"/>	
42	If your economy has implemented the above release time measures, please confirm whether the WCO Time Release Study is used as a tool for measuring purposes;					YES	<input type="checkbox"/>	NO	<input type="checkbox"/>

43	If your answer is No, please indicate the type of methodology applied measuring purposes			
ADDITIONAL COMMENTS				

TRADE FACILITATION MEASURES FOR AUTHORIZED OPERATORS								
44	Please indicate the current status of your economy's implementation of trade facilitation measures for Authorized Operators who meet specified criteria regarding compliance or risk of non-compliance with requirements contained in your economy's regulations.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>	
If you select FI or PI, answer 45 through 49 and 51 through 53; if you select NI, answer 50								
45	Date of Implementation		Year					
46	Indicate problems which have been identified in the course of your economy's implementation in this area							
47	Confirm if the additional trade facilitation measures related to import, export or transit procedures, granted to Authorized Operators include the following measures:	YES						NO
a)	Low documentary and data requirements	<input type="checkbox"/>						<input type="checkbox"/>
b)	Low rate of physical inspections and examinations	<input type="checkbox"/>						<input type="checkbox"/>
c)	Rapid release time	<input type="checkbox"/>						<input type="checkbox"/>
d)	Deferred payment duties, taxes, fees and charges	<input type="checkbox"/>						<input type="checkbox"/>
e)	Use of comprehensive guarantees or reduced guarantees	<input type="checkbox"/>						<input type="checkbox"/>
f)	Single customs declaration for all imports or exports	<input type="checkbox"/>						<input type="checkbox"/>
g)	Clearance of goods at the premises of the authorized operator or another place authorized by customs	<input type="checkbox"/>						<input type="checkbox"/>
48	What recommendations would you make for Best Practices in this area?							

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

49	How has implementation of this measure impacted the trade facilitation environment in your economy?												
A.Strongly		<input type="checkbox"/>	B.Moderately		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D.Difficult to quantify		<input type="checkbox"/>		
50	Please select the reason or reasons for not having yet implemented this measure												
A.Budget		<input type="checkbox"/>	B.Infrastructure		<input type="checkbox"/>	C. Technology		<input type="checkbox"/>	D.Human Resources Capacity		<input type="checkbox"/>	E. Other (Specify below)	
51	Please indicate whether your economy is currently affording mutual recognition to authorized operator schemes of other APEC Members.								YES	<input type="checkbox"/>	NO	<input type="checkbox"/>	
If Yes, answer 52; if No, answer 53													
52	Please list the economies with which you have on going or completed negotiations in this regard?												
53	Please describe the reason or reasons for not having yet implemented the above mentioned measure												
ADDITIONAL COMMENTS													

EXPEDITED SHIPMENTS												
54	Please indicate the current status of your economy's implementation of procedures allowing for expedited release of goods as outlined in Article 7, paragraph 8.1.						FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>
If you select FI or PI, answer 55 through 58; if you select NI, answer 59												
55	Date of Implementation					Year						
56	Please confirm whether the expedited shipment procedure includes the following measures:										YES	NO
a)	Minimum documentation required for the release of expedited shipments										<input type="checkbox"/>	<input type="checkbox"/>
b)	Release of certain shipments based on a single submission of information										<input type="checkbox"/>	<input type="checkbox"/>
c)	Release of expedited shipments upon arrival, provided that all information requirements have been met.										<input type="checkbox"/>	<input type="checkbox"/>
d)	Expedited treatment without regard to the weight or value of goods										<input type="checkbox"/>	<input type="checkbox"/>
e)	Established 'de minimis' shipment value or dutiable amount for which customs duties and taxes are not levied										<input type="checkbox"/>	<input type="checkbox"/>

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

57	Indicate problems which have been identified in the course of your economy's implementation in this area							
58	What recommendations would you make for Best Practices in this area?							
59	Please select the reason or reasons for not having yet implemented this measure							
A. Budget	<input type="checkbox"/>	B. Infrastructure	<input type="checkbox"/>	C. Technology	<input type="checkbox"/>	D. Human Resources Capacity	<input type="checkbox"/>	E. Other (Specify below)

ADDITIONAL COMMENTS

PERISHABLE GOODS								
60	Please indicate the current status of your economy's implemented measures for the release of perishable goods within the shortest possible time and outside the business hours of customs and other relevant authorities.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>	
If you select FI or PI, answer 61 through 65; if you select NI, answer 66								
61	Date of Implementation	Year						
62	Indicate problems which have been identified in the course of your economy's implementation in this area							
63	What recommendations would you make for Best Practices in this area?							
64	Regarding measures on perishable goods:						YES	NO
a)	Do the relevant authorities grant appropriate priority when scheduling examinations/inspections of perishable goods?						<input type="checkbox"/>	<input type="checkbox"/>
b)	Do the implemented measures allow for the arrangement of proper storage prior to release of perishable goods?						<input type="checkbox"/>	<input type="checkbox"/>
c)	Does your economy permit the release of perishable goods at storage facilities where practicable at the request of the importer?						<input type="checkbox"/>	<input type="checkbox"/>
d)	Where significant delay in the release of perishable goods occurs, are the relevant authorities notifying the interested parties about the reasons of such delay?						<input type="checkbox"/>	<input type="checkbox"/>
65	How has implementation of this measure impacted the trade facilitation environment in your economy?							
A. Strongly	<input type="checkbox"/>	B. Moderately	<input type="checkbox"/>	C. Low	<input type="checkbox"/>	D. Difficult to quantify	<input type="checkbox"/>	

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

66	Please select the reason or reasons for not having yet implemented this measure							
A. Budget	<input type="checkbox"/>	B. Infrastructure	<input type="checkbox"/>	C. Technology	<input type="checkbox"/>	D. Human Resources Capacity	<input type="checkbox"/>	E. Other (Specify below)
ADDITIONAL COMMENTS								

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

BORDER AGENCY COOPERATION

Article 8													
1	Please indicate the current status of your economy's implemented measures to ensure cooperation and coordination of activities between its authorities and agencies dealing with border controls and procedures for import, export and transit of goods.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>						
If you select FI or PI, answer 2 through 5; if you select NI, answer 6													
2	Date of Implementation	Year											
3	Indicate problems which have been identified in the course of your economy's implementation in this area												
4	What recommendations would you make for Best Practices in this area?												
5	How would you define the impact of the above mentioned measure in the trade facilitation environment in your economy?												
A. Strong		<input type="checkbox"/>	B. Moderate		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D. Difficult to quantify		<input type="checkbox"/>		
6	Please select the reason or reasons for not having yet implemented this measure												
A. Budget		<input type="checkbox"/>	B. Infrastructure		<input type="checkbox"/>	C. Technology		<input type="checkbox"/>	D. Human Resources Capacity		<input type="checkbox"/>	E. Other (Specify below)	
7	Please indicate the current status of your economy's implemented measures to enable cooperation and coordination of procedures between your own agencies and those of other Member(s) with which you share common borders.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>						
If you select FI or PI, answer 8 through 11 if you select NI, answer 12													
8	Indicate problems which have been identified in the course of your economy's implementation in this area												
9	What recommendations would you make for Best Practices in this area?												
10	How would you define the impact of the above mentioned measure in the trade facilitation environment in your economy?												
A. Strong		<input type="checkbox"/>	B. Moderate		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D. Difficult to quantify		<input type="checkbox"/>		
11	Please indicate whether the following standards are met for cross-border cooperation:										YES	NO	
a)	Alignment of working days and hours										<input type="checkbox"/>	<input type="checkbox"/>	

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

b)	Alignment of procedures and formalities	()	()
c)	Development and sharing of common facilities	()	()
d)	Joint Controls	()	()
e)	Establishment of One Stop border control	()	()
12	Please select the reason or reasons for not having yet implemented this measure		
A. Budget	()	B. Infrastructure	()
		C. Technology	()
		D. Human Resources Capacity	()
		E. Other (Specify below)	
ADDITIONAL COMMENTS			

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

MOVEMENT OF GOODS INTENDED FOR IMPORT UNDER CUSTOMS CONTROL

Article 9													
1	Does your economy allow goods intended for import to be moved within its territory under customs control from a customs office of entry to another customs office in its territory from where the goods would be released or cleared?	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>						
If you select FI or PI, answer 2 through 5; if you select NI, answer 6													
2	Date of Implementation	Year											
3	Indicate problems which have been identified in the course of your economy's implementation in this area												
4	What recommendations would you make for Best Practices in this area?												
5	How would you define the impact of the above mentioned measures in the trade facilitation environment in your economy?												
A. Strong		<input type="checkbox"/>	B. Moderate		<input type="checkbox"/>	C. Low		<input type="checkbox"/>	D. Difficult to quantify		<input type="checkbox"/>		
6	Please select the reason or reasons for not having not yet implemented this measure												
A. Budget		<input type="checkbox"/>	B. Infrastructure		<input type="checkbox"/>	C. Technology		<input type="checkbox"/>	D. Human Resources Capacity		<input type="checkbox"/>	E. Other (Specify below)	
ADDITIONAL COMMENTS													

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

FORMALITIES CONNECTED WITH IMPORTATION, EXPORTATION AND TRANSIT

Article 10									
FORMALITIES AND DOCUMENTATION REQUIREMENTS									
1	Has your economy reviewed import, export and transit formalities and documentation requirements so as to achieve a rapid release and clearance of goods as well as to reduce time/costs of compliance to traders and operators?					YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
If Yes, answer 2 through 4; if No, answer 5									
2	Indicate problems which have been identified in the course of your economy's implementation in this area								
3	What recommendations would you make for Best Practices in this area?								
4	How has implementation of this measure impacted the trade facilitation environment in your economy?								
	A. Strongly	<input type="checkbox"/>	B. Moderately	<input type="checkbox"/>	C. Low	<input type="checkbox"/>	D. Difficult to quantify	<input type="checkbox"/>	
5	Please describe the reason or reasons for not having yet implemented this measure								
6	Has your economy reviewed import, export and transit formalities and documentation requirements in order to ensure that the least trade restrictive measure is implemented where two or more alternative measures are reasonably available for fulfilling the policy objective or objectives in question and that measures are not maintained, including parts thereof, if no longer required?					YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
If Yes, answer 7 through 9; if No, answer 10									
7	Indicate problems which have been identified in the course of your economy's implementation in this area								
8	What recommendations would you make for Best Practices in this area?								
9	How has implementation of this measure impacted the trade facilitation environment in your economy?								
	A. Strongly	<input type="checkbox"/>	B. Moderately	<input type="checkbox"/>	C. Low	<input type="checkbox"/>	D. Difficult to quantify	<input type="checkbox"/>	
10	Please describe the reason or reasons for not having yet implemented this measure								

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

ADDITIONAL COMMENTS

INTERNATIONAL STANDARDS								
11	Please indicate if your economy uses international standards or parts thereof as a basis for their import, export, or transit formalities and procedures.	YES	()	NO	()			
ADDITIONAL COMMENTS								
SINGLE WINDOW								
12	Please indicate the current status of your economy's implementation of an electronic single window which enables traders to submit documentation or data for importation, exportation and transit of goods through a single entry point, and receive the results from authorities promptly by the same means?	FI	()	PI	()	NI	()	
If you select FI or PI, answer 13 through 17; if you select NI, answer 18								
13	Date of Implementation	Year						
14	Indicate problems which have been identified in the course of your economy's implementation in this area							
15	What recommendations would you make for Best Practices in this area?							
16	How has implementation of this measure impacted the trade facilitation environment in your economy?							
	A. Strongly	()	B. Moderately	()	C. Low	()	D. Difficult to quantify	()
17	Please provide below a listing of the agencies within your economy participating in the single window.							
18	Please describe the reason or reasons for not having yet implemented this measure							
ADDITIONAL COMMENTS								

ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

USE OF CUSTOMS BROKERS					
19	Does your country currently require the mandatory use of customs brokers?	YES	()	NO	()
20	If yes, please indicate below whether their use is mandatory for import, export or both.				

COMMON BORDER PROCEDURES, UNIFORM DOCUMENTATION REQUIREMENTS							
21	Please indicate the current status of your economy's implementation of the standards for Common Border Procedures set out in Article 10, part 7	FI	()	PI	()	NI	()
If you select FI or PI, answer 22 through 24; if you select NI, answer 25							
22	Date of Implementation					Year	
23	Indicate problems which have been identified in the course of your economy's implementation in this area						
24	What recommendations would you make for Best Practices in this area?						
25	Please describe the reason or reasons for not having yet implemented these measures						
ADDITIONAL COMMENTS							
REJECTED GOODS							
26	Does your economy allow the importer to re-consign or return goods that have been rejected due to their failure to meet sanitary, phytosanitary or technical regulations?	YES	()	NO	()		
If Yes, answer 27 and 28; if you select No, answer 29							
27	Indicate problems which have been identified in the course of your economy's implementation in this area						

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

28	What recommendations would you make for Best Practices in this area?

29	Please describe the reason or reasons for not having yet implemented these measures
ADDITIONAL COMMENTS	

TEMPORARY ADMISSION OF GOODS AND INWARD AND OUTWARD PROCESSING								
30	Please indicate the current status of your economy's implementation of the temporary admission and inward & outward processing measures, with total suspension or exemption from payment of import duties and taxes, as set forth in Article 10, part 9.	FI	<input type="checkbox"/>	PI	<input type="checkbox"/>	NI	<input type="checkbox"/>	
If you select FI or PI, answer 31 through 33; if you select NI, answer 34								
31	Indicate problems which have been identified in the course of your economy's implementation in this area							
32	What recommendations would you make for Best Practices in this area?							
33	How has implementation of this measure or measures impacted the trade facilitation environment in your economy?							
	A. Strongly	<input type="checkbox"/>	B. Moderately	<input type="checkbox"/>	C. Low	<input type="checkbox"/>	D. Difficult to quantify	<input type="checkbox"/>
34	Please select the reason or reasons for not having yet implemented this measure, including any difficulties and constraints preventing implementation							
A. Budget	<input type="checkbox"/>	B. Infrastructure	<input type="checkbox"/>	C. Technology	<input type="checkbox"/>	D. Human Resources Capacity	<input type="checkbox"/>	E. Other (Specify below)_____
ADDITIONAL COMMENTS								

ANNEX 2

APEC CTI 12/2015A - Survey Final June 2016

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ANNEX 2

APECCTI 12/2015A – Survey Final June 2016

FREEDOM OF TRANSIT

Article 11												
1	Regarding traffic in transit, has your economy implemented the following measures?	YES	NO									
a)	Physically separated infrastructure (such as lanes, berths and similar) for traffic in transit, where practicable.	<input type="checkbox"/>	<input type="checkbox"/>									
b)	Formalities, documentation requirements, and customs controls in connection with traffic in transit not more burdensome than necessary to identify the goods	<input type="checkbox"/>	<input type="checkbox"/>									
c)	Formalities, documentation requirements, and customs controls in connection with traffic in transit not more burdensome than necessary to ensure fulfilment of transit requirements	<input type="checkbox"/>	<input type="checkbox"/>									
d)	Goods are not subject to any customs charges nor unnecessary delays or restrictions until they conclude their transit at the point of destination within the economy's territory	<input type="checkbox"/>	<input type="checkbox"/>									
e)	Advance filing and processing of transit documentation and data prior to the arrival of goods	<input type="checkbox"/>	<input type="checkbox"/>									
f)	Necessary guarantees limited to ensuring that requirements arising from traffic in transit are fulfilled	<input type="checkbox"/>	<input type="checkbox"/>									
g)	Comprehensive guarantees which include multiple transactions for same operators or renewal of guarantees without discharge for subsequent consignments	<input type="checkbox"/>	<input type="checkbox"/>									
For those responded Yes, please answer 2 through 4; for those responded No, answer 5												
2	Indicate problems which have been identified in the course of the application of the above mentioned measures											
3	What recommendations would you make for Best Practices in this area?											
4	How would you define the impact of the above mentioned measures in the trade facilitation environment in your economy?											
A.Strong		<input type="checkbox"/>	B.Moderate		<input type="checkbox"/>	C.Low		<input type="checkbox"/>	D.Difficult to quantify		<input type="checkbox"/>	
5	Please select the reason or reasons for not having yet implemented these traffic in transit measures											
A.Budget		<input type="checkbox"/>	B.Infrastructure		<input type="checkbox"/>	C.Technology		<input type="checkbox"/>	D.Human Resources Capacity		<input type="checkbox"/>	E.Other (Specify below)
6	Does your economy's implemented transit procedures include cooperation and coordination measures with other APEC economies regarding:										YES	NO
a)	Charges										<input type="checkbox"/>	<input type="checkbox"/>
b)	Formalities and legal requirements										<input type="checkbox"/>	<input type="checkbox"/>
c)	Practical operation of transit regimes										<input type="checkbox"/>	<input type="checkbox"/>
7	For those answered Yes, what recommendations would you make for Best Practices in this area?											

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

8	For those answered No, please select the reason or reasons for not having yet implemented the above mentioned measures							
A. Budget	()	B. Infrastructure	()	C. Technology	()	D. Human Resources Capacity	()	E. Other (Specify below)
ADDITIONAL COMMENTS								

ANNEX 2

APEC CTI 12/2015A – Survey Final June 2016

CUSTOMS COOPERATION

Article 12				
EXCHANGE OF INFORMATION				
1	From a Public Sector perspective, please indicate whether your economy has implemented the following measures regarding the exchange of information:	YES	NO	
a)	Responses provided in writing, through paper or electronic means	<input type="checkbox"/>	<input type="checkbox"/>	
b)	Provision of the specific information as set out in the import or export declaration, or the declaration, to the extent it is available, along with a description of the level of protection and confidentiality required of the requesting Member	<input type="checkbox"/>	<input type="checkbox"/>	
c)	If requested, provision of the specific information as set out in the following documents, or the documents, submitted in support of the import or export declaration, to the extent it is available: commercial invoice, packing list, certificate of origin and bill of lading, in the form in which these were filed, whether paper or electronic, along with a description of the level of protection and confidentiality required of the requesting Member	<input type="checkbox"/>	<input type="checkbox"/>	
d)	Confirmation that the documents provided are true copies	<input type="checkbox"/>	<input type="checkbox"/>	
e)	Provision of the information requested or otherwise respond to the request, to the extent possible, within 90 days from the date of the request	<input type="checkbox"/>	<input type="checkbox"/>	
2	For those answered Yes, indicate problems which have been identified in the course of your economy's implementation of those measures			
3	What recommendations would you make for Best Practices?			
4	How would you define the impact of the above mentioned measures in the trade facilitation environment in your economy?			
A. Strong		<input type="checkbox"/>	B. Moderate	
		<input type="checkbox"/>	C. Low	
		<input type="checkbox"/>	D. Difficult to quantify	
		<input type="checkbox"/>	<input type="checkbox"/>	
5	For those answered No, please select describe the reason or reasons for not having yet implemented the measures			
ADDITIONAL COMMENTS				